

# Univariate/ MonoPhenotype Twin Modeling in OpenMx

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Boulder workshop 2021

Hermine H. Maes

with credit to Nick Martin, Elizabeth Prom-Wormley, Lindon Eaves,  
Tim Bates, Michael Neale & many others

# Files & Code

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- On <https://hermine-maes.squarespace.com>
- Saturated Model
  - oneSATc.R
- Direct Variance Estimation of Genetic Models
  - oneACEvc.R
  - oneADEvc.R
- Path Coefficient Estimation of Genetic Models
  - oneACEc.R
  - oneADEc.R
- miFunctions.R
  - miFunctionsDocs.pdf

# Questions

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- Does trait of interest run in families?
- Can familial resemblance be explained by genetic and/or environmental effects?
- Which sources of variance contribute significantly to the variance of the trait?
- How much of trait variation is accounted for by genetic and environmental factors?

# Roadmap for Univariate Analysis

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- Use data to test basic assumptions (equal means & variances for members of twin pairs)
  - Saturated Model
- Estimate contributions of genetic/environmental effects on total variance of a phenotype
  - ACE or ADE Models
- Test ACE / ADE submodels to identify and report significant genetic and environmental contributions
  - AE / CE / E Only Model

# Practical Example

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- Dataset: NH&MRC Twin Register
- 1981 Questionnaire
- **BMI** (body mass index): weight/height squared
  - kg/m<sup>2</sup>, transformed:  $7 \cdot \log(\text{BMI})$ , simulated based on real data
- Young Female Cohort: 18-30 years
- Sample Size:
  - MZf: 534 pairs (zyg=1; zygosity='MZFF' & cohort='younger')
  - DZf: 328 pairs (zyg=3; zygosity='DZFF' & cohort='younger')

# Dataset

---

```
> head(twinData)
```

```
   fam age zyg part wt1 wt2   ht1   ht2  htwt1  htwt2   bmi1   bmi2
1    1  21   1    2  58  57 1.7000 1.7000 20.0692 19.7232 20.9943 20.8726
2    2  24   1    2  54  53 1.6299 1.6299 20.3244 19.9481 21.0828 20.9519
3    3  21   1    2  55  50 1.6499 1.6799 20.2020 17.7154 21.0405 20.1210
4    4  21   1    2  66  76 1.5698 1.6499 26.7759 27.9155 23.0125 23.3043
5    5  19   1    2  50  48 1.6099 1.6299 19.2894 18.0662 20.7169 20.2583
6    6  26   1    2  60  60 1.5999 1.5698 23.4375 24.3418 22.0804 22.3454
....
```

# My Naming Conventions

---

name of variable(s)  
number of variables  
number of twin variables  
variables per twin pair  
definition variables  
number of factors  
number of thresholds

starting values  
lower bound / upper bound  
labels

built model  
fitted model  
summary of fitted model

```
vars      <- 'bmi'  
nv       <- 1  
ntv      <- nv*2  
selVars  <-c('bmi1', 'bmi2')  
covVars  
nf       <- 2  
nth      <- 3
```

```
sv  
lb / ub  
lab
```

```
modelNAME  
fitNAME  
sumNAME
```

# Classical Twin Study Background

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- The Classical Twin Study/Design (CTS/CTD) uses monozygotic (MZ) and dizygotic (DZ) twins reared together
  - **MZ** twins share 100% of their genes
  - **DZ** twins share **on average** 50% of their genes
- Genetic factors are assumed to contribute to a phenotype when MZ twins are more similar for that phenotype than DZ twins



# Partitioning Variation & Estimating Heritability

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- Partition phenotypic variance ( $V$ ) in genetic and environmental components
- $V = V_{\text{genetic}} + V_{\text{environmental}}$
- Assumptions: additivity & independence of effects
- **Heritability** ( $h^2$ ): proportion of variance due to genetic influences ( $h^2 = V_{\text{genetic}} / V$ )
- Property of a group (not an individual), thus specific to that group in place & time

# Sources of Variance

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- **Additive** genetic factors ( $V_A$ ,  $\mathbf{A}$ ,  $a^2$ ): sum of all average effects of single alleles at individual loci
- **Dominance**: result of interactions between alleles at same locus ( $V_D$ ,  $\mathbf{D}$ ,  $d^2$ )
- **Common** environment: ( $V_C$ ,  $\mathbf{C}$ ,  $c^2$ ): aspects of environment shared by family members, which contribute to similarity between relatives [shared]
- **Environmental** factors ( $V_E$ ,  $\mathbf{E}$ ,  $e^2$ ): unique to individual, contribute to variation within family [specific, unique or within-family]

# Classical Twin Study Assumptions

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- Equal Environments of MZ and DZ pairs (**EEA**)
  - MZ & DZ twins equally correlated in exposure to environmental events of etiologic importance for trait
- Random Mating
- No **rGE** Correlation
- No **G x E** Interaction
- No **Sex** Limitation
- No G x **Age** Interaction

# Classical Twin Study Basic Data Assumptions

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- MZ and DZ twins are sampled from the same population, therefore we **expect** :
  - Equal means/variances in Twin 1 and Twin 2
  - Equal means/variances in MZ and DZ twins
- Further **assumptions** would need to be tested if we introduce male twins and opposite sex twin pairs

# Observed Values

	Descriptive Statistics					
	MZ twins			DZ twins		
		<b>T1</b>	<b>T2</b>		<b>T1</b>	<b>T2</b>
mean	<b>MZ</b>	21.34	21.35	<b>DZ</b>	21.45	21.46
		<b>T1</b>	<b>T2</b>		<b>T1</b>	<b>T2</b>
covariance	<b>T1</b>	0.73	0.59	<b>T1</b>	0.77	0.24
	<b>T2</b>	0.59	0.79	<b>T2</b>	0.24	0.82

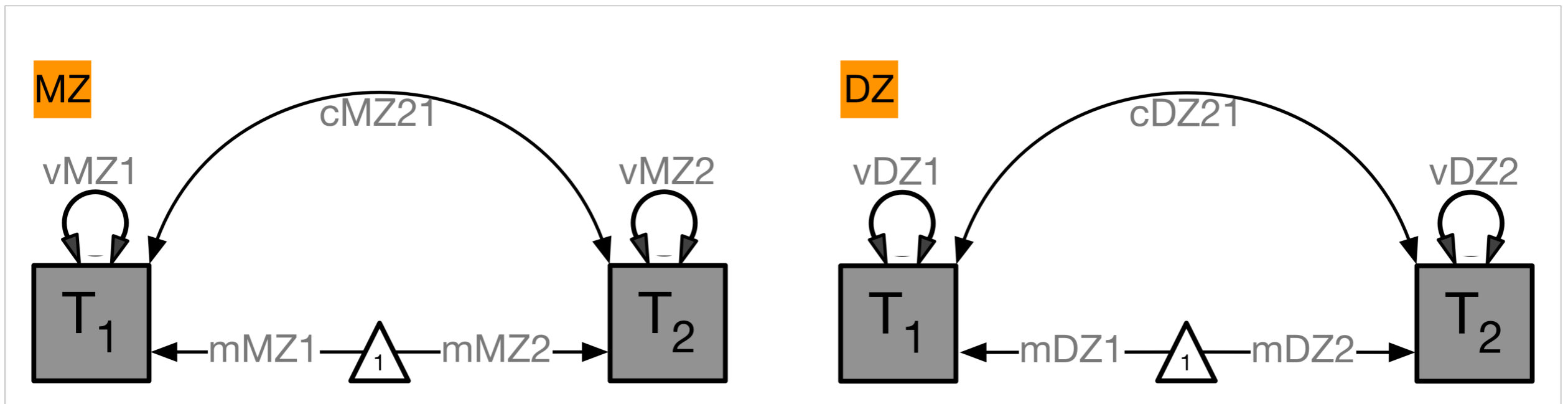
## 'Old Fashioned' Data Checking

Nice, but how can we actually be sure that these means and variances are truly the same?

# Saturated Model

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SAT model  
oneSATc.R



# Intuition behind Maximum Likelihood (ML)

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- Likelihood: probability that observation (data point) is predicted by specified model
- **Maximum Likelihood** Estimates (**MLE**): most likely values of population parameter values (e.g,  $\mu$ ,  $\sigma$ ,  $\beta$ ) given observed sample values
  - Define model
  - Define probability of observing a given event conditional on a particular set of parameters
  - Choose set of parameters which are most likely to have produced observed results

# Likelihood Ratio Test

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- **Likelihood Ratio** (LR) test: simple comparison of Log-Likelihoods under 2 separate models:
  - Model **Mu**: Unconstrained (has more parameters)
  - Model **Mc**: Constrained (has fewer parameters)
- LR statistic equals:
  - $LR (Mc | Mu) = 2\ln(L(Mu)) - 2\ln(L(Mc))$
- LR is asymptotically distributed as  $\chi^2$  with degrees of freedom (**df**) equal to number of constraints

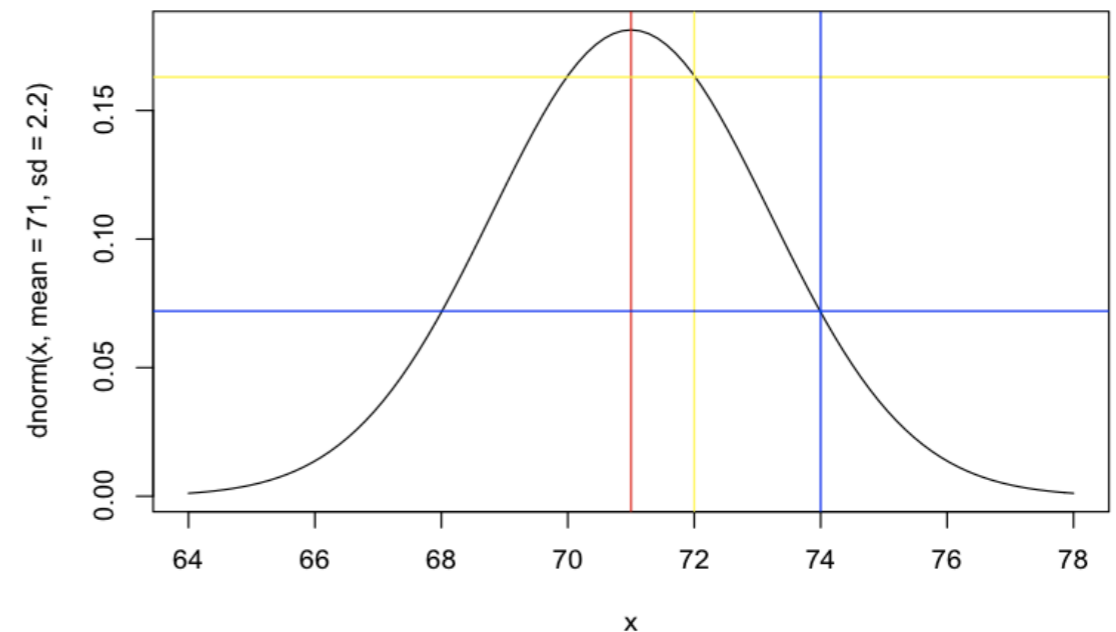


# Probability Density Function $\Phi(x_i)$

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- $\Phi(x_i)$ : likelihood of data point  $x_i$  for particular mean and variance estimates
- **Univariate**: height of probability density function

$$\Phi(x_i) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-5((x_i - \mu)^2 / \sigma^2)}$$

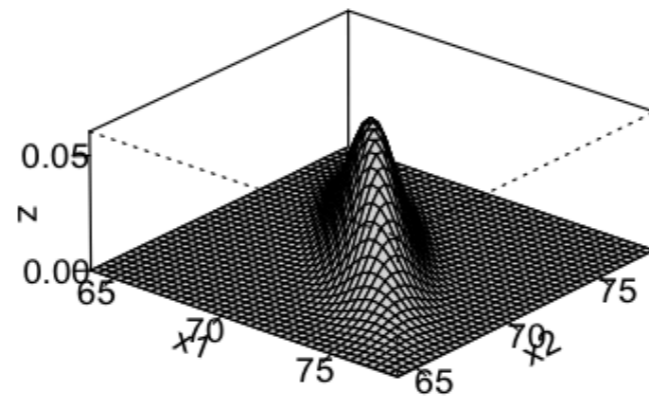


$\pi$ :  $\pi=3.14$ ;  $x_i$ : observed value of variable  $i$ ;  $\mu$ : expected mean;  $\sigma$ : expected variance

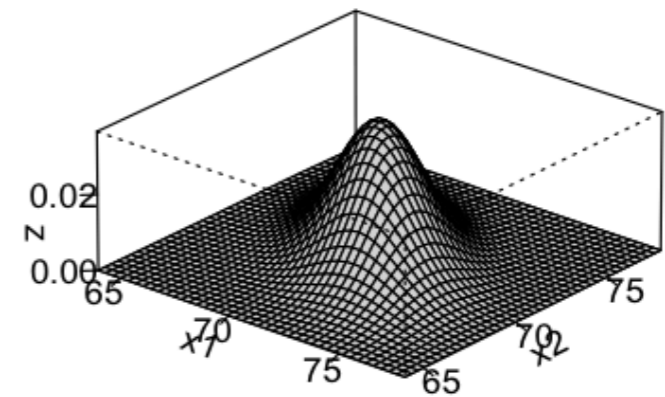
# Multinormal Probability Function

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- $\Phi(x_i)$ : likelihood of pair of data points  $x_i$  and  $y_i$  for particular means, variances & correlation estimates
- **Multivariate**: height of multinormal probability density function



rMZ=.85



rDZ=.49

$$\Phi(x_i) = \frac{1}{\sqrt{|2\pi\Sigma|}} e^{-\frac{1}{2}((x_i - \mu)\Sigma^{-1}(x_i - \mu)')}$$

$\pi = 3.14$ ;  $x_i$ : value of variable  $i$ ;  $\mu$ : expected mean;  $\Sigma$ : expected covariance matrix

# OpenMx Scripts

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## ■ oneSATc.R

- Saturated model estimating means & (co)variances for continuous data in MZ & DZ twins

## ■ oneACEvc.R

- Univariate/Monophenotype model estimating A, C & E components for continuous data in MZ & DZ twins

## ■ oneADEvc.R

- Univariate/Monophenotype model estimating A, D & E components for continuous data in MZ & DZ twins

# OpenMx Development Team (most active ones)

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**Steve** Boker

<https://openmx.ssri.psu.edu>



**Mike** Neale

OpenMx is free and open source software for use with R that allows estimation of a wide variety of advanced multivariate statistical models.



**Mike** Hunter



**Rob** Kirkpatrick



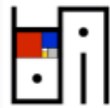
**Joshua** Pritikin



**Tim** Bates

<https://hermine-maes.squarespace.com>

hermine-maes.squarespace.com



HOME OPENMX ISGW

genetic epidemiology  
helper functions

HELP

classical twin study  
MZ & DZ twins  
**ONE** phenotype  
continuous/binary/ordinal  
SAT | ACE | ADE

ONE

classical twin study  
MZ & DZ twins  
**ONE** phenotype  
continuous/binary/ordinal  
+covariate age  
SAT | ACE | ADE

ONEA

classical twin study  
MZ & DZ twins  
MZf MZm DZf DZm DZo  
**ONE** phenotype  
continuous/binary/ordinal  
+covariate age  
heterogeneity  
SAT | ACE | ADE

ONEA5

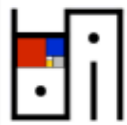
classical twin study  
MZ & DZ twins  
**TWO** phenotypes  
continuous/binary/ordinal  
SAT | ACE | ADE

TWO

classical twin study  
MZ & DZ twins  
**TWO** phenotypes  
continuous  
biv25

TWO+

# helper functions



[HOME](#) [OPENMX](#) [ISGW](#)

## Parallel standard OpenMx scripts & matching umx versions

This site offers [OpenMx](#) and matching [umx](#) scripts to fit standard biometrical models to data collected in MZ and DZ twins. The models can be used to estimate the role of genetic (A: additive genetic factors; D: dominance genetic factors) and environmental factors (C: common/shared environmental factors, E: unique environmental factors) to the variance of phenotypes of interest and covariances between phenotypes of interest.

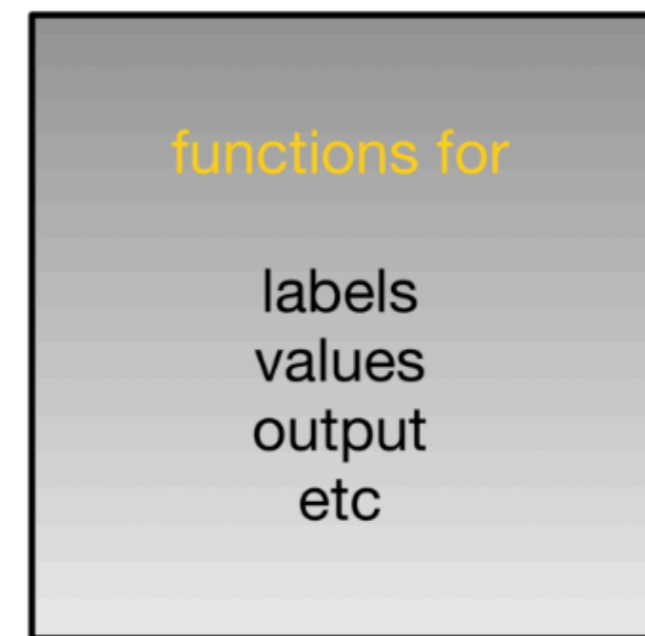
Scripts are organized in pages by number of phenotypes, addition of covariates etc. Within each page, scripts are organized by type of model in rows (Saturated, ACE estimating variance components, ADE estimating variance components, ACE estimating path coefficients, ADE estimating path coefficients) and by type of data in columns (continuous, binary, ordinal (estimating all thresholds), ordinal (fixing two thresholds and estimating means/variances) using standard code, and using umx (for different data types) in the last column. All scripts source the R code attached here that includes a number of functions that automate various aspects of the models such as labels, starting values, output generated etc.

***Note that each of the scripts is represented by a path diagram. If you click on the diagram, a PDF of the associated script will be displayed in a separate window. If you click on the filename.R below the diagram, the R script will be downloaded.***

Comments, suggestions, corrections welcome!! **Email [hmaes@vcu.edu](mailto:hmaes@vcu.edu).**

New pages/scripts will be added as they are ready to go! If you have scripts that you'd like to add, send them my way!

