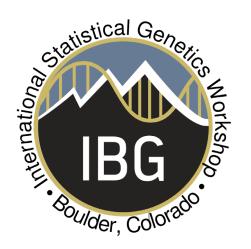
### Theory & background

This is for general discussion about theory (e.g., population genetics), history of genetics and behavioral genetics, and programming (e.g., UNIX, R).

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Population Genetics Theory is concerned with characterizing and quantifying genetic variation within and between populations.

## What is a Population?



"All inhabitants of particular place" – Oxford dictionary

[BIOLOGY] – "A community of animals, plants, or humans among whose members interbreeding occurs" – Oxford dictionary

"Any complete group with at least one characteristic in common" – Australian Bureau of Statistics

"All the inhabitants of a country, territory, or geographic area, total or for a given sex and/or age group, at a specific point of time. In demographic terms it is the total number of inhabitants of a given sex and/or age group that actually live within the border limits of the country, territory, or geographic area at a specific point of time, usually mid-year. The mid-year population refers to the actual population at July 1st." – World Health Organization

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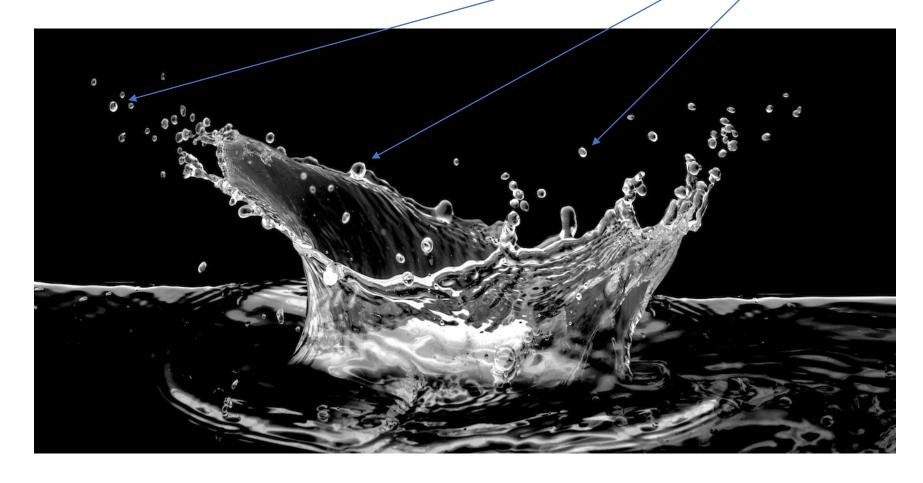
#### A population is a concrete abstraction...

1. Population = Group of individuals

Time

2. Who is *inside* or *outside* is arbitrary, i.e., depends on your research question

Are these drops from the same population?



Space

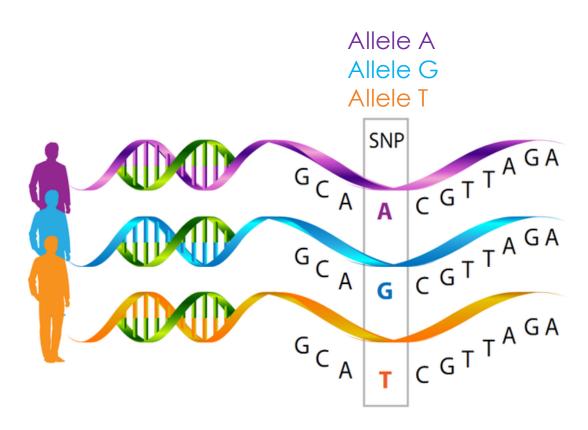
## What is Genetic Variation?



#### Genetic variation...

There is a near infinite number of ways to measure a distance between DNA sequences of two individuals

- (1) Number of repeats
- (2) Inversions
- (3) Deletions
- (4) Single letter (nucleotide) changes
- (5) ...

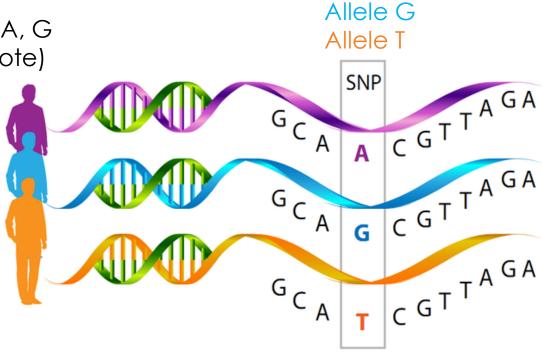


Single Nucleotide Polymorphisms

#### **Definitions**

Allele = possible state of the DNA sequence at a given locus. Genotype = defined by the states of all alleles at the locus.