Last updated: 02/28/2020



**miFunctions.R**

# miFunctions.R

---

```
# -----  
# Program: miFunctions.R  
# Author: Hermine Maes  
# Date: 02 28 2020  
#  
# Set of my options & functions used in basic twin methodology scripts  
# Email: hmaes@vcu.edu  
# -----|-----|-----|-----|-----|-----|-----|-----|  
  
# Options  
mxOption( NULL, "Default optimizer", "NPSOL" )  
#mxOption( NULL, "Checkpoint Prefix", filename )  
mxOption( NULL, "Checkpoint Units", "iterations" )  
mxOption( NULL, "Checkpoint Count", 1 )  
options(width=120)  
options(digits=8)  
mxVersion()  
  
# Functions to assign labels  
# -----  
labLower  <- function(lab,nv) { paste(lab,rev(nv+1-sequence(1:nv)),rep(1:nv,nv:1),sep="" ) }  
labSdiag  <- function(lab,nv) { paste(lab,rev(nv+1-sequence(1:(nv-1))),rep(1:(nv-1),(nv-1):1),sep="" ) }  
labOdiag  <- function(lab,nv) { paste(lab,c(rev(nv+1-sequence(1:(nv-1))),rep(1:(nv-1),(nv-1):1)),c(rep(1:(nv-1),  
(nv-1):1),rev(nv+1-sequence(1:(nv-1))))),sep="" ) }  
  
....
```

# one

classical twin study  
MZ & DZ twins

**ONE** phenotype  
continuous/binary/ordinal

SAT | ACE | ADE

### SAT

estimating means/thresholds, variances & covariances

\* > 2 categories

One Phenotype

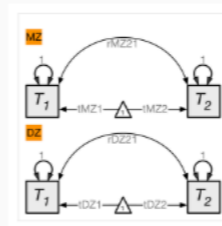
**CONTINUOUS c**



oneSATc.R

One Phenotype

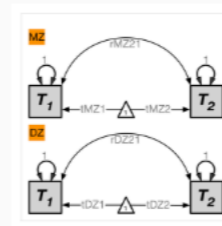
**BINARY b**



oneSATb.R

One Phenotype

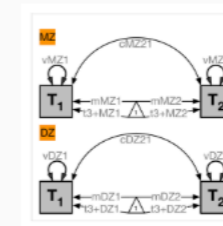
**ORDINAL o**



oneSATo.R

One Phenotype

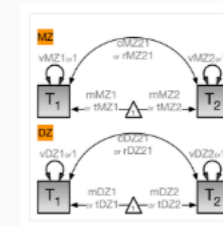
**ORDINAL m\***



oneSATm.R

One Phenotype

**UMX c/b/m**



oneSATu.R

### ACE

estimating variance components



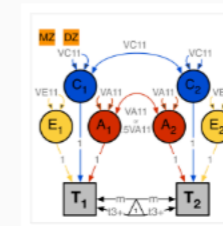
oneACEvc.R



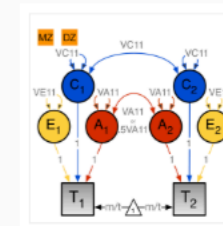
oneACEvb.R



oneACEvo.R



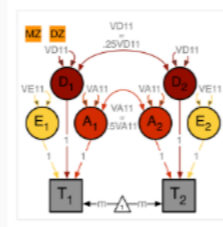
oneACEvm.R



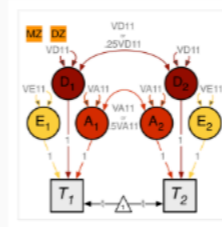
oneACEvu.R

### ADE

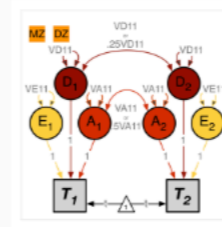
estimating variance components



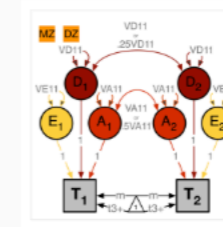
oneADEvc.R



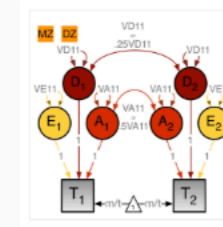
oneADEvb.R



oneADEvo.R



oneADEvm.R

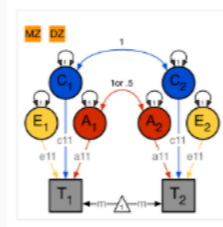


oneADEvu.R

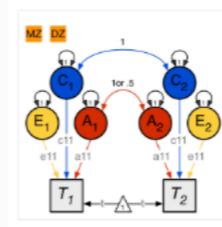
**NOTE!** Models below estimating path coefficients may provide biased estimates of the parameters. Use of these scripts is discouraged.

### ACE

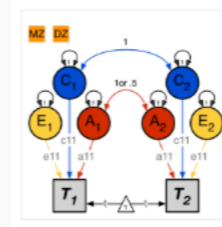
estimating path coefficients



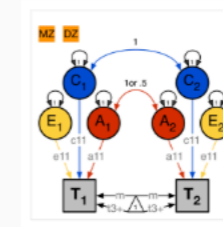
oneACEc.R



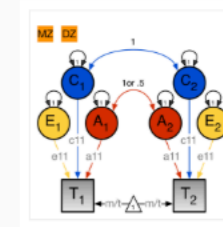
oneACEb.R



oneACEo.R



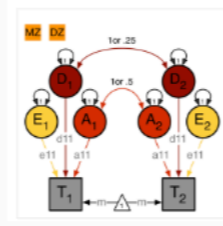
oneACEm.R



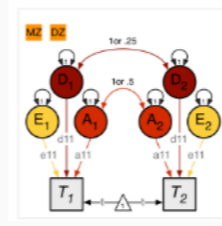
oneACEu.R

### ADE

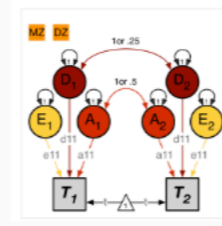
estimating path coefficients



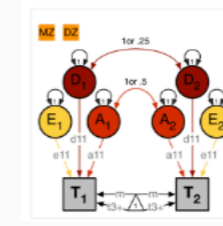
oneADEc.R



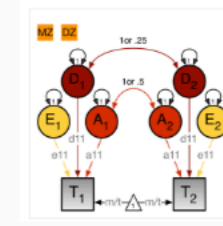
oneADEb.R



oneADEo.R



oneADEm.R



oneADEu.R



one

classical twin study  
MZ & DZ twins

**ONE** phenotype  
continuous/binary/ordinal

SAT | ACE | ADE

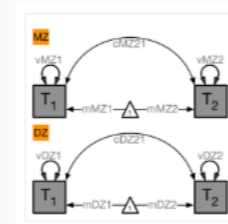
**SAT**

estimating means/thresholds, variances & covariances

\* > 2 categories

One Phenotype

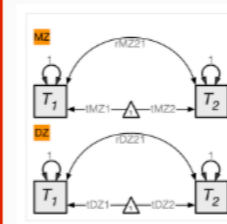
**CONTINUOUS c**



oneSATc.R

One Phenotype

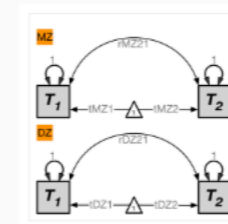
**BINARY b**



oneSATb.R

One Phenotype

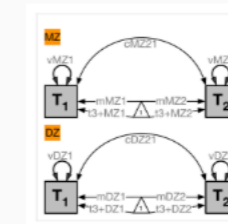
**ORDINAL o**



oneSATo.R

One Phenotype

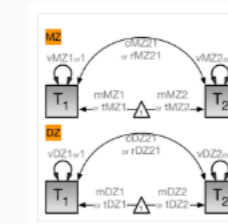
**ORDINAL m\***



oneSATm.R

One Phenotype

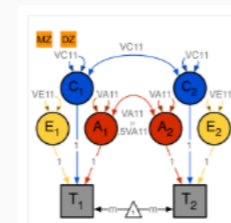
**UMX c/b/m**



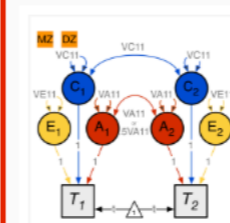
oneSATu.R

**ACE**

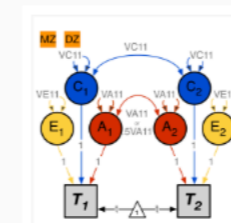
estimating variance components



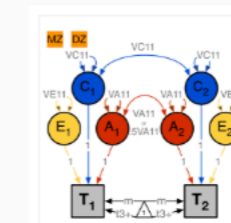
oneACEvc.R



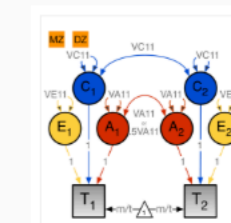
oneACEvb.R



oneACEvo.R



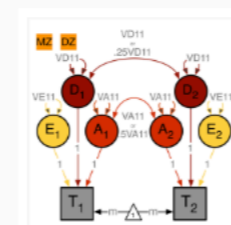
oneACEvm.R



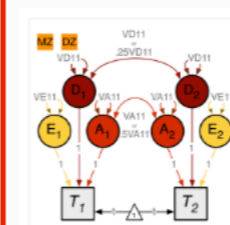
oneACEvu.R

**ADE**

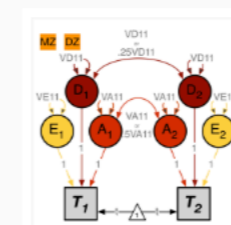
estimating variance components



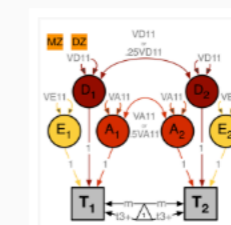
oneADEvc.R



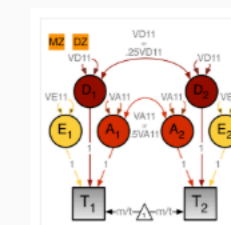
oneADEvb.R



oneADEvo.R



oneADEvm.R

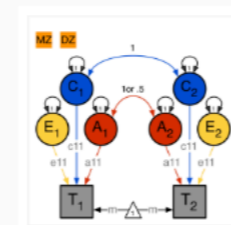


oneADEvu.R

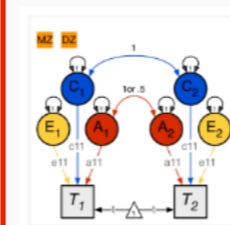
**NOTE!** Models below estimating path coefficients may provide biased estimates of the parameters. Use of these scripts is discouraged.

**ACE**

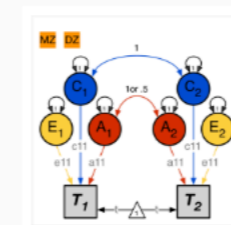
estimating path coefficients



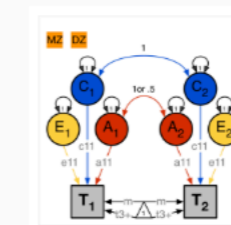
oneACEc.R



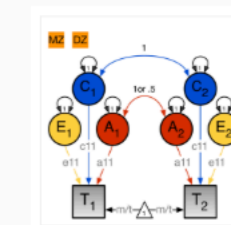
oneACEb.R



oneACEo.R



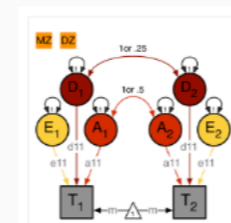
oneACEm.R



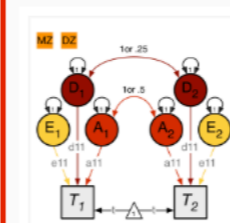
oneACEu.R

**ADE**

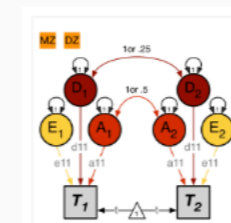
estimating path coefficients



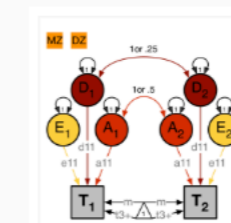
oneADEc.R



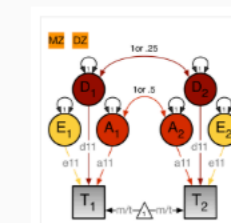
oneADEb.R



oneADEo.R



oneADEm.R



oneADEu.R

# Layout of my OpenMx Scripts

---

```
# -----  
# Program: oneSATc.R  
# Author: Hermine Maes  
# Date: 10 22 2018  
#  
# Twin Univariate Saturated model to estimate means and (co)variances across multiple groups  
# Matrix style model - Raw data - Continuous data  
# -----|-----|-----|-----|-----|-----|-----|-----|  
# Load Libraries & Options  
# Create Output  
# -----  
# PREPARE DATA  
# Load Data  
# Select Variables for Analysis  
# Select Data for Analysis  
# Generate Descriptive Statistics  
# Set Starting Values  
# -----  
# PREPARE MODEL  
# Create Algebra for expected Mean Matrices  
# Create Algebra for expected Variance/Covariance Matrices  
# Create Data Objects for Multiple Groups  
# Create Expectation Objects for Multiple Groups  
# Create Model Objects for Multiple Groups  
# Create Confidence Interval Objects  
# Build Saturated Model with Confidence Intervals  
# -----  
# RUN MODEL  
# Run Saturated Model  
# Print Goodness-of-fit Statistics & Parameter Estimates
```

# Univariate Saturated Model

# oneSATc.R

```
# -----  
# Program: oneSATc.R  
# Author: Hermine Maes  
# Date: 10 22 2018  
#  
# Twin Univariate Saturated model to estimate means and (co)variances across multiple groups  
# Matrix style model - Raw data - Continuous data  
# -----|-----|-----|-----|-----|-----|-----|-----|  
  
# Load Libraries & Options  
rm(list=ls())  
library(OpenMx) → load OpenMx  
library(psych); library(polycor)  
source("miFunctions.R") → my functions which you can edit as you like  
  
# Create Output  
filename <- "oneSATc"  
sink(paste(filename, ".Ro", sep=""), append=FALSE, split=TRUE) → creates output file with extension .Ro
```

# Preparing Data

## oneSATc.R

```
# -----  
# PREPARE DATA  
  
# Load Data  
data(twinData) → load 'twinData' or read in your own  
dim(twinData)  
describe(twinData[,1:12], skew=F)  
  
# Select Variables for Analysis  
vars      <- 'bmi'           # list of variables names  
nv        <- 1               # number of variables  
ntv       <- nv*2            # number of total variables  
selVars   <- paste(vars,c(rep(1,nv),rep(2,nv)),sep="") → analyzing c('bmi1','bmi2')  
# Select Data for Analysis  
mzData    <- subset(twinData, zyg==1, selVars) # zygosity='MZFF' & cohort='younger'  
dzData    <- subset(twinData, zyg==3, selVars) → get right codes for zygosity  
  
# Generate Descriptive Statistics  
colMeans(mzData,na.rm=TRUE)  
colMeans(dzData,na.rm=TRUE)  
cov(mzData,use="complete")  
cov(dzData,use="complete")  
  
# Set Starting Values  
svMe      <- 20              # start value for means  
svVa      <- .8              # start value for variance  
lbVa      <- .0001           # lower bound for variance
```

# SAT Deconstructed: Covariance Matrices & Means



```
meanMZ    <- mxMatrix( type="Full", nrow=1, ncol=ntv,
  free=TRUE, values=svMe, labels=c("mMZ1", "mMZ2"), name="meanMZ" )
meanDZ    <- mxMatrix( type="Full", nrow=1, ncol=ntv,
  free=TRUE, values=svMe, labels=c("mDZ1", "mDZ2"), name="meanDZ" )
```

mMZ1	mMZ2
------	------

**meanMZ** 1x2

mDZ1	mDZ2
------	------

**meanDZ** 1x2

```
covMZ     <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv,
  free=TRUE, values=valDiag(svVa,ntv), lbound=valDiag(lbVa,ntv),
  labels=c("vMZ1", "cMZ21", "vMZ2"), name="covMZ" )
```

vMZ1	cMZ21
cMZ21	vMZ2

**covMZ** 2x2

```
covDZ     <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv,
  free=TRUE, values=valDiag(svVa,ntv), lbound=valDiag(lbVa,ntv),
  labels=c("vDZ1", "cDZ21", "vDZ2"), name="covDZ" )
```

vDZ1	cDZ21
cDZ21	vDZ2

**covDZ** 2x2

# OpenMx Commands

---

```
mxMatrix( type="Full", nrow=1, ncol=ntv,  
  free=TRUE, values=svMe, labels=c("mMZ1", "mMZ2"), name="meanMZ" )
```

```
mxData( observed=mzData, type="raw" )
```

```
mxExpectationNormal( covariance="covDZ", means="meanDZ", dimnames=selVars )
```

```
mxFitFunctionML()
```

```
mxModel( meanMZ, covMZ, dataMZ, expMZ, funML, name="MZ" )
```

```
mxFitFunctionMultigroup( c("MZ", "DZ") )
```

```
mxCI( c('MZ.covMZ', 'DZ.covDZ') )
```

```
mxRun( modelSAT, intervals=F )
```

```
mxGetExpected( fitSAT, c("means", "covariance") )
```

```
omxSetParameters( modelEMVZ, label=c("vMZ", "vDZ"), free=TRUE, newlabels='vZ' )
```

```
mxCompare( fitSAT, fitEM0 )
```

# Preparing Model

## oneSATc.R

```
# -----  
# PREPARE MODEL  
  
# Create Algebra for expected Mean Matrices  
meanMZ <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=TRUE, values=svMe, labels=c("mMZ1", "mMZ2"), name="meanMZ" )  
meanDZ <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=TRUE, values=svMe, labels=c("mDZ1", "mDZ2"), name="meanDZ" )  
full matrix for means  
  
# Create Algebra for expected Variance/Covariance Matrices  
covMZ <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=TRUE, values=valDiag(svVa,ntv), lbound=valDiag(lbVa,ntv),  
labels=c("vMZ1", "cMZ21", "vMZ2"), name="covMZ" )  
covDZ <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=TRUE, values=valDiag(svVa,ntv), lbound=valDiag(lbVa,ntv),  
labels=c("vDZ1", "cDZ21", "vDZ2"), name="covDZ" )  
symmetric matrix for covariances  
  
# Create Data Objects for Multiple Groups  
dataMZ <- mxData( observed=mzData, type="raw" )  
dataDZ <- mxData( observed=dzData, type="raw" )  
fitting to raw data  
  
# Create Expectation Objects for Multiple Groups  
expMZ <- mxExpectationNormal( covariance="covMZ", means="meanMZ", dimnames=selVars )  
expDZ <- mxExpectationNormal( covariance="covDZ", means="meanDZ", dimnames=selVars )  
link to data  
funML <- mxFitFunctionML()  
using FIML: full information maximum likelihood
```

# Run Model

# oneSATc.R

```
# Create Model Objects for Multiple Groups
modelMZ <- mxModel( meanMZ, covMZ, dataMZ, expMZ, funML, name="MZ" )
modelDZ <- mxModel( meanDZ, covDZ, dataDZ, expDZ, funML, name="DZ" )
multi   <- mxFitFunctionMultigroup( c("MZ","DZ") )

# Create Confidence Interval Objects
ciCov   <- mxCI( c('MZ.covMZ','DZ.covDZ') )
ciMean  <- mxCI( c('MZ.meanMZ','DZ.meanDZ') )

# Build Saturated Model with Confidence Intervals
modelSAT <- mxModel( "oneSATc", modelMZ, modelDZ, multi, ciCov, ciMean )

# -----
# RUN MODEL

# Run Saturated Model
fitSAT <- mxRun( modelSAT, intervals=F )
sumSAT <- summary( fitSAT )

# Print Goodness-of-fit Statistics & Parameter Estimates
fitGofs( fitSAT )
fitEsts( fitSAT )
mxGetExpected( fitSAT, c("means","covariance") )
```

model object contains all matrices etc.

evaluating 2 groups simultaneously

built model

fitted model

standard summary function in OpenMx

my short summary function in miFunctions.R



# Model Building - Model Fitting

```
modelSAT <- mxModel(..
```

built model

```
  name="oneSATc",  
  modelMZ, modelDZ,  
  name="MZ", name="DZ",  
  meanMZ, meanDZ,  
  covMZ, covDZ,  
  dataMZ, dataDZ,  
  expMZ, expDZ,  
  funML, funML,  
  multi,  
  ciCov, ciMean
```

model objects

name of model used in summary  
model for means, with start values  
model for covariances, with start values  
data  
expectation (combines models & data)  
fit function, here FIML

multigroup, evaluate all groups simultaneously  
confidence intervals specified

```
fitSAT <- mxRun(modelSAT)
```

fit model

```
  name="oneSATc",  
  modelMZ, modelDZ,  
  name="MZ", name="DZ",  
  meanMZ, meanDZ,  
  covMZ, covDZ,  
  dataMZ, dataDZ,  
  expMZ, expDZ,  
  funML, funML,  
  multi,  
  ciCov, ciMean
```

model for means, with parameter estimates  
model for covariances, with parameter estimates

# more of miFunctions.R

---

```
# Functions to generate output
```

```
fitGofs <- function(fit) {  
  summ <- summary(fit)  
  cat(paste("Mx:", fit$name, " os=", summ$ob, " ns=", summ$nu, " ep=", summ$es,  
           " co=", sum(summ$cons), " df=", summ$de, " ll=", round(summ$Mi,4),  
           " cpu=", round(summ$cpu,4), " opt=", summ$op, " ver=", summ$mx,  
           " stc=", fit$output$status$code, "\n", sep=""))  
}
```

```
fitEsts <- function(fit) {  
  print(round(fit$output$estimate,4))  
}
```

```
fitEstCis <- function(fit) {  
  print(round(fit$output$estimate,4))  
  print(round(fit$output$confidenceIntervals,4))  
}
```

# Print Goodness-of-Fit Statistics

---

```
> summary(fitSAT)
```

```
Model Statistics:
```

	Parameters	Degrees of Freedom	Fit (-2lnL units)
Model:	10	1767	4055.9346
Saturated:	NA	NA	NA
Independence:	NA	NA	NA

```
Number of observations/statistics: 920/1777
```

```
Information Criteria:
```

	df Penalty	Parameters Penalty	Sample-Size Adjusted
AIC:	521.93461	4075.9346	NA
BIC:	-8002.73367	4124.1783	4092.4195

```
CFI: NA
```

```
TLI: 1 (also known as NNFI)
```

```
RMSEA: 0 [95% CI (NA, NA)]
```

```
Prob(RMSEA <= 0.05): NA
```

```
To get additional fit indices, see help(mxRefModels)
```

```
timestamp: 2020-03-01 16:55:41
```

```
Wall clock time: 0.095155001 secs
```

```
optimizer: NPSOL
```

```
OpenMx version number: 2.17.2
```

```
Need help? See help(mxSummary)
```

```
> fitGofs(fitSAT)
```

```
Mx:oneSATc os=1777 ns=920 ep=10 co=0 df=1767 ll=4055.9346 cpu=0.0952 opt=NPSOL ver=2.17.2 stc=0
```

# Print Estimates

---

```
> summary(fitSAT)$parameters
```

```
free parameters:
```

	name	matrix	row	col	Estimate	Std.Error	A	lbound	ubound
1	mMZ1	MZ.meanMZ	1	bmi1	21.34437690	0.036061832			
2	mMZ2	MZ.meanMZ	1	bmi2	21.34901242	0.037650856			
3	vMZ1	MZ.covMZ	bmi1	bmi1	0.72766891	0.043658984		1e-04	
4	cMZ21	MZ.covMZ	bmi1	bmi2	0.59163768	0.040794161		0	
5	vMZ2	MZ.covMZ	bmi2	bmi2	0.79319915	0.047647906		1e-04	
6	mDZ1	DZ.meanDZ	1	bmi1	21.44752035	0.047571928			
7	mDZ2	DZ.meanDZ	1	bmi2	21.45784215	0.049233334			
8	vDZ1	DZ.covDZ	bmi1	bmi1	0.76919130	0.059007266		1e-04	
9	cDZ21	DZ.covDZ	bmi1	bmi2	0.24004049	0.045201541		0	
10	vDZ2	DZ.covDZ	bmi2	bmi2	0.82163163	0.063154677		1e-04	

```
> fitEsts(fitSAT)
```

mMZ1	mMZ2	vMZ1	cMZ21	vMZ2	mDZ1	mDZ2	vDZ1	cDZ21	vDZ2
21.3444	21.3490	0.7277	0.5916	0.7932	21.4475	21.4578	0.7692	0.2400	0.8216

# Estimated Values

	Saturated Model					
	MZ twins			DZ twins		
		<b>T1</b>	<b>T2</b>		<b>T1</b>	<b>T2</b>
mean	<b>MZ</b>	21.34	21.35	<b>DZ</b>	21.45	21.46
		<b>T1</b>	<b>T2</b>		<b>T1</b>	<b>T2</b>
COV	<b>T1</b>	0.73		<b>T1</b>	0.77	
	<b>T2</b>	0.59	0.79	<b>T2</b>	0.24	0.82

10 parameters estimated:  
 mMZ1, mMZ2, vMZ1, vMZ2, cMZ21  
 mDZ1, mDZ2, vDZ1, vDZ2, cDZ21

# Goodness-of-Fit Statistics

	os	ep	-2ll	df	AIC	diff -2ll	diff df	p
Saturated	1777	10	4055.93	1767	521.93			

os	observed statistics	
ep	estimated parameters	
-2ll	-2 LogLikelihood	
df	degrees of freedom	os - ep
AIC	Akaike's Information Criterion	-2ll -2df

# Fitting Nested Models

## oneSATc.R

```
# Constrain expected Means to be equal across twin order
modelEMO <- mxModel(fitSAT, name="oneEMOc" )
modelEMO <- omxSetParameters( modelEMO, label=c("mMZ1", "mMZ2"), free=TRUE, values=svMe, newlabels='mMZ' )
modelEMO <- omxSetParameters( modelEMO, label=c("mDZ1", "mDZ2"), free=TRUE, values=svMe, newlabels='mDZ' )
fitEMO <- mxRun( modelEMO, intervals=F )
fitGofs(fitEMO); fitEsts(fitEMO)
```

changing parameters

existing parameters

new parameters

```
# Constrain expected Means and Variances to be equal across twin order
modelEMVO <- mxModel(fitEMO, name="oneEMV0c" )
modelEMVO <- omxSetParameters( modelEMVO, label=c("vMZ1", "vMZ2"), free=TRUE, values=svVa, newlabels='vMZ' )
modelEMVO <- omxSetParameters( modelEMVO, label=c("vDZ1", "vDZ2"), free=TRUE, values=svVa, newlabels='vDZ' )
fitEMVO <- mxRun( modelEMVO, intervals=F )
fitGofs(fitEMVO); fitEsts(fitEMVO)
```

```
# Constrain expected Means and Variances to be equal across twin order and zygosity
modelEMVZ <- mxModel(fitEMVO, name="oneEMVZc" )
modelEMVZ <- omxSetParameters( modelEMVZ, label=c("mMZ", "mDZ"), free=TRUE, values=svMe, newlabels='mZ' )
modelEMVZ <- omxSetParameters( modelEMVZ, label=c("vMZ", "vDZ"), free=TRUE, values=svVa, newlabels='vZ' )
fitEMVZ <- mxRun( modelEMVZ, intervals=F )
fitGofs(fitEMVZ); fitEsts(fitEMVZ)
```

```
# Print Comparative Fit Statistics
mxCompare( fitSAT, subs <- list(fitEMO, fitEMVO, fitEMVZ) )
```

generate likelihood ratio test

```
# -----
sink()
save.image(paste(filename, ".Ri", sep=""))
```

close .Ro file & save image as file with .Ri extension

# Goodness-of-Fit Stats

	os	ep	-2ll	df	AIC	diff -2ll	diff df	p
Saturated	1777	10	4055.93	1767	521.93			
mT1=mT2	1777	8	4056.00	1769	518.00	0.07	2	0.97
mT1=mT2 varT1=varT2	1777	6	4058.94	1771	516.94	3.01	4	0.56
Zyg MZ=DZ	1777	4	4063.45	1773	517.45	7.52	6	0.28

diff -2ll	likelihood ratio Chi-square	
diff df	difference in degrees of freedom	
p	probability of Chi-square	



# Conclusions so far

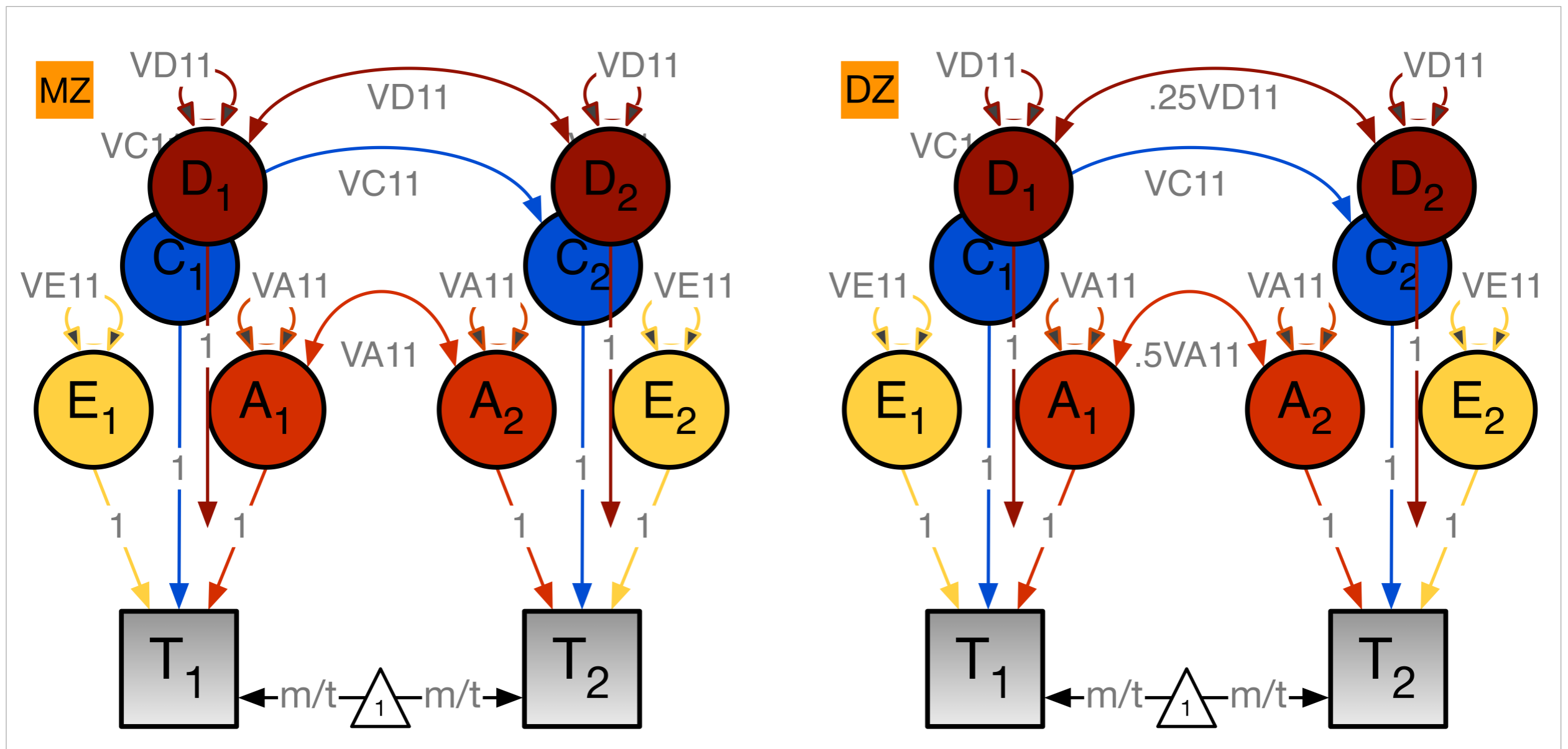
---

- BMI in young OZ females (age 18-30)
  - **means** of **twin 1** and **twin 2** not significantly different from one another in MZ & DZ pairs
  - **variances** of **twin 1** and **twin 2** not significantly different from one another in MZ & DZ pairs
  - **means and variances** of **MZs** and **DZs** not significantly different from one another
  - basic data assumptions about CTS met

# Genetic Model(s)

ACE/ADE model

oneACEvc.R & oneADEvc.R



# Estimating ACE Model

---

## ■ Three unique observed statistics

- $V = VA + VC + VE$

- $cMZ = VA + VC$

- $cDZ = .5VA + VC$

## ■ Three unknown parameters

- $V - cMZ = (VA + VC + VE) - (VA + VC) = VE$

- $cMZ - cDZ = (VA + VC) - (.5VA + VC) = .5VA$

- Thus  $VA = 2(cMZ - cDZ)$

- $VC = -cMZ + 2cDZ$ , or  $V - VA - VE = VC$

## ■ What if negative VC?

- $VD' = -2VC$

- $VA' = cMZ + 2VC = VA + 3VC$

# Estimating ADE Model

---

## ■ Three unique observed statistics

- $V = VA + VD + VE$
- $cMZ = VA + VD$
- $cDZ = .5VA + .25VD$

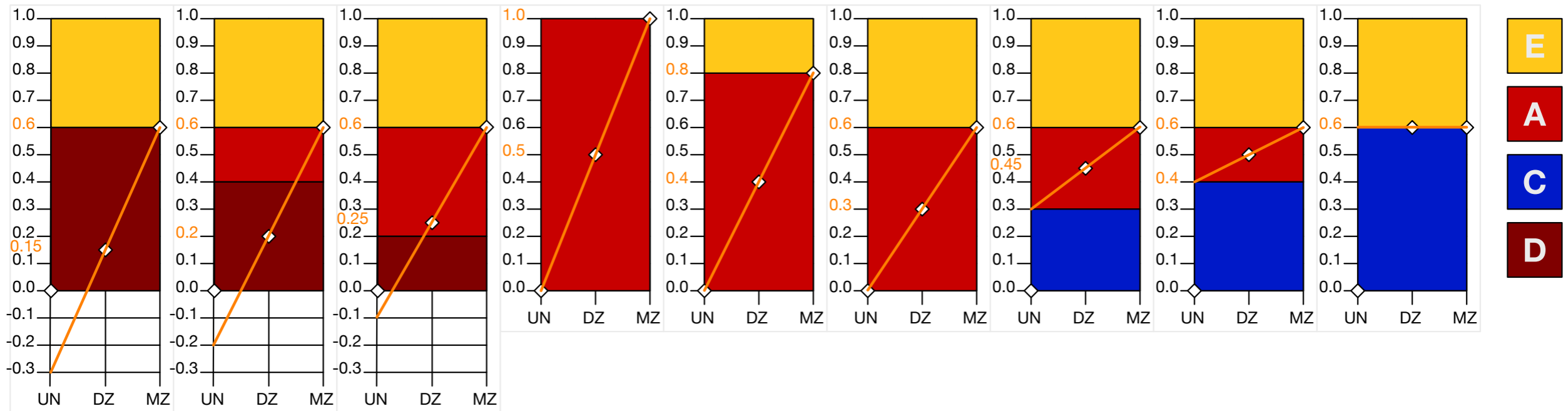
## ■ Three unknown parameters

- $V - cMZ = (VA + VD + VE) - (VA + VD) = VE$
- $cMZ - 4 * cDZ = (VA + VD) - (2VA + VD) = -VA$
- Thus  $VA = -(cMZ - 4 * cDZ)$
- $VD = 2cMZ - 4 * cDZ$ , or  $V - VA - VE = VD$

## ■ What if negative VD?

- $VC' = -1/2VD$
- $VA' = cMZ + 1/2VD$

# From Twin Correlations to Sources of Variance



$r_{DZ} < 1/2 r_{MZ}$

$r_{MZ} > r_{DZ}$

$r_{DZ} > 1/2 r_{MZ}$

$r_{MZ} = 2 * r_{DZ}$

$1 - r_{MZ}$

$r_{MZ} = r_{DZ}$

only A

E + only A

E + only C

E + A & D

E + A & C

$D = -2 * C$

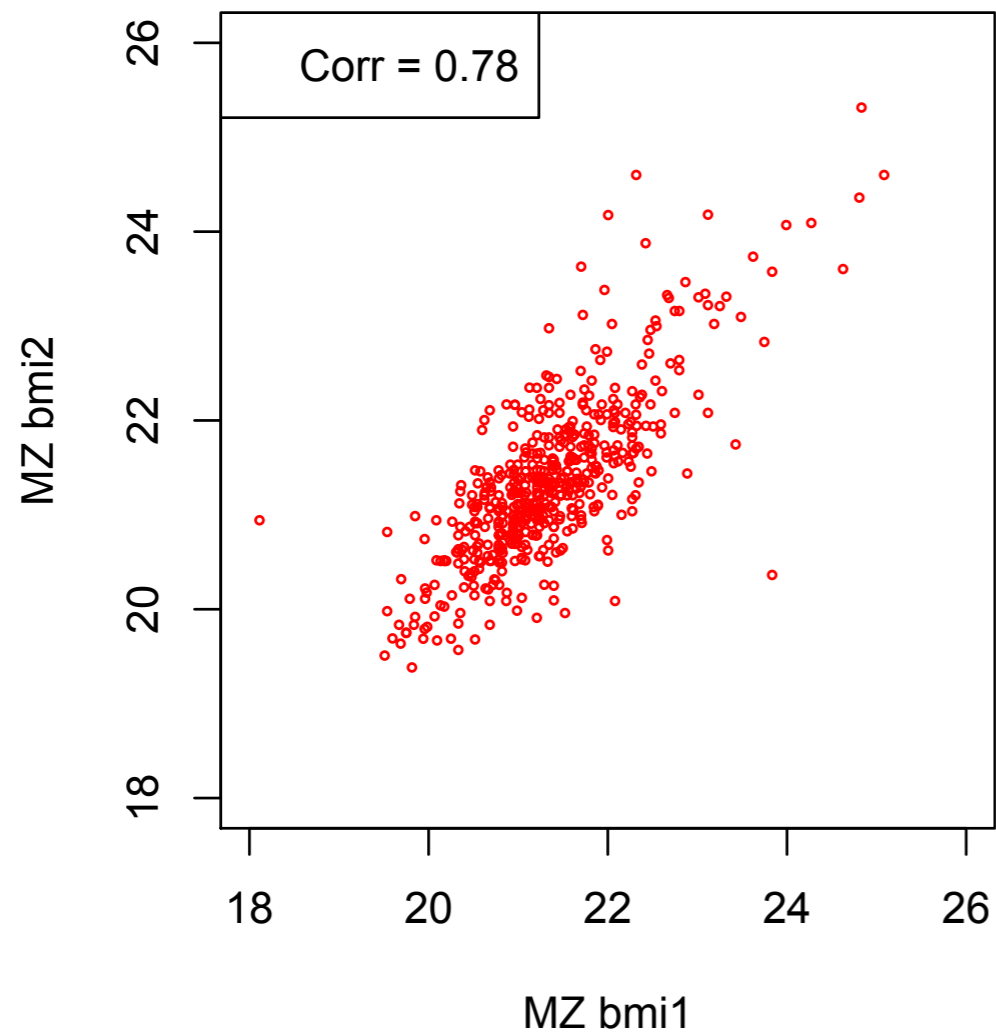
$C = -1/2 * D$

rMZ: monozygotic twin correlation; rDZ: dizygotic twin correlation;  
 A: additive genetic factors; E: unique environment; C: common environment; D: dominance