Examples: In a diploid individual. If there are three alleles A, G and T, then possible genotypes are AA, GG, TT (homozygote) and AG, AT, GT (heterozygote)



Allele A

Single Nucleotide Polymorphisms

Population Genetics Theory is the theory of alleles and genotypes frequencies within and between groups of individuals.

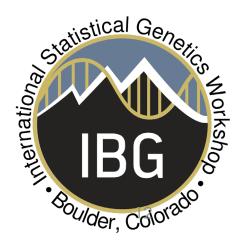
### **Outline**

- Hardy-Weinberg Equilibrium
- What drives changes in allele/genotype frequencies?

- How can you measure genetic distance between populations?
- Linkage Disequilibrium

## Hardy-Weinberg Equilibrium

G. H. Hardy (1877 – 1947) W. Weinberg (1862 - 1937)



# Relationship between alleles and genotypes frequencies

Let's consider a population of N diploid individuals at a particular locus with two alleles 0 and 1.

We denote  $n_{00}$ ,  $n_{01}$  and  $n_{11}$  the genotypes counts and  $n_0$  and  $n_1$  the allele counts in the population. So,  $n_{00} + n_{01} + n_{11} = N$ .

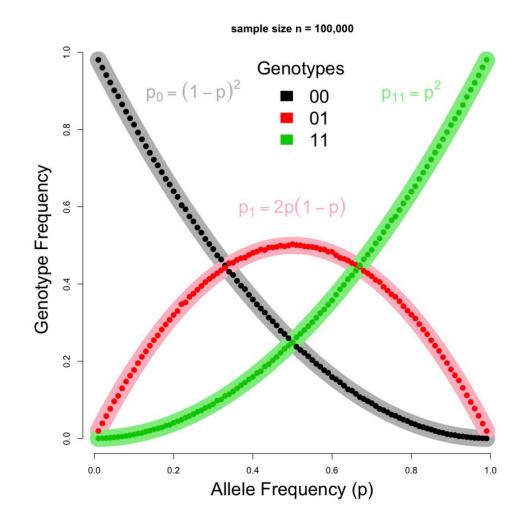
We have the following relationships:

=> 
$$n_0 = 2n_{00} + n_{01}$$
 and  $n_1 = 2n_{11} + n_{01}$ .  
=>  $p_0 = n_0 / (2N) = (n_{00} / N) + 0.5(n_{01} / N)$  and  $p_1 = 1 - p_0$ .  
 $\Rightarrow p_0 = p_{00} + 0.5p_{01}$  and  $p_1 = 1 - p_0$ .

In general, we can not predict the genotype frequencies from the allele frequencies (under-determined).

If genotypes and alleles frequencies are **constant** from one generation to the next, then the population is said to be under Hardy-Weinberg Equilibrium.

If genotypes and alleles frequencies are constant from one generation to the next, then the population is said to be under Hardy-Weinberg Equilibrium\*.



<sup>\*</sup>This is the diploid / autosomal version of the HWE.

## Under which assumption(s) does HWE holds?

### Constant allele frequency:

- no migration,
- no mutation,
- no natural selection

Random mating (diploid individuals, sexual reproduction, allele frequencies are the same between sexes)

Large population size

### Testing HWE (1/3)

Deviation from HWE can be detected using a  $\chi^2$  test with 1 degree of freedom.

Example: Diploid population with the following genotypes counts

Observed			
AA	AB	BB	$N_{total}$
125	225	150	500

$$p_A = (2 \times 125 + 225)/(2 \times 500) = 0.475 \Longrightarrow p_B = 0.525.$$

$$p_{AA} = 125/500 = 0.25$$
,  $p_{AB} = 225/500 = 0.45$  and  $p_{BB} = 150/500 = 0.3$ .

Expectation under HWE: 
$$E[n_{AA}] = p_A^2 \times N_{total} = 112.8125.$$

### Testing HWE (2/3)

Observed				Expected		
AA	AB	BB	$N_{total}$	E[AA]	E[AB]	E[BB]
125	225	150	500	112.8	249.4	137.8

### **Test Statistic**

$$\chi^{2} = \frac{(125 - 112.8)^{2}}{112.8} + \frac{(225 - 249.4)^{2}}{249.4} + \frac{(150 - 137.8)^{2}}{137.8}$$
$$= 4.78 > 3.84.$$

This example illustrates a significant deviation from HWE.