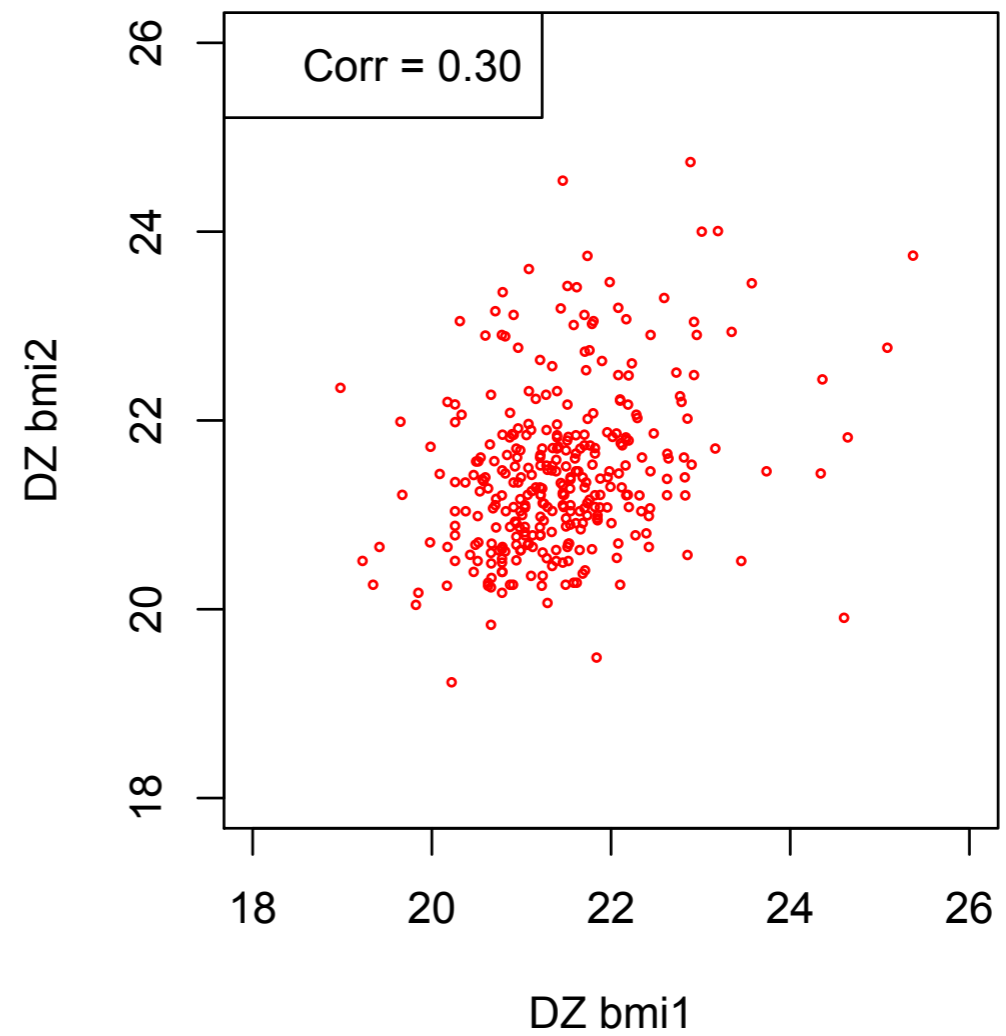
# Example Twin Correlations

---

**MZ BMI**



**DZ BMI**



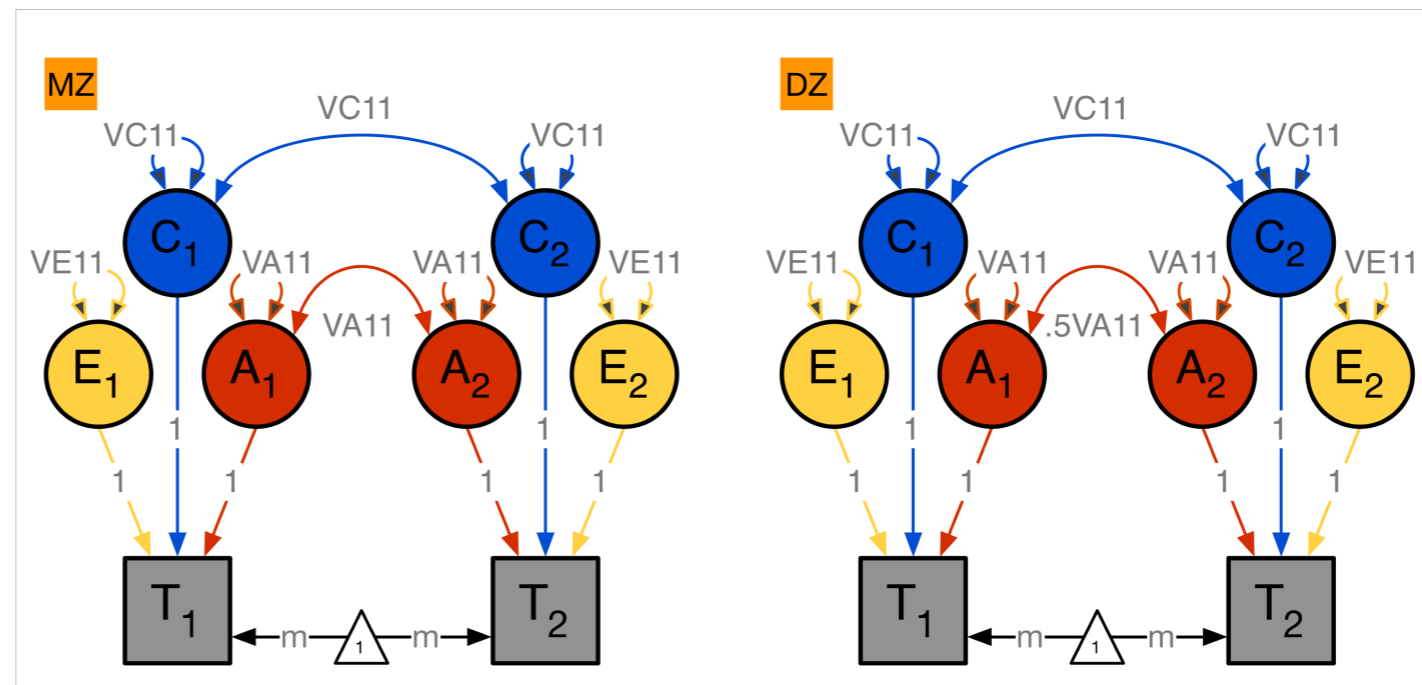
# Roadmap for Univariate Analysis

---

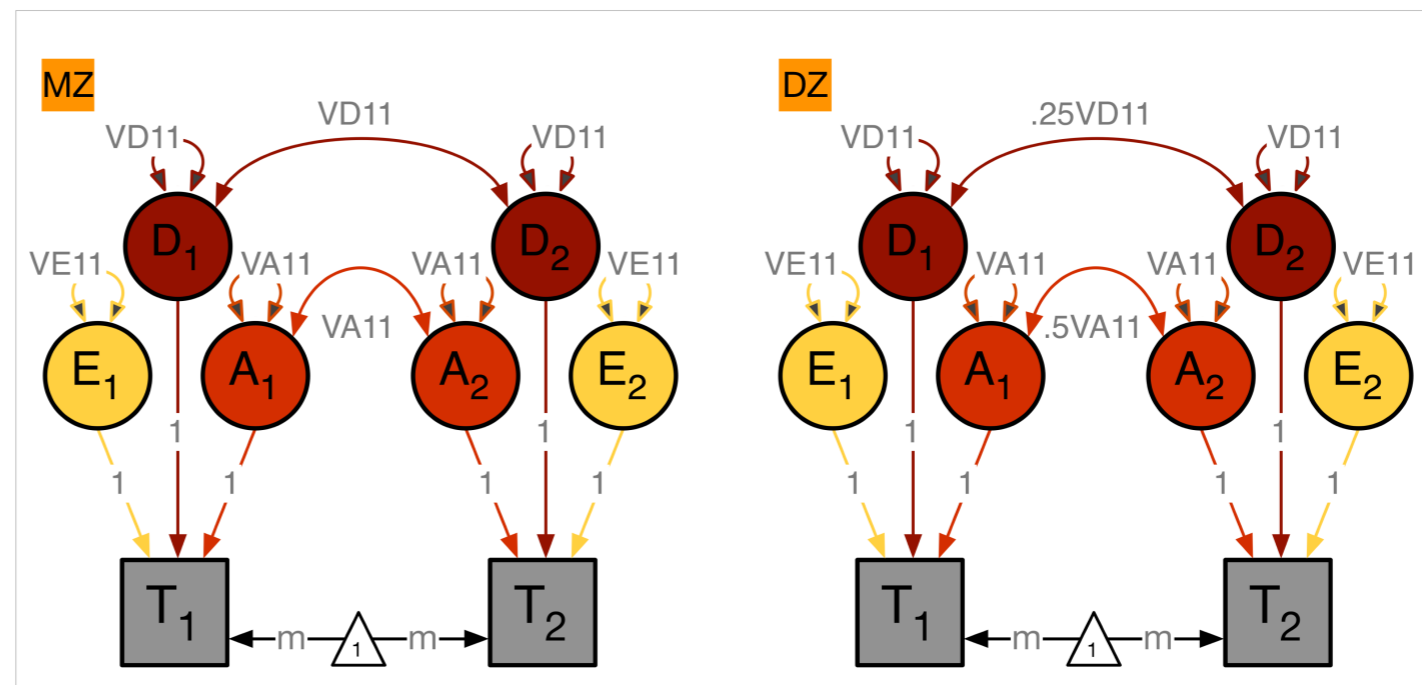
- Use data to test basic assumptions (equal means & variances for twin 1/twin 2 and MZ/DZ pairs)
  - Saturated Model
- Estimate contributions of genetic/environmental effects on total variance of a phenotype
  - ACE or ADE Models
- Test ACE / ADE submodels to identify and report significant genetic and environmental contributions
  - AE / CE / E Only Models

# Univariate ACE / ADE Model **variance estimation**

ACE model  
`oneACEvc.R`

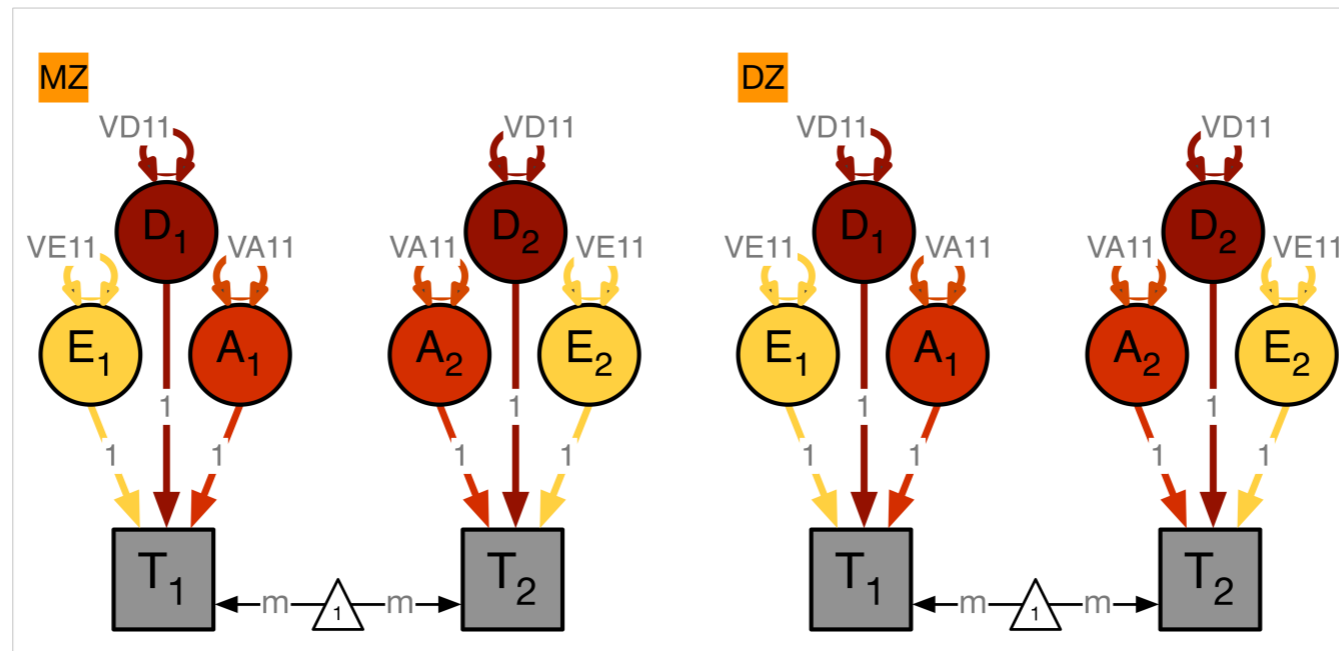


ADE model  
`oneADEvc.R`





# ADE Deconstructed: *Variance Components*



```
covA      <- mxMatrix( type="Symm", nrow=nv, ncol=nv,
free=TRUE, values=svPa, label="VA11", name="VA" )
```

**VA** 1x1

VA11

```
covD      <- mxMatrix( type="Symm", nrow=nv, ncol=nv,
free=TRUE, values=svPa, label="VD11", name="VD" )
```

**VD** 1x1

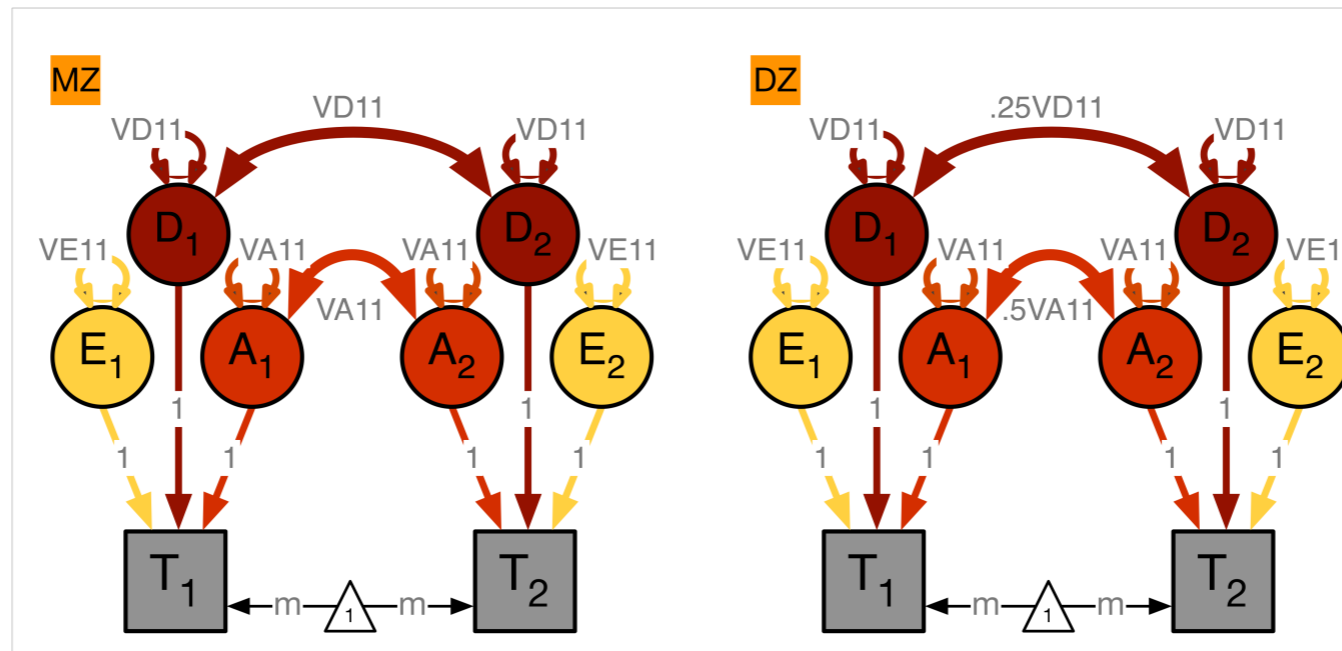
VD11

```
covE      <- mxMatrix( type="Symm", nrow=nv, ncol=nv,
free=TRUE, values=svPe, label="VE11", name="VE" )
```

**VE** 1x1

VE11

# ADE Deconstructed: *Variances + Covariances*



```
covP      <- mxAlgebra( expression= VA+VD+VE,
  name="V" )
```

V	VA+VD+VE
---	----------

**V** 1x1

```
covMZ     <- mxAlgebra( expression= VA+VD,
  name="cMZ" )
```

cMZ	VA+VD
-----	-------

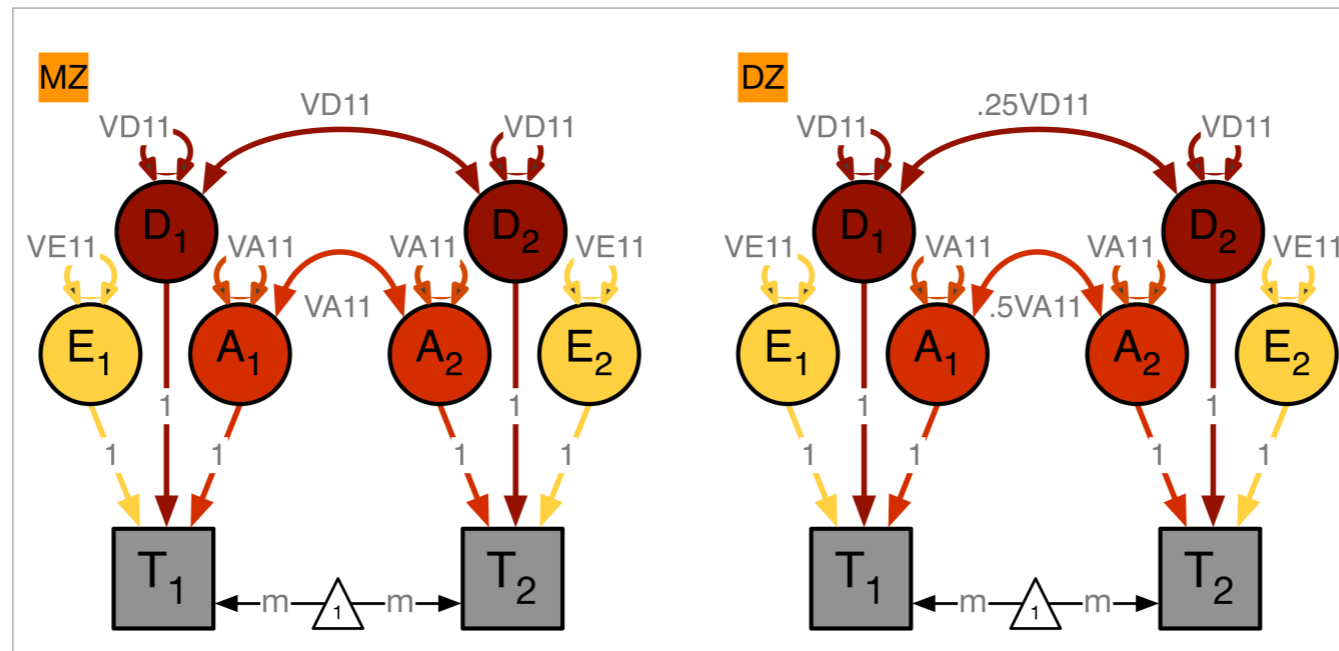
**cMZ** 1x1

```
covDZ     <- mxAlgebra( expression= 0.5x%VA+ 0.25x%VD,
  name="cDZ" )
```

cDZ	.5VA+.25VD
-----	------------

**cDZ** 1x1

# ADE Deconstructed: Covariance Matrices & Means



```
expCovMZ <- mxAlgebra( expression= rbind(
  cbind(V, cMZ), cbind(t(cMZ), V)), name="expCovMZ" )
```

```
expCovDZ <- mxAlgebra( expression= rbind(
  cbind(V, cDZ), cbind(t(cDZ), V)), name="expCovDZ" )
```

```
meanG <- mxMatrix( type="Full", nrow=1, ncol=ntv,
  free=TRUE, values=svMe, labels=labVars("mean", vars),
  name="meanG" )
```

V	cMZ
cMZ	V

**expCovMZ** 2x2

V	cDZ
cDZ	V

**expCovDZ** 2x2

X <sub>1</sub>	X <sub>1</sub>
----------------	----------------

**meanG** 1x2

# Model Specification

## oneADEvc.R

```
# -----  
# PREPARE MODEL  
  
# Create Algebra for expected Mean Matrices  
meanG      <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=TRUE, values=svMe, labels=labVars("mean",vars),  
  name="meanG" )  
  
# Create Matrices for Variance Components  
covA       <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svPa, label="VA11", name="VA" )  
covD       <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svPa, label="VD11", name="VD" )  
covE       <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svPe, label="VE11", name="VE" )  
  
# Create Algebra for expected Variance/Covariance Matrices in MZ & DZ twins  
covP       <- mxAlgebra( expression= VA+VD+VE, name="V" )  
covMZ      <- mxAlgebra( expression= VA+VD, name="cMZ" )  
covDZ      <- mxAlgebra( expression= 0.5%x%VA+ 0.25%x%VD, name="cDZ" )  
expCovMZ   <- mxAlgebra( expression= rbind( cbind(V, cMZ), cbind(t(cMZ), V)), name="expCovMZ" )  
expCovDZ   <- mxAlgebra( expression= rbind( cbind(V, cDZ), cbind(t(cDZ), V)), name="expCovDZ" )
```

variance components: VA, VD & VE

# Model Specification 2

## oneADEvc.R

```
# Create Data Objects for Multiple Groups
```

```
dataMZ <- mxData( observed=mzData, type="raw" )  
dataDZ <- mxData( observed=dzData, type="raw" )
```

```
# Create Expectation Objects for Multiple Groups
```

```
expMZ <- mxExpectationNormal( covariance="expCovMZ", means="meanG", dimnames=selVars )  
expDZ <- mxExpectationNormal( covariance="expCovDZ", means="meanG", dimnames=selVars )  
funML <- mxFitFunctionML()
```

```
# Create Model Objects for Multiple Groups
```

```
pars <- list(meanG, covA, covD, covE, covP) → list of common elements  
modelMZ <- mxModel( pars, covMZ, expCovMZ, dataMZ, expMZ, funML, name="MZ" )  
modelDZ <- mxModel( pars, covDZ, expCovDZ, dataDZ, expDZ, funML, name="DZ" )  
multi <- mxFitFunctionMultigroup( c("MZ", "DZ") )
```

```
# Create Algebra for Variance Components
```

```
rowUS <- rep("US", nv)  
colUS <- rep(c("VA", "VD", "VE", "SA", "SD", "SE"), each=nv)  
estUS <- mxAlgebra( expression=cbind(VA, VD, VE, VA/V, VD/V, VE/V), name="US", dimnames=list(rowUS, colUS))  
→ calculate standardized variance components
```

```
# Create Confidence Interval Objects
```

```
ciADE <- mxCI( "US[1,1:3]" ) → list of matrix elements to calculate confidence intervals (CI)
```

```
# Build Model with Confidence Intervals
```

```
modelADE <- mxModel( "oneADEvc", pars, modelMZ, modelDZ, multi, estUS, ciADE ) → ADE model object
```

# Run Model

# oneADEvc.R

```
# -----  
# RUN MODEL  
  
# Run ADE Model  
fitADE    <- mxRun( modelADE, intervals=T )  
sumADE    <- summary( fitADE )  
  
# Compare with Saturated Model  
#if saturated model fitted in same session  
mxCompare( fit, fitADE )  
#if saturated model prior to genetic model  
#lrtSAT( fitADE, 4055.9346, 1767 )  
  
# Print Goodness-of-fit Statistics & Parameter Estimates  
fitGofs( fitADE )  
fitEstCis( fitADE )  
  
round( fitADE$US$result, 4 )
```

estimate CI's

function in miFunctions.R to provide -2ll & df of previously fit model

print estimates of variance components

# summary(fitADE)

---

## free parameters:

	name	matrix	row	col	Estimate	Std.Error	A
1	meanbmi	meanG	1	1	21.39464927	0.025973494	
2	VA11	VA	1	1	0.32092995	0.150909584	
3	VD11	VD	1	1	0.28942518	0.147886812	
4	VE11	VE	1	1	0.16935016	0.010363413	

## confidence intervals:

	lbound	estimate	ubound	note
oneADEvc.US[1,1]	0.016290870	0.32092995	0.61208265	
oneADEvc.US[1,2]	0.011924028	0.28942518	0.59556124	
oneADEvc.US[1,3]	0.150553156	0.16935016	0.19139089	

## Model Statistics:

	Parameters	Degrees of Freedom	Fit (-2lnL units)
Model:	4	1773	4063.4496
Saturated:	NA	NA	NA
Independence:	NA	NA	NA

Number of observations/statistics: 920/1777

## Information Criteria:

	df	Penalty	Parameters	Penalty	Sample-Size Adjusted
AIC:		517.44962		4071.4496	NA
BIC:		-8036.16490		4090.7471	4078.0436

# miFunctions: fitGofs & fitEsts

---

```
> fitGofs(fitADE)
```

```
Mx:oneADEvc  os=1777  ns=920  ep=4  co=0  df=1773  ll=4063.4496  cpu=0.1513  opt=NPSOL  ver=2.17.2  stc=0
```

```
>
```

```
> fitEstCis(fitADE)
```

```
meanbmi  VA11  VD11  VE11
```

```
21.3946  0.3209  0.2894  0.1694
```

```
          lbound estimate ubound
```

```
oneADEvc.US[1,1] 0.0163  0.3209 0.6121
```

```
oneADEvc.US[1,2] 0.0119  0.2894 0.5956
```

```
oneADEvc.US[1,3] 0.1506  0.1694 0.1914
```

```
> round(fitADE$US$result,4)
```

```
      VA      VD      VE      SA      SD      SE
```

```
US 0.3209 0.2894 0.1694 0.4116 0.3712 0.2172
```



# Goodness-of-Fit Stats & Estimates

	os	ep	-2ll	df	AIC	diff -2ll	diff df	p
Saturated	1777	10	4055.93	1767	521.93			
ADE	1777	4	4063.45	1773	517.45	7.51	6	0.27

	unstandardized variance components			standardized variance components		
	VA	VD	VE	SA	SD	SE
ADE	0.32	0.29	0.17	0.41	0.37	0.22

# Roadmap for Univariate Analysis

---

- Use data to test basic assumptions (equal means & variances for twin 1/twin 2 and MZ/DZ pairs)
  - Saturated Model
- Estimate contributions of genetic/environmental effects on total variance of a phenotype
  - ACE or ADE Models
- Test ACE / ADE submodels to identify and report significant genetic and environmental contributions
  - AE / CE / E Only Models

# Fitting Nested Models

## oneADEvc.R

```
# -----  
# RUN SUBMODELS  
  
# Run AE model  
modelAE <- mxModel( fitADE, name="oneAEc" )  
modelAE <- omxSetParameters( modelAE, labels="VD11", free=FALSE, values=0 )  
fitAE <- mxRun( modelAE, intervals=T )  
fitGofs(fitAE); fitEsts(fitAE)  
  
# Run E model  
modelE <- mxModel( fitAE, name="oneEc" )  
modelE <- omxSetParameters( modelE, labels="VA11", free=FALSE, values=0 )  
fitE <- mxRun( modelE, intervals=T )  
fitGofs(fitE); fitEsts(fitE)  
  
# Print Comparative Fit Statistics  
mxCompare( fitADE, nested <- list(fitAE, fitE) )  
round(rbind(fitADE$US$result, fitAE$US$result, fitE$US$result ),4)
```

dropping parameters

# Nested Models

---

- 'Full' ADE Model
- Nested Models
  - AE Model vs ADE Model: test significance of **D**
  - E Model vs AE Model: test significance of **A**
  - E Model vs ADE Model: test significance simultaneously of both **A & D**

# Goodness-of-Fit Statistics **variance estimation**

	os	ep	-2ll	df	AIC	diff -2ll	diff df	p
Saturated	1777	10	4055.93	1767	521.93			
ADE	1777	4	4063.45	1773	517.45	7.51	6	0.27
AE	1777	3	4067.66	1774	519.66	4.21	1	0.04
E	1777	2	4591.79	1775	1041.79	528.34	2	0.00

Under the null hypothesis, test is distributed as a chi-square with 1 df

# Estimated Values **variance estimation**

	unstandardized variance components			standardized variance components		
	VA	VD	VE	SA	SD	SE
ADE	0.32 0.02-0.61	0.29 0.01-0.60	0.17 0.15-0.19	0.41	0.37	0.22
AE	0.62 0.56-0.68	-	0.17 0.17-0.19	0.78	-	0.22
E	-	-	0.78 0.73-0.83	-	-	1.00

# Conclusions

---

- BMI in young OZ females (age 18-30)
  - **additive** genetic factors: highly significant
  - **dominance**: borderline significant
  - **specific environmental** factors: significant
  - **shared environmental** factors: not

# Publications

---

- Eaves LJ: Inferring the causes of human variation. *J. R. Stat. Soc. Ser. A* 140, 324–355, 1977.
- Neale MC, Cardon LR: *Methodology for Genetic Studies of Twins and Families (NATO ASI Series)*, Dordrecht, The Netherlands: Kluwer Academic Publishers, 496p, 1992.
- Posthuma P, Beem AL, de Geus EJC, van Baal GCM, von Hjelmborg JB, Iachine I, Boomsma DI: Theory and Practice in Quantitative Genetics. *Twin Research* 6:361-376, 2003.
- Eaves LJ, Chen S, Neale M, Maes HH, Silberg J: Questions, Models and Methods in Psychiatric Genetics, in *Psychiatric Genetics (Review of Psychiatry Vol 24)*, Kendler KS & Eaves LJ (Eds). Washington, DC: American Psychiatric Publishing, Inc., 2005.
- Maes HH: The ACE model, in *Encyclopedia for Behavioral Statistics (Wiley Series in Probability and Statistics)*, Purcell S (Volume Editor). John Wiley & Sons, Inc., 2005.
- Neale MC: Biometrical Models in Behavioral Genetics, in *Handbook of Behavior Genetics*, Yong-Kyu, K. (Volume Editor). Springer, 2009.
- Evans DM, Frazer IH, Martin NG: Genetic and environmental causes of variation in basal levels of blood cells, *Twin Research* 2: 250-257, 1999.



**Twin Research (1999) 2, 250–257**  
© 1999 Stockton Press All rights reserved 1369–0523/99 \$15.00

<http://www.stockton-press.co.uk/tr>

## Genetic and environmental causes of variation in basal levels of blood cells

David M Evans<sup>1</sup>, Ian H Frazer<sup>2</sup> and Nicholas G Martin<sup>1</sup>



# Calculate Correlations

---

## ■ Add calculations to OpenMx scripts

- as part of script (calculated with every iteration)

```
# Create Algebra for Maximum Likelihood Estimates of Twin Correlations
```

```
corMZ  <- mxAlgebra( cov2cor(covMZ), name="corMZ" )  
corDZ  <- mxAlgebra( cov2cor(covDZ), name="corDZ" )
```

→ function to calculate correlations from covariances

- after script has been run

```
# Create Algebra for Maximum Likelihood Estimates of Twin Correlations
```

```
corMZ  <- mxEval( cov2cor(covMZ), fitSAT$MZ )  
corDZ  <- mxEval( cov2cor(covDZ), fitSAT$DZ )
```

# Alternative Approaches to Fitting Genetic Models

---

## ■ Direct Variance Estimation

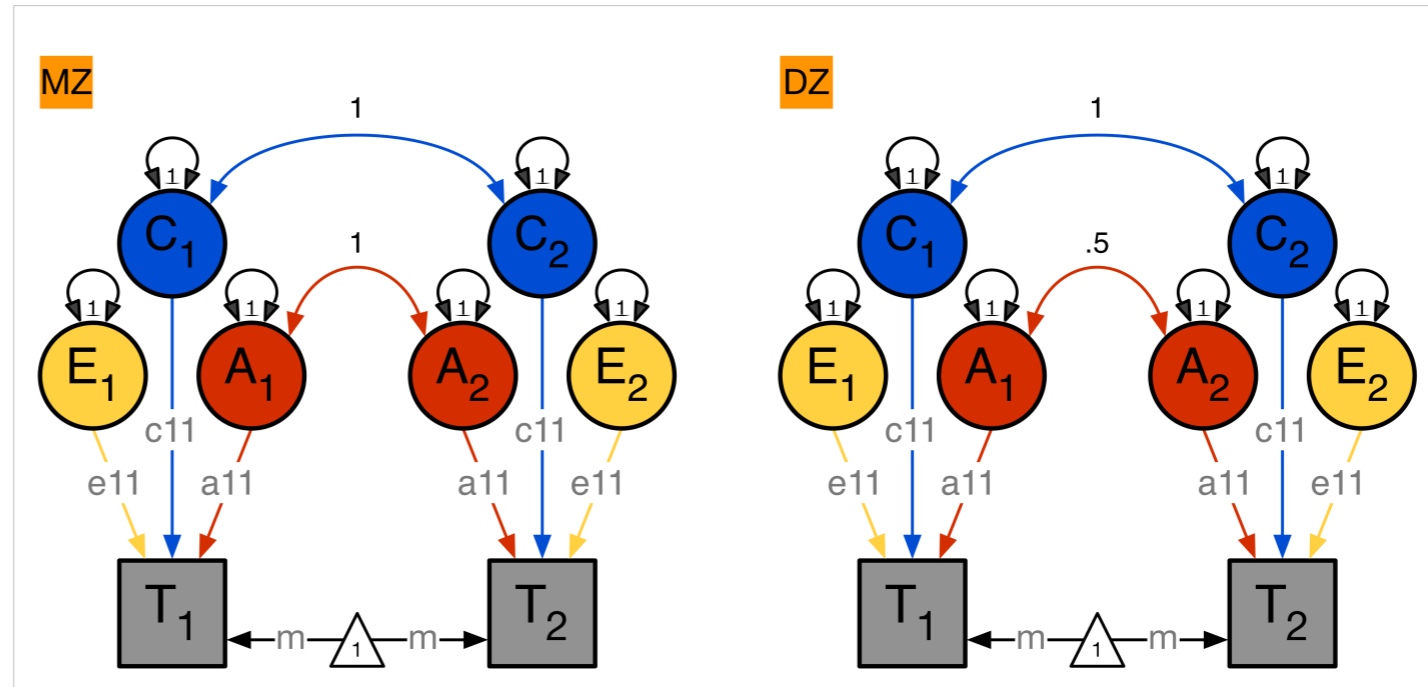
- Allows variances to be estimated as negative
- Makes correct inferences when comparing alternative models

## ■ Path Estimation

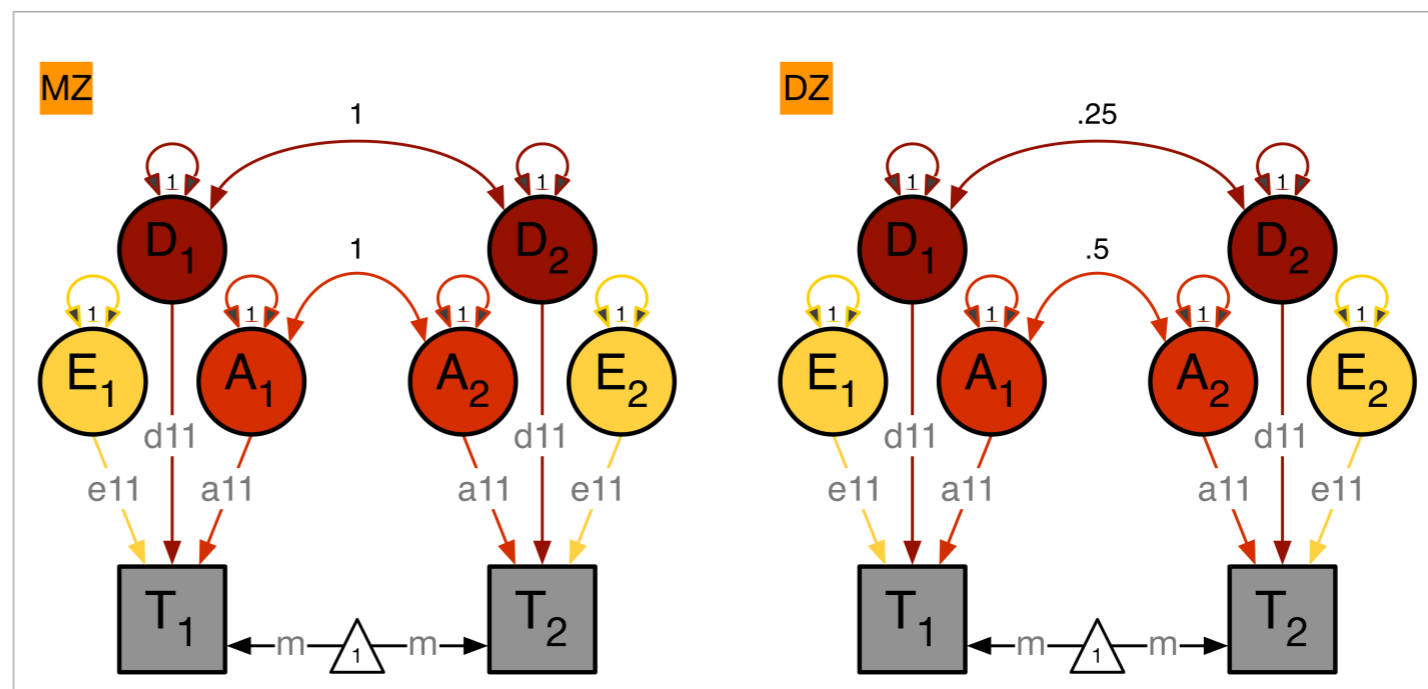
- Bounds variances to be positive
- May make incorrect inferences when comparing alternative models

# Univariate ACE / ADE Model - **path estimation**

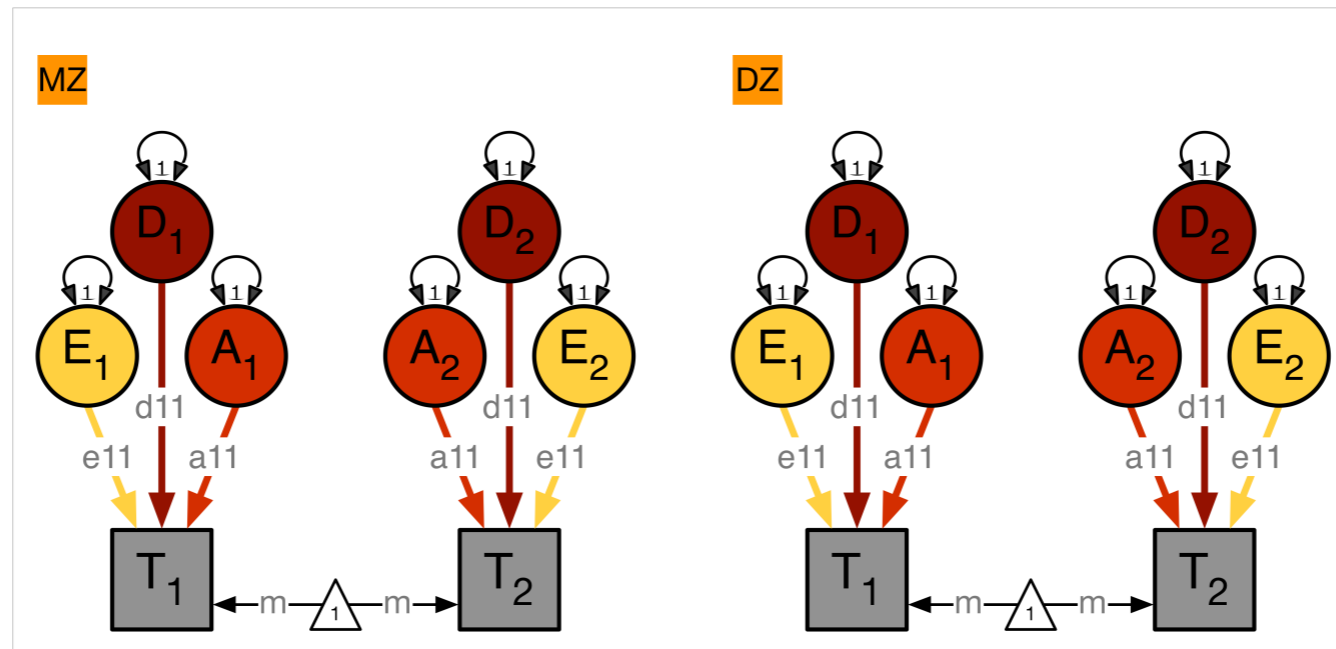
ACE model  
`oneACEc.R`



ADE model  
`oneADEc.R`



# ADE Deconstructed: *Path Coefficients*



```
pathA <- mxMatrix( type="Lower", nrow=nv, ncol=nv, free=TRUE,
  values=svPa, label="a11", lbound=lbPa, name="a" )
```