### Testing HWE (3/3)

### General form of the test statistic

$$\chi^{2} = \frac{(n_{AA} - E[n_{AA}])^{2}}{E[n_{AA}]} + \frac{(n_{AB} - E[n_{AB}])^{2}}{E[n_{AB}]} + \frac{(n_{BB} - E[n_{BB}])^{2}}{E[n_{BB}]}$$
(1)

$$E[n_{AA}] = N_{total} \times p_A^2$$
  
 $E[n_{AB}] = N_{total} \times 2p_A p_B$   
 $E[n_{BB}] = N_{total} \times p_B^2$ .

with

$$p_A = (2n_{AA} + n_{AB})/(2N_{total})$$
 and  $p_B = 1 - p_A$ .

### Summary

- Population can be characterized by the frequency distribution of alleles and genotypes
- Under certain assumptions, genotype frequencies can be predicted from allele frequencies (Hardy-Weinberg Equilibrium)
- HWE can be extended to sex-linked loci and polyploid individuals
- Deviation from HWE can be used to inform non-random mating (e.g., inbreeding), population history or quality of genetic data (see GWAS lectures)

# Changes of Allele and Genotype Frequencies



## Main evolutionary forces

- 1. Mutation / Migration: new alleles in the population
- 2. Natural selection (fitness)
- 3. Genetic drift (Wright-Fisher's model of neutral evolution)
- 4. Demography: bottleneck / expansion

# Main evolutionary forces affecting allele frequencies

- 1. Mutation / Migration: new alleles in the population
- 2. Natural selection (fitness)
- 3. Genetic drift (Wright-Fisher's model of neutral evolution)

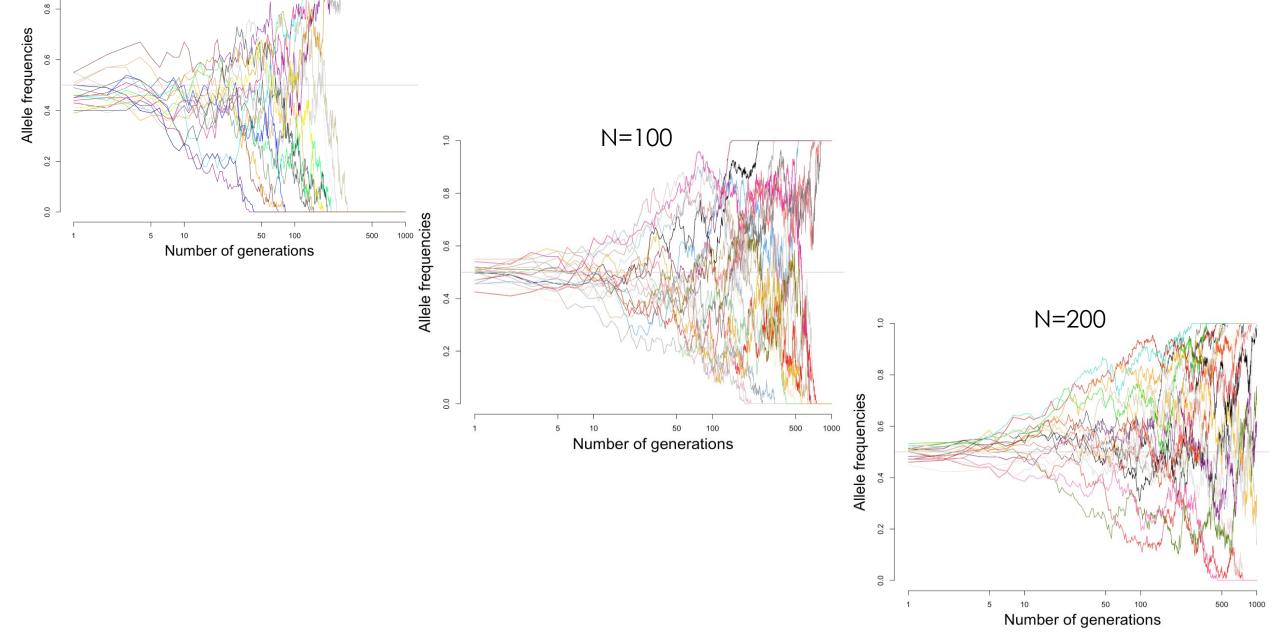
4. Demography: bottleneck / expansion

## Wright-Fisher's model

Let us consider a population of 2N individuals (N males and N females). At each generation we randomly form N pairs of mates. Each pair to generate 2 progenies.