$a_{11}$   
**a** 1x1

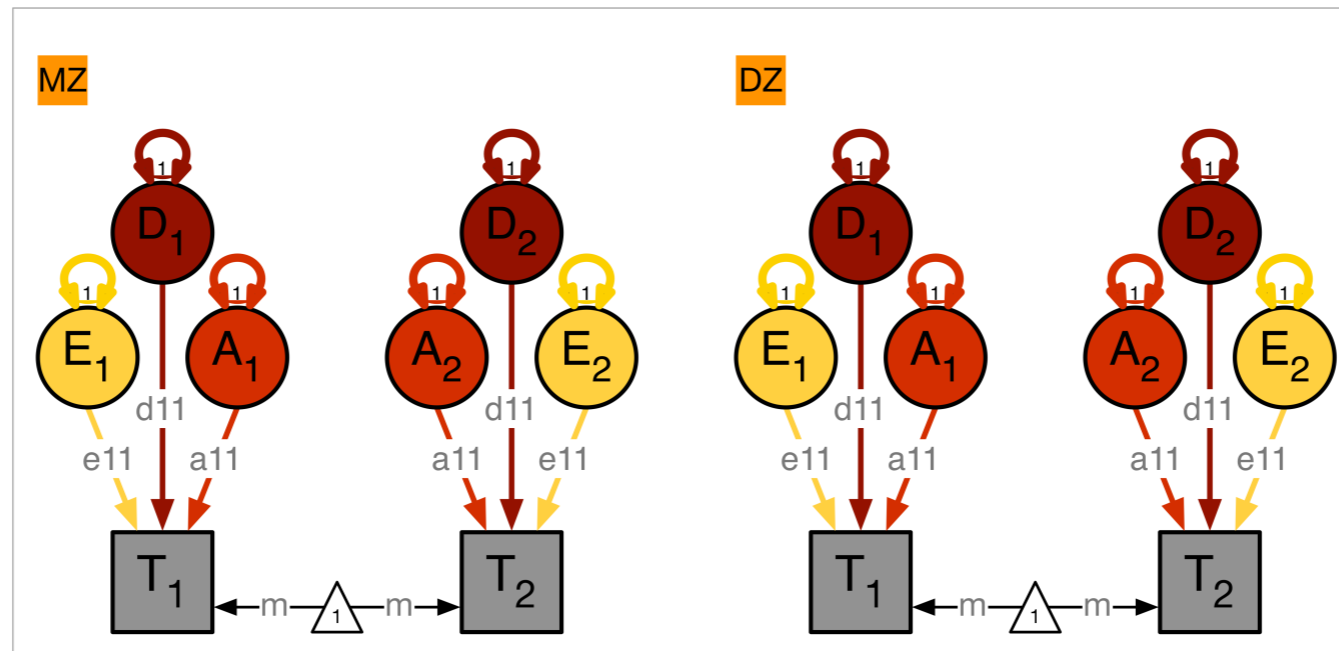
```
pathD <- mxMatrix( type="Lower", nrow=nv, ncol=nv, free=TRUE,
  values=svPa, label="d11", lbound=lbPa, name="d" )
```

$d_{11}$   
**d** 1x1

```
pathE <- mxMatrix( type="Lower", nrow=nv, ncol=nv, free=TRUE,
  values=svPe, label="e11", lbound=lbPa, name="e" )
```

$e_{11}$   
**e** 1x1

# ADE Deconstructed: *Variance Components*



```
covA      <- mxAlgebra( expression=a %*% t(a),
name="A" )
```

$$\mathbf{A} \quad \begin{matrix} a_{11} \\ 1 \times 1 \end{matrix} * \begin{matrix} t(a_{11}) \end{matrix}$$

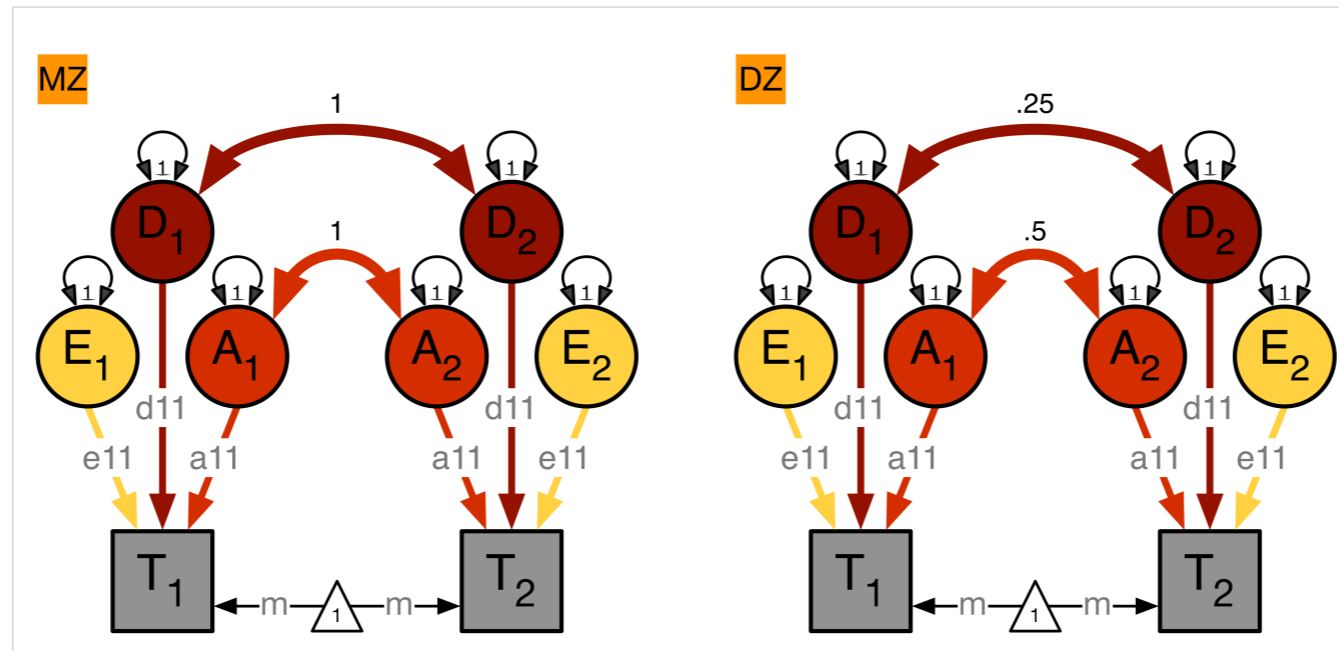
```
covC      <- mxAlgebra( expression=d %*% t(d),
name="D" )
```

$$\mathbf{D} \quad \begin{matrix} d_{11} \\ 1 \times 1 \end{matrix} * \begin{matrix} t(d_{11}) \end{matrix}$$

```
covE      <- mxAlgebra( expression=e %*% t(e),
name="E" )
```

$$\mathbf{E} \quad \begin{matrix} e_{11} \\ 1 \times 1 \end{matrix} * \begin{matrix} t(e_{11}) \end{matrix}$$

# ADE Deconstructed: *Variances + Covariances*



```
covP      <- mxAlgebra( expression= A+D+E,
  name="V" )
```

V

A+D+E

**V** 1x1

```
covMZ     <- mxAlgebra( expression= A+D,
  name="cMZ" )
```

cMZ

A+D

**cMZ** 1x1

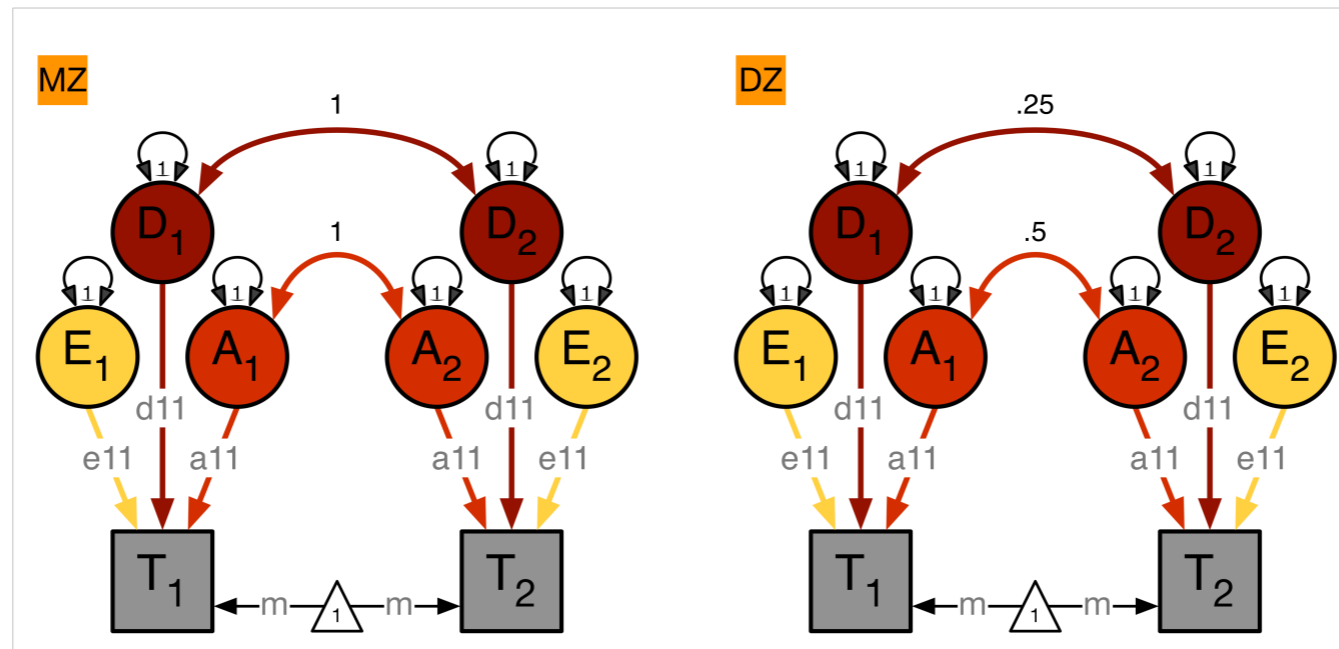
```
covDZ     <- mxAlgebra( expression= 0.5*x%A+ 0.25*x%D,
  name="cDZ" )
```

cDZ

.5A+.25D

**cDZ** 1x1

# ADE Deconstructed: Covariance Matrices & Means



```
expCovMZ <- mxAlgebra( expression= rbind(
  cbind(V, cMZ), cbind(t(cMZ), V)), name="expCovMZ" )
```

```
expCovDZ <- mxAlgebra( expression= rbind(
  cbind(V, cDZ), cbind(t(cDZ), V)), name="expCovDZ" )
```

```
meanG <- mxMatrix( type="Full", nrow=1, ncol=ntv,
  free=TRUE, values=svMe, labels=labVars("mean", vars),
  name="meanG" )
```

V	cMZ
cMZ	V

**expCovMZ** 2x2

V	cDZ
cDZ	V

**expCovDZ** 2x2

X <sub>1</sub>	X <sub>1</sub>
----------------	----------------

**meanG** 1x2

# Model Specification

## oneADEc.R

```
# -----  
# PREPARE MODEL  
  
# ADE Model  
# Create Algebra for expected Mean Matrices  
meanG      <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=TRUE, values=svMe, labels="x1", name="meanG" )  
  
# Create Matrices for Path Coefficients  
pathA      <- mxMatrix( type="Lower", nrow=nv, ncol=nv, free=TRUE, values=svPa, label="a11", lbound=lbPa, name="a" )  
pathD      <- mxMatrix( type="Lower", nrow=nv, ncol=nv, free=TRUE, values=svPa, label="d11", lbound=lbPa, name="d" )  
pathE      <- mxMatrix( type="Lower", nrow=nv, ncol=nv, free=TRUE, values=svPe, label="e11", lbound=lbPa, name="e" )  
                                                    path matrices: a, d & e  
  
# Create Algebra for Variance Components  
covA       <- mxAlgebra( expression=a %*% t(a), name="A" )  
covD       <- mxAlgebra( expression=d %*% t(d), name="D" )  
covE       <- mxAlgebra( expression=e %*% t(e), name="E" )  
                                                    variance components: a2, d2 & e2  
  
# Create Algebra for expected Variance/Covariance Matrices in MZ & DZ twins  
covP       <- mxAlgebra( expression= A+D+E, name="V" )  
covMZ      <- mxAlgebra( expression= A+D, name="cMZ" )  
covDZ      <- mxAlgebra( expression= 0.5x%A+ 0.25x%D, name="cDZ" )  
expCovMZ   <- mxAlgebra( expression= rbind( cbind(V, cMZ), cbind(t(cMZ), V)), name="expCovMZ" )  
expCovDZ   <- mxAlgebra( expression= rbind( cbind(V, cDZ), cbind(t(cDZ), V)), name="expCovDZ" )
```



# Model Specification 2

## oneADEc.R

```
# Create Data Objects for Multiple Groups
```

```
dataMZ  <- mxData( observed=mzData, type="raw" )  
dataDZ  <- mxData( observed=dzData, type="raw" )
```

```
# Create Expectation Objects for Multiple Groups
```

```
expMZ   <- mxExpectationNormal( covariance="expCovMZ", means="meanG", dimnames=selVars )  
expDZ   <- mxExpectationNormal( covariance="expCovDZ", means="meanG", dimnames=selVars )  
funML   <- mxFitFunctionML()
```

```
# Create Model Objects for Multiple Groups
```

```
pars    <- list(meanG, pathA, pathD, pathE, covA, covD, covE, covP)  
modelMZ <- mxModel( pars, covMZ, expCovMZ, dataMZ, expMZ, funML, name="MZ" )  
modelDZ <- mxModel( pars, covDZ, expCovDZ, dataDZ, expDZ, funML, name="DZ" )  
multi   <- mxFitFunctionMultigroup( c("MZ", "DZ") )
```

list of common elements

```
# Create Algebra for Variance Components
```

```
rowUS   <- rep('US',nv)  
colUS   <- rep(c('A','D','E','SA','SD','SE'),each=nv)  
estUS   <- mxAlgebra( expression=cbind(A,D,E,A/V,D/V,E/V), name="US", dimnames=list(rowUS,colUS))
```

ADE variance components

```
# Create Confidence Interval Objects
```

```
ciADE   <- mxCI( "US[1,1:3]" )
```

```
# Build Model with Confidence Intervals
```

```
modelADE <- mxModel( "oneADEc", pars, modelMZ, modelDZ, multi, estUS, ciADE )
```

# Fitting Nested Models

## oneADEc.R

```
# -----  
# RUN SUBMODELS  
  
# Run AE model  
modelAE <- mxModel( fitADE, name="oneAEc" )  
modelAE <- omxSetParameters( modelAE, labels="d11", free=FALSE, values=0 )  
fitAE <- mxRun( modelAE, intervals=T )  
mxCompare( fitADE, fitAE )  
fitGofs(fitAE)  
fitEsts(fitAE)  
  
# Run E model  
modelE <- mxModel( fitAE, name="oneEc" )  
modelE <- omxSetParameters( modelE, labels="a11", free=FALSE, values=0 )  
fitE <- mxRun( modelE, intervals=T )  
mxCompare( fitAE, fitE )  
fitGofs(fitE)  
fitEsts(fitE)  
  
# Print Comparative Fit Statistics  
mxCompare( fitADE, nested <- list(fitAE, fitE) )  
round(rbind(fitADE$US$result, fitAE$US$result, fitE$US$result ),4)  
  
# -----  
sink()  
save.image(paste(filename, ".Ri", sep=""))
```

dropping path parameters

# Goodness-of-Fit Stats **ADE variance estimation**

	os	ep	-2ll	df	AIC	diff -2ll	diff df	p	p/2
Saturated	1777	10	4055.93	1767	521.93				
ADE	1777	4	4063.45	1773	517.45	7.51	6	0.27	
AE	1777	3	4067.66	1774	519.66	4.21	1	0.04	
E	1777	2	4591.79	1775	1041.79	528.34	2	0.00	
path ADE	1777	4	4063.45	1773	517.45	7.51	6	0.27	0.13
path AE	1777	3	4067.66	1774	519.66	4.21	1	0.04	0.02
path E	1777	2	4591.79	1775	1041.79	528.34	2	0.00	0.00

## path estimation

Should be divided by 2, as ADE parameters are bounded to be positive

Under the null hypothesis, test is distributed 50:50 as mixture of 0 and a chi-square with 1df

# Estimated Values **ADE variance estimation**

	path coefficients			unstandardized variance components			standardized variance components		
	a	d	e	VA	VD	VE	SA	SD	SE
ADE				0.32 .02-.61	0.29 .01-.60	0.17 .15-.19	0.41	0.37	0.22
AE				0.62	-	0.17	0.78	-	0.22
E				-	-	0.78	-	-	1.00
path ADE	0.57	0.44	0.41	0.32 .02-.61	0.29 .01-.60	0.17 .15-.19	0.41	0.37	0.22
path AE	0.77	-	0.41	0.62	-	0.17	0.78	-	0.22
path E	-	-	0.87	-	-	0.79	-	-	1.00

**path estimation**

# Goodness-of-Fit Stats **ACE variance estimation**

	os	ep	-2ll	df	AIC	diff -2ll	diff df	p	p/2
Saturated	1777	10	4055.93	1767	521.93				
ACE	1777	4	4063.45	1773	517.45	7.51	6	0.27	
AE	1777	3	4067.66	1774	519.66	4.21	1	0.04	
CE	1777	3	4220.31	1774	672.31	156.86	1	0.00	
E	1777	2	4591.79	1775	1041.79	528.34	2	0.00	
path ACE	1777	4	4067.66	1773	519.66	4.21	6	0.27	0.13
path AE	1777	3	4067.66	1774	519.66	0	1	0.04	0.02
path CE	1777	3	4220.31	1774	672.31	152.65	1	0.00	0.00
path E	1777	2	4591.79	1775	1041.79	524.13	2	0.00	0.00

**path estimation**

# Estimated Values **ACE variance estimation**

	path coefficients			unstandardized variance components			standardized variance components		
	a	c	e	VA	VC	VE	SA	SC	SE
ACE				0.75	-0.14	0.17	0.97	-0.19	0.22
AE				0.62	-	0.17	0.78	-	0.22
CE				-	0.46	0.32	-	0.59	0.41
E				-	-	0.78	-	-	1.00
path ACE	0.79	0.00	0.41	0.62	0.00	0.17	0.41	0.37	0.22
path AE	0.77	-	0.41	0.62	-	0.17	0.78	-	0.22
path CE	-	0.68	0.56	-	0.46	0.32	-	0.59	0.41
path E	-	-	0.87	-	-	0.79	-	-	1.00

**path estimation**

# Estimated Values **ADE|ACE** variance estimation

	path coefficients			unstandardized variance components			standardized variance components		
	a	d	e	VA	VD	VE	SA	SD	SE
<b>ADE</b>				0.32 .02-.61	0.29 .01-.60	0.17 .15-.19	0.41	0.37	0.22
AE				0.62	-	0.17	0.78	-	0.22
E				-	-	0.78	-	-	1.00
	a	c	e	VA	VC	VE	SA	SC	SE
<b>ACE</b>				0.75 .60-.92	-0.14 -.3 -.01	0.17 .15-.19	0.97	-0.19	0.22
AE				0.62	-	0.17	0.78	-	0.22
CE				-	0.46	0.32	-	0.59	0.41
E				-	-	0.78	-	-	1.00

$$A' = A' + 3C' = .33$$

$$D' = -2C' = .28$$

# Publications 2

---

- Hao Wu, Michael C Neale: On the Likelihood Ratio Tests in Bivariate ACDE Models. *Psychometrika* 78 (3), 441-63 Jul 2013.
- Brad Verhulst, Elizabeth Prom-Wormley, Matthew Keller, Sarah Medland, Michael C Neale: Type I Error Rates and Parameter Bias in Multivariate Behavioral Genetic Models. *Behav Genet* 49 (1), 99-111 Jan 2019.



# Thank you !

---

- Functions to run saturated / ADE / ACE models
- **umx**
- Tim Bates

# Univariate Twin Modeling 7 ways

---

Hermine Maes, Elizabeth Prom-Wormley

Sarah Medland, Lucía Colodro Conde, Jose Morosoli Garcia

2021



# Previous Videos (Michael Neale, Conor Dolan)

---

## ■ Intro to **Structural Equation Modeling** (27 min)

- Measuring variation
- Structural equation modeling
- Path analysis, RAM algebra
- OpenMx & other SEM software

## ■ ACE Model & **Likelihood** (29 min)

- Derive ACE model expectations from twin data
- Twin correlations -> Variance components
- Likelihood & Maximum Likelihood model fitting

## ■ **Genetically Informative Designs** (? min)

- Linear regression -> Covariance structure
- Genetic covariance structure analysis with twin data
- Extension to multivariate case

# Rationale

---

- Understanding **causes of variation** in phenotype of interest & partitioning variation in genetic and environmental variance components
- Genetically informative designs -> **twin heritability**
  - infer genetic and environmental contributions to variance from phenotypic covariances (correlations) among family members, often twins, using **expected relatedness**
- Genomically informative designs -> **SNP heritability**
  - infer genetic and environmental contributions to variance from genetic relationship matrices (correlations) based on measured genotypes of individuals, using **actual relatedness**

# Outline

---

- Start from basic **Twin Model** for monozygotic **MZ** & dizygotic **DZ** twins
- See videos on Univariate Twin Modeling in OpenMx
  - 1: intro to Classical Twin Study Design (18 min)
  - 2: saturated model: estimating means/covariances (22 min)
  - 3: twin model: estimating variance components (34 min)
  - 4: path versus variance estimation (11 min)
- Model **extensions**
- Alternate **parameterizations**
- Focus on expected **variance/covariance matrices** defining the model!

Files /faculty/hmaes/2021/

oneACEvc\_.....R

---

- 1cov: Original ACE/ADE model
- 2sib: Additional sibling
- 3alt: Alternate parameterization
- 4def: Definition variables
- 5rel: Actual relatedness
- 6dzs: Actual relatedness DZ's only
- 7unr: Unrelated individuals

- OpenMx has a very **fluid** and **flexible** structure
- Code snippets saved as **objects**
- Object **names** often reused across scripts
  - Very few “reserved” names
  - Naming a matrix “mean” does not make it a mean
- Projects also contain **data** so files can be large

# Matrices as Building Blocks

---

- `covA <- mxMatrix( type="Lower", nrow=nv, ncol=nv, free=TRUE, values=.6, labels="a11", name="a" )`
- Many types eg. `type="Lower", "Full", "Symm", etc.`
- Size eg. `nrow=nv, ncol=nv`
- `mxMatrix` name eg. `name="a"`, used in `mxAlgebra`
- Object name, eg. `covA`, used in `mxModel`
- **Estimated parameters** must be placed in matrices



# Simulated Dataset

---

- 2000 pairs of twins with extra full sibling
- **Variables:**
  - Phenotypes: **Twin1 Twin2 Sib**
  - Ages of siblings: age1 age2 age3
  - Sex of siblings (0=male, 1=female): sex1 sex2 sex3
  - Assigned Zygosity (1=MZ, 2=DZ): zyg
  - Expected relatedness: relT (twins) relS (siblings)
  - Genomic relatedness: rel12 rel13 rel23

# Actual Data: top 1000: MZ; bottom 1000: DZ

---

## MZ

```
> round(head(twsDataS),2)
```

	Twin1	Twin2	Sib	rel12	rel13	rel23	age1	age2	age3	sex1	sex2	sex3	relT	relS	zyg
1	1.64	0.57	-0.59	1	0.49	0.52	35.74	23.02	18.08	1	1	0	1	0.5	1
2	1.30	1.40	0.19	1	0.51	0.48	38.44	30.50	21.67	0	1	1	1	0.5	1
3	0.65	1.53	0.69	1	0.48	0.52	26.05	23.91	17.53	1	1	0	1	0.5	1
4	-0.66	-0.18	-0.93	1	0.55	0.52	39.33	27.62	24.83	0	0	0	1	0.5	1
5	-0.19	-0.09	-0.97	1	0.47	0.49	30.20	27.01	18.89	0	0	1	1	0.5	1
6	1.24	1.37	1.52	1	0.47	0.59	23.23	20.30	34.77	1	1	1	1	0.5	1

## DZ

```
> round(head(twsDataS[twsDataS$zygosity==2,]),2)
```

	Twin1	Twin2	Sib	rel12	rel13	rel23	age1	age2	age3	sex1	sex2	sex3	relT	relS	zyg
1001	-0.66	1.46	-0.74	0.47	0.51	0.44	20.32	27.64	29.78	0	0	0	0.5	0.5	2
1002	1.62	0.69	0.15	0.51	0.53	0.54	26.29	25.78	30.43	0	0	0	0.5	0.5	2
1003	0.71	-1.36	0.71	0.55	0.47	0.48	23.68	30.47	16.09	1	1	0	0.5	0.5	2
1004	0.67	-0.73	0.14	0.47	0.50	0.53	23.82	33.37	22.59	1	1	1	0.5	0.5	2
1005	-0.13	-0.60	-0.14	0.50	0.48	0.54	31.19	33.84	19.37	1	1	0	0.5	0.5	2
1006	-0.75	-0.67	0.59	0.50	0.52	0.45	36.44	32.91	28.37	1	1	1	0.5	0.5	2

# Notation of Variance Components

---

## ■ **V**: Phenotypic variance

- $V_A$ ,  $a^2$  or **A**: Additive genetic variance
- $V_C$ ,  $c^2$  or **C**: Common/shared environmental variance
- $V_E$ ,  $e^2$  or **E**: Unique environmental variance
- $V_D$ ,  $d^2$  or **D**: Dominance genetic variance

## ■ **h<sup>2</sup>**: Heritability

- $h^2_B = \mathbf{A+D} / \mathbf{V}$ : Broad heritability
- $h^2_N = \mathbf{A} / \mathbf{V}$ : Narrow heritability

Files /faculty/hmaes/2021/

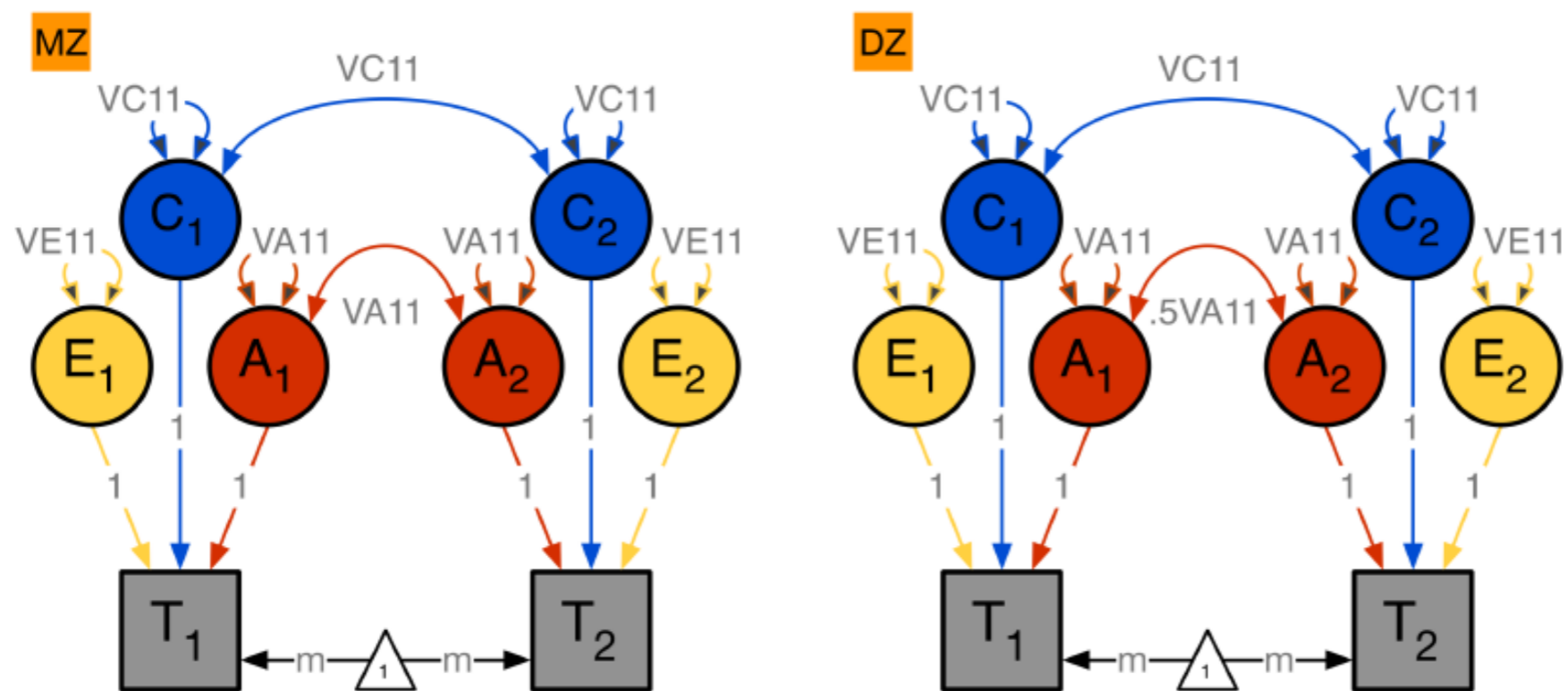
oneACEvc\_.....R

---

- 1cov: Original ACE/ADE model
- 2sib: Additional sibling
- 3alt: Alternate parameterization
- 4def: Definition variables
- 5rel: Actual relatedness
- 6dzs: Actual relatedness DZ's only
- 7unr: Unrelated individuals

# Basic ACE model: Expected Covariance Matrices

- MZ and DZ pairs – estimating A, C and E



	T1	T2
T1	<b>A+C+E</b>	<b>A+C</b>
T2	<b>A+C</b>	<b>A+C+E</b>

	T1	T2
T1	<b>A+C+E</b>	<b>.5⊗A+C</b>
T2	<b>.5⊗A+C</b>	<b>A+C+E</b>



# OpenMx Script I: Data

## oneACEvc\_1cov.R

```
# -----  
# Script: oneACEvc_1cov.R  
# Author: Sarah Medland - Hermine Maes  
# Date: 29 02 2020 - 05 05 2021  
#  
# Twin Univariate ACE model to estimate causes of variation across multiple groups  
# Matrix style model - Raw data - Continuous data  
# -----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|  
  
# Load Libraries & Options  
rm(list=ls())  
library(OpenMx)  
library(psych)  
source("miFunctions.R")  
mxOption(NULL,"Default optimizer","NPSOL")  
  
# Create Output  
filename <- "oneACEvc_1cov"  
sink(paste(filename,".Ro",sep=""), append=FALSE, split=TRUE)  
  
# -----  
# PREPARE DATA  
  
# Load Data  
tsDataS <- read.table("tsDataS2.txt", header=T)  
dim(tsDataS)  
describe(tsDataS, skew=F)  
  
# Select Variables for Analysis  
nv <- 1 # number of variables  
ntv <- nv*2 # number of total variables  
selVars <- c('Twin1','Twin2') # list of variables names of observed variables  
covVars <- c('age1','age2','sex1','sex2') # list of variables names of covariates  
  
# Select Data for Analysis  
mzData <- subset(tsDataS, zyg==1, c(selVars,covVars))  
dzData <- subset(tsDataS, zyg==2, c(selVars,covVars))  
cov(mzData[,selVars],use="complete")  
cov(dzData[,selVars],use="complete")  
  
# Set Starting Values  
svBe <- .01 # start value for regressions  
svMu <- 0 # start value for means  
svVa <- .2 # start value for path coefficient  
svVe <- .5 # start value for path coefficient for e
```

## Prepare Data

# OpenMx Script II: Model

# oneACEvc\_1cov.R

```
# Create Matrices for Covariates and linear Regression Coefficients
betaS    <- mxMatrix( type="Full", nrow=1, ncol=1, free=TRUE, values=svBe, labels="betaS", name="bS" )
betaA    <- mxMatrix( type="Full", nrow=1, ncol=1, free=TRUE, values=svBe, labels="betaA", name="bA" )
defSex   <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=FALSE, labels=c("data.sex1","data.sex2"), name="Sex" )
defAge   <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=FALSE, labels=c("data.age1","data.age2"), name="Age" )

# Create Algebra for expected Mean Matrices
intercept <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=TRUE, values=svMu, labels="interC", name="intercept" )
expMean   <- mxAlgebra( expression = intercept + bS*Sex + bA*Age, name="expMean" )
```

## Means

```
# Create Matrices for Variance Components
covA     <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVa, label="VA11", name="VA" )
covC     <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVa, label="VC11", name="VC" )
covE     <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVe, label="VE11", name="VE" )

# Create Algebra for expected Variance/Covariance Matrices in MZ & DZ twins
covP     <- mxAlgebra( expression= VA+VC+VE, name="V" )
covMZ    <- mxAlgebra( expression= VA+VC, name="cMZ" )
covDZ    <- mxAlgebra( expression= 0.5*VA+ VC, name="cDZ" )
expCovMZ <- mxAlgebra( expression= rbind( cbind(V, cMZ), cbind(t(cMZ), V)), name="expCovMZ" )
expCovDZ <- mxAlgebra( expression= rbind( cbind(V, cDZ), cbind(t(cDZ), V)), name="expCovDZ" )
```

## Covariances

```
# Create Data Objects for Multiple Groups
dataMZ   <- mxData( observed=mzData, type="raw" )
dataDZ   <- mxData( observed=dzData, type="raw" )

# Create Expectation Objects for Multiple Groups
expMZ    <- mxExpectationNormal( covariance="expCovMZ", means="expMean", dimnames=selVars )
expDZ    <- mxExpectationNormal( covariance="expCovDZ", means="expMean", dimnames=selVars )
funML    <- mxFitFunctionML()
```

```
# Create Model Objects for Multiple Groups
defs     <- list( defAge, defSex )
pars     <- list( intercept, betaS, betaA, covA, covC, covE, covP )
modelMZ  <- mxModel( pars, defs, expMean, covMZ, expCovMZ, dataMZ, expMZ, funML, name="MZ" )
modelDZ  <- mxModel( pars, defs, expMean, covDZ, expCovDZ, dataDZ, expDZ, funML, name="DZ" )
multi    <- mxFitFunctionMultigroup( c("MZ","DZ") )
```

## Models for MZ&DZ

```
# Create Algebra for Unstandardized & Standardized Variance Components
rowUS    <- rep("US",nv)
colUS    <- rep(c("VA","VC","VE","SA","SC","SE"),each=nv)
estUS    <- mxAlgebra( expression=cbind(VA,VC,VE,VA/V,VC/V,VE/V), name="US", dimnames=list(rowUS,colUS) )

# Create Confidence Interval Objects
ciACE    <- mxCI( "US[1,1:6]" )
```

```
# Build Model with Confidence Intervals
modelACE <- mxModel( "oneACEvc_1cov", pars, modelMZ, modelDZ, multi, estUS, ciACE )
```

## Overall Model



# OpenMx Script III: Run

## oneACEvc\_1cov.R

```
# -----  
# RUN MODEL
```

```
# Run ACE Model  
fitACE <- mxRun( modelACE, intervals=T )  
sumACE <- summary( fitACE )
```

### Run Model to Data

```
# Print Goodness-of-fit Statistics & Parameter Estimates  
fitGofs(fitACE)  
fitEstCis(fitACE)
```

```
# -----  
sink()
```

```
> colMeans(mzData[, selVars], na.rm=TRUE)
```

```
  Twin1  Twin2  
0.15939615 0.19972023
```

```
> colMeans(dzData[, selVars], na.rm=TRUE)
```

```
  Twin1  Twin2  
0.17799030 0.15720902
```

```
> cov(mzData[, selVars], use="complete")
```

```
  Twin1  Twin2  
Twin1 0.89973996 0.61810038  
Twin2 0.61810038 0.89006913
```

```
> cov(dzData[, selVars], use="complete")
```

```
  Twin1  Twin2  
Twin1 0.83616769 0.39190014  
Twin2 0.39190014 0.91150869
```

```
> fitGofs(fitACE)
```

```
Mx:oneACEvc_1cov os=4000 ns=2000 ep=6 co=0 df=3994 ll=9975.7666 cpu=32.9968 opt=NPSOL ver=2.17.3.145 stc=0
```

```
> fitEstCIs(fitACE, colUS)
```

```
interC betaS betaA VA11 VC11 VE11  
0.0746 0.0982 0.0016 0.4128 0.1907 0.2763
```

```
      VA  VC  VE  SA  SC  SE  
lbound 0.3210 0.1019 0.2537 0.3656 0.1169 0.2858  
estimate 0.4128 0.1907 0.2763 0.4692 0.2168 0.3140  
ubound 0.5100 0.2764 0.3016 0.5774 0.3096 0.3452
```

### Output

Descriptive statistics

Goodness-of-fit statistics

Parameter Estimates &  
Confidence Intervals



# Variance Components

## oneACEvc\_1cov.R

```

nv      <- 1
covA    <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVa,
                    label="VA11", name="VA" )
covC    <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVa,
                    label="VC11", name="VC" )
covE    <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVe,
                    label="VE11", name="VE" )
covP    <- mxAlgebra( expression=VA +VC +VE, name="V" )
covMZ   <- mxAlgebra( expression=VA +VC, name="cMZ" )
covDZ   <- mxAlgebra( expression=.5%x%VA +VC, name="cDZ" )

```

Object	covA	covC	covE	covP	covMZ	covDZ	
Matrix	VA	VC	VE	V	cMZ	cDZ	
	$A$	$+$	$C$	$+$	$E$	$=$	$A+C+E$
	$A$	$+$	$C$	$=$			$A+C$
	$.5$	$\otimes$	$A$	$+$	$C$	$=$	$.5\otimes A+C$

# Variance Components

## oneACEvc\_1cov.R

```

nv      <- 1
covA    <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVa,
                    label="VA11", name="VA" )
covC    <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVa,
                    label="VC11", name="VC" )
covE    <- mxMatrix( type="Symm", nrow=nv, ncol=nv, free=TRUE, values=svVe,
                    label="VE11", name="VE" )
covP    <- mxAlgebra( expression=VA +VC +VE, name="V" )
covMZ   <- mxAlgebra( expression=VA +VC, name="cMZ" )
covDZ   <- mxAlgebra( expression=.5%x%VA +VC, name="cDZ" )