How do allele frequencies change over time in that population?

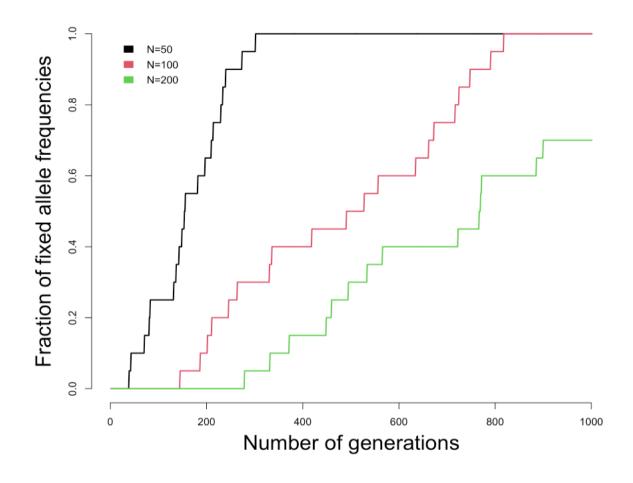
Let's run some simulations...



N=50

### Time to fixation or fixation rate depends on

- (1) Effective population size
- (2) Allele frequency



### 50 shades of natural selection...

Negative (purifying) selection: deleterious alleles are maintained at low frequencies

Positive selection: advantage allele rises at high frequencies (adaptation to high altitude)

quency of ration

Balancing/Stabilizing selection tries to maintain an optimum value in the population (e.g., birthweight)

Recommended: Walsh & Lynch, 2018

today

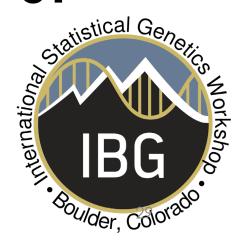
10,000

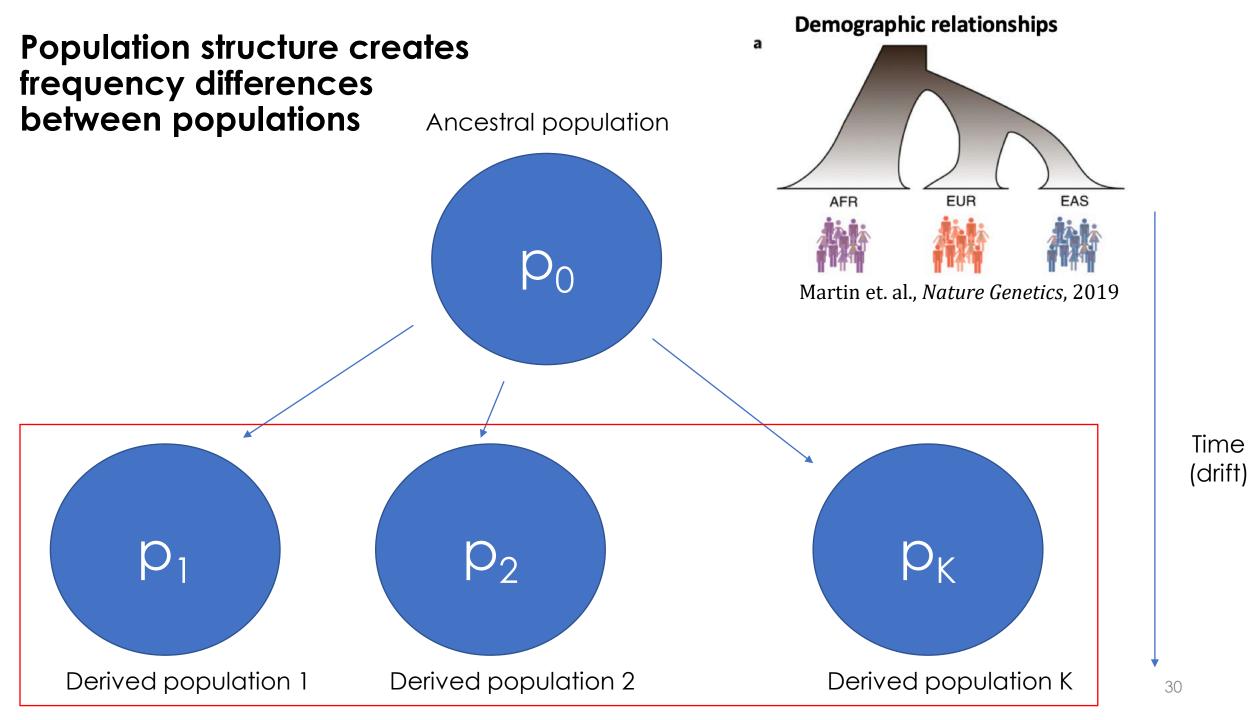
years ago

## Summary and other considerations

- Genetic drift, selection, migration, mutation can change allele (and genotype) frequencies
- Non-random mating (e.g., inbreeding) can increase homozygosity and change genotype frequencies.
- Strength of selection and degree of dominance is required to predict how selection will change genotype frequencies
- Drift can dominate selection sometimes: s<1/(2Ne)</li>

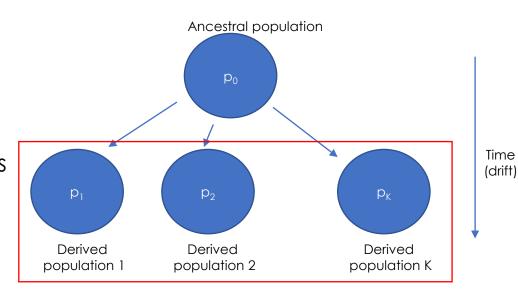
## Wright's Fixation Index $(F_{ST})$





### Many definitions and many estimators

- F<sub>ST</sub> as a ratio of variance (Wright):
   F<sub>ST</sub>=var<sub>B</sub>(X)/[var<sub>B</sub>(X)+var<sub>W</sub>(X)]; X=0,1 (allelic state)
   F<sub>ST</sub>=0: no frequency differences between populations
   F<sub>ST</sub>=1: allele is fixed in one population (fixation index)
- F<sub>ST</sub> as a ratio of identify-by-descent probability



#### **Estimators**

Weir & Cochram (1984) Nei (1973) Hudson (1992)

Weir & Hill (2002) definition  $var(p_i | p_0) = F_{ST} p_0 (1-p_0)$ 



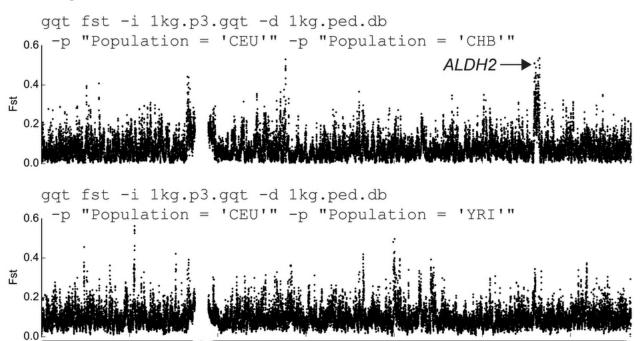
Genome Res. 2013 Sep; 23(9): 1514–1521.

doi: 10.1101/gr.154831.113

PMCID: PMC3759727 PMID: <u>23861382</u>

Estimating and interpreting  $F_{ST}$ : The impact of rare variants

### **F**<sub>ST</sub> varies across the genome => not just drift at play here!



Layer R.M. et al. Efficient genotype compression and analysis of large genetic-variation data sets. *Nature Methods* (2016).

12q

12p

#### Typical F<sub>ST</sub> ranges

F<sub>ST</sub> is ~0.1- 0.2 between continental groups

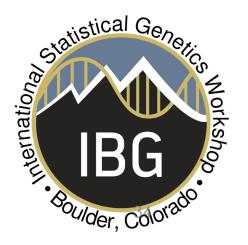
 $F_{ST}$  is ~0.01- 0.05 within continental groups

F<sub>ST</sub> is <0.01 within countries

## Let's play again...

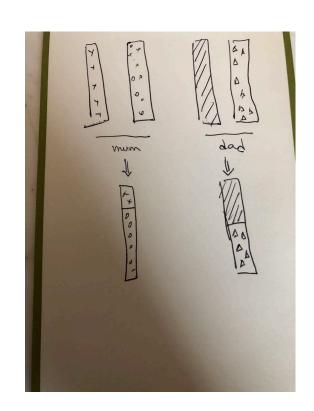
### **Practical Part 2**

## Linkage Disequilibrium

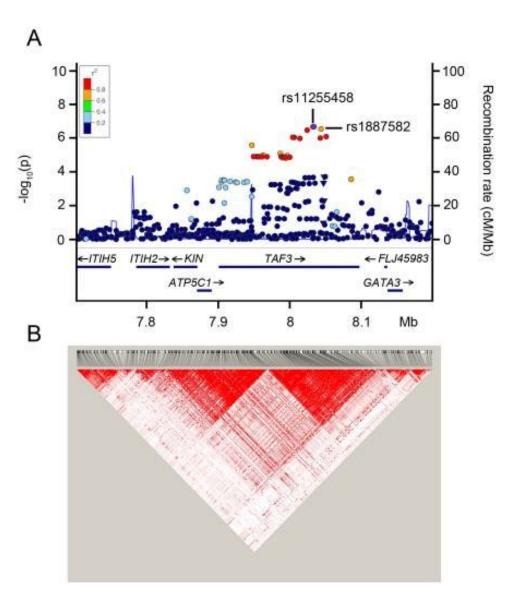


## Meoisis and genetic linkage

- In sexual reproduction gametes are produced during specialized cell division called meiosis.
- Meiosis involves multiple phases prophase, meiosis I and II) in which genetic information is exchanged between homologous chromosomes: recombination.
- Recombinant chromosomes are then transmitted to the offspring.
- => Close DNA sequences on a chromosome will tend to be transmitted together: linkage disequilibrium (LD).



## Haplotype



**Haplotype** = Group of variants within a chromosome (e.g., within a LD block)

# Statistical measures of linkage disequilibrium (1/3)

Let us consider two loci j and k with alleles  $a_j/A_j$  and  $a_k/A_k$ .

Linkage disequilibrium between alleles  $a_j$  and  $A_k$  (for example) is often measured as using the coefficient  $D(a_j, A_k)$ 

$$D(a_i, A_k) = p(a_i A_k) - p(a_i)p(A_k)$$

where  $p(a_jA_k)$  is the proportion of individuals in the population with both alleles  $a_j$  and  $A_k$ ; and  $p(a_j)$  and  $p(A_k)$  the proportion of individuals with allele  $a_j$  and  $A_k$  respectively.

# Statistical measures of linkage disequilibrium (2/3)

Table: Joint distribution of allele frequencies, as a function of the linkage disequilibrium parameter  $D_{jk}$ .  $a_j$  and  $a_k$  are the minor alleles at locus j and k, and  $A_j$  and  $A_k$  the corresponding major alleles respectively.

 $D_{jk} > 0$  (positive LD)  $\Longrightarrow$  alleles  $a_j$  and  $a_k$  or  $A_j$  and  $A_k$  are often "transmitted" (observed) together.

# Statistical measures of linkage disequilibrium (3/3)

Common measures of linkage disequilibrium (LD) are  $D'_{ik}$ 

$$D'_{jk} = D_{jk}/D_{max} (2)$$

and the squared correlation  $r_{ik}$  between allele counts

$$r_{jk}^2 = \frac{D_{jk}^2}{p_j(1-p_j)p_k(1-p_k)}$$
 (3)

where

$$D_{max} = \begin{cases} \min(p_{j}p_{k}, (1-p_{j})(1-p_{k})) & \text{when } D_{jk} < 0 \\ \min(p_{j}(1-p_{k}), (1-p_{j})p_{k}) & \text{when } D_{jk} > 0 \end{cases}$$

D' takes values between -1 and 1 and  $r^2$  between 0 and 1. LD depends on alleles frequencies and population size.

## LD calculations (1/2)

Allele counts	$a_{j}$	$A_{j}$	Total
$\overline{a_k}$	1000	1500	2500
$A_k$	1500	1000	2500
	2500	2500	5000

$$p(a_j) = 0.5, p(a_k) = 0.5, p(a_j a_k) = 1000/5000 = 0.2.$$

$$D(a_j, a_k) = p(a_j a_k) - p(a_j)p(a_k) = 0.2 - 0.25 = -0.05.$$

$$D(a_j, A_k) = D(a_k, A_j) = 0.3 - 0.25 = +0.05$$
 and  $D(A_j, A_k) = -0.05$ .

$$\implies r^2(a_j, a_k) = r^2(A_j, A_k) = r^2(a_j, A_k) = r^2(A_j, a_k) = \frac{0.05^2}{0.5^4} = 0.04.$$

## LD calculations (2/2)

Allele frequency	$a_j$	$A_{j}$	
$a_k$	$p(a_j a_k)$	$p(A_j a_k)$	$p(a_k)$
$A_k$	$p(a_jA_k)$	$p(A_jA_k)$	$p(A_k)$
	$p(a_i)$	$p(A_i)$	1

$$D(a_j, a_k) = p(a_j a_k) p(A_j A_k) - p(A_j a_k) p(a_j A_k)$$
(4)

 $D(a_i, a_k)$  is the determinant of the matrix of allele frequencies.

## LD decay over time

Let us consider two loci with alleles  $A_1$  and  $A_2$ . We denote  $r_n$  the frequency of  $A_1A_2$  in the current generation and c the probability of recombination between locus 1 and locus 2.

Under random mating the frequency of  $A_1A_2$  in the next generation can be written

$$r_{t+1} = r_t (1 - c) + c p(A_1)p(A_2)$$

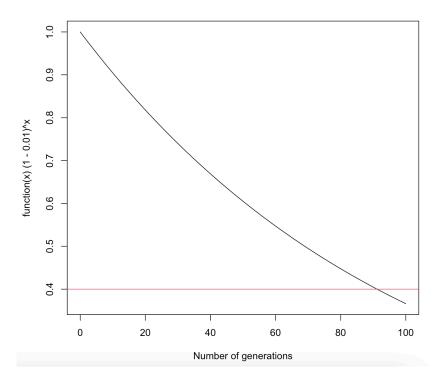
In terms of of disequilibrium can be expressed as

$$D_{t+1}(A_1,A_2) = r_{t+1} - p(A_1)p(A_2)$$
  
=  $[r_t (1 - c) + c p(A_1)p(A_2)] - p(A_1)p(A_2)$   
=  $D_t(A_1,A_2)(1 - c)$ 

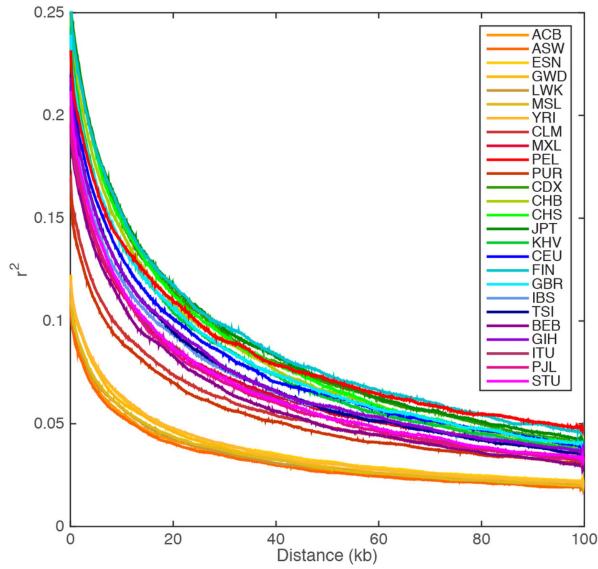
This can be generalized as

$$D_t(A_1,A_2) / D_0(A_1,A_2) = (1 - c)^t$$





### LD decay with physical distance



A. Auton et al. (1000 Genomes Consortium) A Global Reference for Human Genetic Variation. *Nature* (2015).

## A reasons why LD might differ between populations...

- (1) Demographic history [Effective population size: Large N<sub>e</sub> => small LD]
- (2) Selection [positive selection increases LD]

## Summary



## Summary – outline (again)

Hardy-Weinberg Equilibrium

What drives changes in allele/genotype frequencies?

 How can you measure genetic distance between populations?

Linkage Disequilibrium

### In this lecture...

Key parameters to describe the genetic constitution of a population are

- Alleles and genotypes frequencies (Hardy-Weinberg Equilibrium)
- Correlations between alleles: linkage disequilibrium (LD)

Key parameter to compare frequency differences between populations:  $F_{ST} = var(p_i)/[p_0(1-p_0)]$ 

Frequencies and LD are affected by drift, demography (Ne), selection, etc.