```

**Object**      covA            covC            covE            covP            covMZ            covDZ

**Matrix**      VA            VC            VE            V            cMZ            cDZ

$$A + C + E = A+C+E$$

$$A + C = A+C$$

$$.5 \otimes A + C = .5 \otimes A + C$$

# Expected Covariance Matrices `oneACEvc_1cov.R`

```

covP      <- mxAlgebra( expression= VA +VC +VE, name="V" )
covMZ     <- mxAlgebra( expression= VA +VC, name="cMZ" )
covDZ     <- mxAlgebra( expression= 0.5%x%VA +VC, name="cDZ" )
expCovMZ  <- mxAlgebra( expression= rbind( cbind(V, cMZ),
                                           cbind(t(cMZ), V)), name="expCovMZ" )
expCovDZ  <- mxAlgebra( expression= rbind( cbind(V, cDZ),
                                           cbind(t(cDZ), V)), name="expCovDZ" )

```

<b>MZ</b>	T1	T2
T1	<b>A+C+E</b>	<b>A+C</b>
T2	<b>A+C</b>	<b>A+C+E</b>

=

	T1	T2
T1	<b>V</b>	<b>cMZ</b>
T2	<b>cMZ'</b>	<b>V</b>

<b>DZ</b>	T1	T2
T1	<b>A+C+E</b>	<b>.5⊗A+C</b>
T2	<b>.5⊗A+C</b>	<b>A+C+E</b>

=

	T1	T2
T1	<b>V</b>	<b>cDZ</b>
T2	<b>cDZ'</b>	<b>V</b>

# Definition Variables

---

- “data.varName” in label argument of mxMatrix indicates **definition** variable
- Matrix element updated dynamically with value from dataset when row likelihood is calculated

```
> round(head(twsData$),2)
  Twin1 Twin2  Sib rel12 rel13 rel23  age1  age2  age3 sex1 sex2 sex3 relT relS zyg
1  1.64  0.57 -0.59    1  0.49  0.52 35.74 23.02 18.08    1    1    0    1  0.5  1
2  1.30  1.40  0.19    1  0.51  0.48 38.44 30.50 21.67    0    1    1    1  0.5  1

betaS      <- mxMatrix( type="Full", nrow=1, ncol=1, free=TRUE, values=svBe,
  labels="betaS", name="bS" )
defSex     <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=FALSE,
  labels=c("data.sex1", "data.sex2"), name="Sex" )
intercept  <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=TRUE, values=svMu,
  labels="interC", name="intercept" )
expMean    <- mxAlgebra( expression= intercept +bS*Sex, name="expMean" )
```

# Means: Intercept + Covariates `oneACEvc_1cov.R`

```

ntv      <- nv*2
betaS    <- mxMatrix( type="Full", nrow=1, ncol=1, free=TRUE, values=svBe,
  labels="betaS", name="bS" )
betaA    <- mxMatrix( type="Full", nrow=1, ncol=1, free=TRUE, values=svBe,
  labels="betaA", name="bA" )
defSex    <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=FALSE,
  labels=c("data.sex1", "data.sex2"), name="Sex" )
defAge    <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=FALSE,
  labels=c("data.age1", "data.age2"), name="Age" )

intercept <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=TRUE, values=svMu,
  labels="interC", name="intercept" )
expMean   <- mxAlgebra( expression= intercept +bS*Sex +bA*Age, name="expMean" )
  
```

	T1	T2
Mu	<code>intercept + bS*Sex + bA*Age</code>	<code>intercept + bS*Sex + bA*Age</code>

# Models for MZ, DZ, combined `oneACEvc_1cov.R`

```
dataMZ      <- mxData( observed=mzData, type="raw" )
dataDZ      <- mxData( observed=dzData, type="raw" )

expMZ       <- mxExpectationNormal( covariance="expCovMZ", means="expMean",
                                     dimnames=selVars )
expDZ       <- mxExpectationNormal( covariance="expCovDZ", means="expMean",
                                     dimnames=selVars )
funML       <- mxFitFunctionML()

defs        <- list( defAge, defSex)
pars        <- list( intercept, betaS, betaA, covA, covC, covE, covP )
modelMZ     <- mxModel( pars, defs, expMean, covMZ, expCovMZ, dataMZ, expMZ,
                       funML, name="MZ" )
modelDZ     <- mxModel( pars, defs, expMean, covDZ, expCovDZ, dataDZ, expDZ,
                       funML, name="DZ" )
multi       <- mxFitFunctionMultigroup( c("MZ", "DZ") )

modelACE    <- mxModel( "oneACEvc_1cov", pars, modelMZ, modelDZ, multi, estUS,
                       ciACE )
```

Files /faculty/hmaes/2021/

oneACEvc\_.....R

---

- 1cov: Original ACE/ADE model
- 2sib: Additional sibling
- 3alt: Alternate parameterization
- 4def: Definition variables
- 5rel: Actual relatedness
- 6dzs: Actual relatedness DZ's only
- 7unr: Unrelated individuals

# Additional Sibling

---

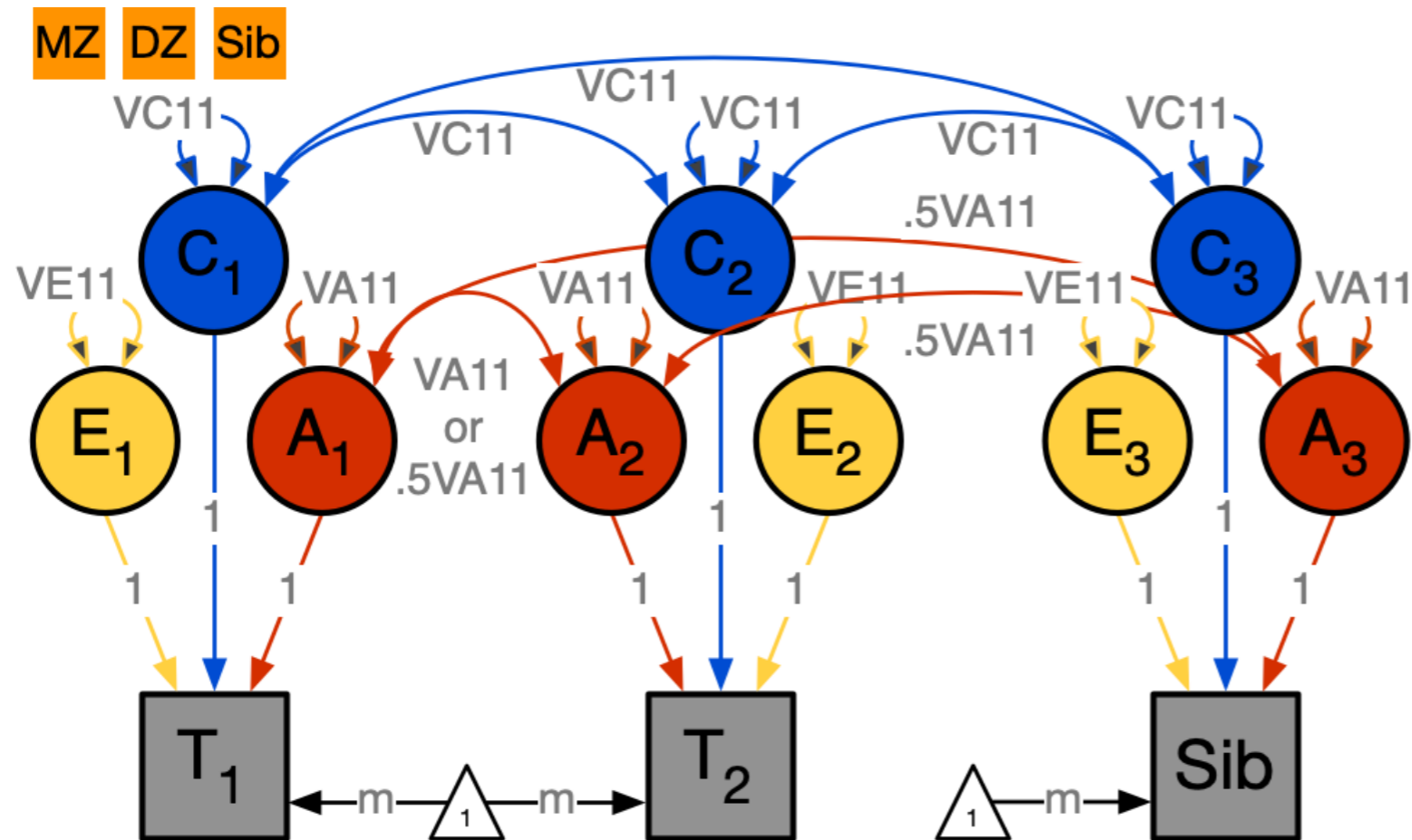
- 1 extra non-twin **full sibling** per twin pair
- Variance of sibling in ACE model?
- Covariance between sibling and twin1 / twin 2?
- Same for MZ and DZ families?

	T1	T2	Sib
T1	<b>A+C+E</b>	<b>(.5<math>\otimes</math>)A+C</b>	?
T2	<b>(.5<math>\otimes</math>)A+C</b>	<b>A+C+E</b>	?
Sib	?	?	?



# Extra Sibling

- MZ and DZ pairs + extra sibling – still estimating A, C and E



# Means: Intercept + Covariates `oneACEvc_2sib.R`

```

ntv      <- nv*3
betaS    <- mxMatrix( type="Full", nrow=1, ncol=1, free=TRUE, values=svBe,
                    labels="betaS", name="bS" )
betaA    <- mxMatrix( type="Full", nrow=1, ncol=1, free=TRUE, values=svBe,
                    labels="betaA", name="bA" )
defSex   <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=FALSE,
                    labels=c("data.sex1", "data.sex2", "data.sex3"), name="Sex" )
defAge   <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=FALSE,
                    labels=c("data.age1", "data.age2", "data.age3"), name="Age" )

intercept <- mxMatrix( type="Full", nrow=1, ncol=ntv, free=TRUE, values=svMu,
                    labels="interC", name="intercept" )
expMean   <- mxAlgebra( expression= intercept +bS*Sex +bA*Age, name="expMean" )
  
```

	Twin1	Twin2	Sib
Mu	$\text{intercept} + bS * \text{Sex} + bA * \text{Age}$	$\text{intercept} + bS * \text{Sex} + bA * \text{Age}$	$\text{intercept} + bS * \text{Sex} + bA * \text{Age}$

# ACE model: Add Sibling

oneACEvc\_2sib.R

```
expCovMZ <- mxAlgebra( rbind( cbind(V,      cMZ,      cDZ),
                             cbind(t(cMZ), V,      cDZ),
                             cbind(t(cDZ), t(cDZ), V)), name="expCovMZ" )
expCovDZ <- mxAlgebra( rbind( cbind(V,      cDZ,      cDZ),
                             cbind(t(cDZ), V,      cDZ),
                             cbind(t(cDZ), t(cDZ), V)), name="expCovDZ" )
```

<b>MZ</b>	T1	T2	Sib
T1	<b>A+C+E</b>	<b>A+C</b>	<b>.5⊗A+C</b>
T2	<b>A+C</b>	<b>A+C+E</b>	<b>.5⊗A+C</b>
Sib	<b>.5⊗A+C</b>	<b>.5⊗A+C</b>	<b>A+C+E</b>

	T1	T2	Sib
T1	<b>V</b>	<b>cMZ</b>	<b>cDZ</b>
T2	<b>cMZ'</b>	<b>V</b>	<b>cDZ</b>
Sib	<b>cDZ'</b>	<b>cDZ'</b>	<b>V</b>

<b>DZ</b>	T1	T2	Sib
T1	<b>A+C+E</b>	<b>.5⊗A+C</b>	<b>.5⊗A+C</b>
T2	<b>.5⊗A+C</b>	<b>A+C+E</b>	<b>.5⊗A+C</b>
Sib	<b>.5⊗A+C</b>	<b>.5⊗A+C</b>	<b>A+C+E</b>

	T1	T2	Sib
T1	<b>V</b>	<b>cDZ</b>	<b>cDZ</b>
T2	<b>cDZ'</b>	<b>V</b>	<b>cDZ</b>
Sib	<b>cDZ'</b>	<b>cDZ'</b>	<b>V</b>

# Multiple Siblings

---

- Some families have siblings, others don't
- Full information maximum likelihood (FIML) methods, assumes missing at random
- Model biggest family size
- Missing phenotypes for non-existent sibs BUT non-missing 'dummy' covariates

Files /faculty/hmaes/2021/

oneACEvc\_.....R

---

- 1cov: Original ACE/ADE model
- 2sib: Additional sibling
- **3alt: Alternate parameterization**
- 4def: Definition variables
- 5rel: Actual relatedness
- 6dzs: Actual relatedness DZ's only
- 7unr: Unrelated individuals

# Variation on a Theme (of Paganini\*)

---

- Writing out full **covariance** matrix quickly unwieldy
- Imagine doing this if largest family = 10 sibs...
- Example with just 3 extra siblings:

```
expCovMZ <- mxAlgebra( rbind( cbind(V,      cMZ,      cDZ,      cDZ,      cDZ),
                             cbind(t(cMZ), V,      cDZ,      cDZ,      cDZ),
                             cbind(t(cDZ), t(cDZ), V,      cDZ,      cDZ),
                             cbind(t(cDZ), t(cDZ), t(cDZ), V,      cDZ),
                             cbind(t(cDZ), t(cDZ), t(cDZ), t(cDZ), V )),
                       name="expCovMZ" )
```

# Alternate Parameterization A

# oneACEvc\_3alt.R

```

relAmz <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=FALSE,
  values=c(1,1,.5,1,.5,1), name="rAmz" )
relAdz <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=FALSE,
  values=c(1,.5,.5,1,.5,1), name="rAdz" )

```

<b>MZ</b>	T1	T2	Sib
T1	<b>A+C+E</b>	<b>A+C</b>	<b>.5⊗A+C</b>
T2	<b>A+C</b>	<b>A+C+E</b>	<b>.5⊗A+C</b>
Sib	<b>.5⊗A+C</b>	<b>.5⊗A+C</b>	<b>A+C+E</b>

<b>relAmz</b>	T1	T2	Sib
T1	1	1	.5
T2	1	1	.5
Sib	.5	.5	1

⊗A

<b>DZ</b>	T1	T2	Sib
T1	<b>A+C+E</b>	<b>.5⊗A+C</b>	<b>.5⊗A+C</b>
T2	<b>.5⊗A+C</b>	<b>A+C+E</b>	<b>.5⊗A+C</b>
Sib	<b>.5⊗A+C</b>	<b>.5⊗A+C</b>	<b>A+C+E</b>

<b>relAdz</b>	T1	T2	Sib
T1	1	.5	.5
T2	.5	1	.5
Sib	.5	.5	1

⊗A

# Alternate Parameterization CE oneACEvc\_3alt.R

```

relC   <- mxMatrix( type="Unit", nrow=ntv, ncol=ntv, free=FALSE, name="rC" )
relE   <- mxMatrix( type="Iden", nrow=ntv, ncol=ntv, free=FALSE, name="rE" )
  
```

	T1	T2	Sib
T1	$A + C + E$	$A + C$	$.5 \otimes A + C$
T2	$A + C$	$A + C + E$	$.5 \otimes A + C$
Sib	$.5 \otimes A + C$	$.5 \otimes A + C$	$A + C + E$

relC	T1	T2	Sib
T1	1	1	1
T2	1	1	1
Sib	1	1	1

$\otimes C$

	T1	T2	Sib
T1	$A + C + E$	$.5 \otimes A + C$	$.5 \otimes A + C$
T2	$.5 \otimes A + C$	$A + C + E$	$.5 \otimes A + C$
Sib	$.5 \otimes A + C$	$.5 \otimes A + C$	$A + C + E$

relE	T1	T2	Sib
T1	1	0	0
T2	0	1	0
Sib	0	0	1

$\otimes E$



# Alternate Parameterization ACE oneACEvc\_3alt.R

```

relAmz <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=FALSE,
  values=c(1,1,.5,1,.5,1), name="rAmz" )
relAdz <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=FALSE,
  values=c(1,.5,.5,1,.5,1), name="rAdz" )
relC <- mxMatrix( type="Unit", nrow=ntv, ncol=ntv, free=FALSE, name="rC" )
relE <- mxMatrix( type="Iden", nrow=ntv, ncol=ntv, free=FALSE, name="rE" )
expCovMZ <- mxAlgebra( VA%x%rAmz +VC%x%rC +VE%x%rE, name="expCovMZ" )
expCovDZ <- mxAlgebra( VA%x%rAdz +VC%x%rC +VE%x%rE, name="expCovDZ" )
  
```

<b>rAmz</b>	T1	T2	S
T1	1	1	.5
T2	<b>1</b>	1	.5
Sib	.5	.5	1

<b>rAdz</b>	T1	T2	S
T1	1	.5	.5
T2	<b>.5</b>	1	.5
Sib	.5	.5	1

⊗**A**

<b>rC</b>	T1	T2	S
T1	1	1	1
T2	1	1	1
Sib	1	1	1

⊗**C**

<b>rE</b>	T1	T2	S
T1	1	0	0
T2	0	1	0
Sib	0	0	1

⊗**E**

Files /faculty/hmaes/2021/

oneACEvc\_.....R

---

- 1cov: Original ACE/ADE model
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- 5rel: Actual relatedness
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- 7unr: Unrelated individuals

# More Efficient Approach?

## ■ Difference between MZ & DZ groups

```

relAmz <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=FALSE,
                    values=c(1,1,.5,1,.5,1), name="rAmz" )
relAdz <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=FALSE,
                    values=c(1,.5,.5,1,.5,1), name="rAdz" )
  
```

<b>rAmz</b>	T1	T2	S	<b>rAdz</b>	T1	T2	S	<b>rC</b>	T1	T2	S	<b>rE</b>	T1	T2	S
T1	1	1	.5	T1	1	.5	.5	T1	1	1	1	T1	1	0	0
T2	<b>1</b>	1	.5	T2	<b>.5</b>	1	.5	T2	1	1	1	T2	0	1	0
Sib	.5	.5	1	Sib	.5	.5	1	Sib	1	1	1	Sib	0	0	1

⊗ **A**
⊗ **C**
⊗ **E**

# Definition Variables

oneACEvc\_4def.R

- Read relationship coefficient for zygosity from data
- Only one group with definition variable

```
relA <- mxMatrix( type="Stand", nrow=ntv, ncol=ntv, free=FALSE,  
labels=c("data.relT", "data.relS", "data.relS"), name="rA" )
```

<b>rA</b>	T1	T2	Sib
T1	1	relT	relS
T2	<b>relT</b>	1	relS
Sib	relS	relS	1

relT = 1 for MZs

relT = .5 for DZs

relS = .5 for siblings

# relT,relS: Expected Relatedness oneACEvc\_4def.R

```

relA      <- mxMatrix( type="Stand", nrow=ntv, ncol=ntv, free=FALSE,
                      values=c("data.relT", "data.relS", "data.relS"), name="rA" )
relC      <- mxMatrix( type="Unit", nrow=ntv, ncol=ntv, free=FALSE, name="rC" )
relE      <- mxMatrix( type="Iden", nrow=ntv, ncol=ntv, free=FALSE, name="rE" )
expCovTW  <- mxAlgebra( VA%x%rA + VC%x%rC + VE%x%rE, name="expCovTW" )
dataTW    <- mxData( observed=twsDataS, type="raw" )
expTW     <- mxExpectationNormal( covariance="expCovTW", means="expMean",
                                  dimnames=selVars )
modelACE  <- mxModel( "ACEvc_4def", pars, defs, expMean, expCovTW, dataTW,
                    expTW, funML, estUS, ciACE )

```

<b>rA</b>	T1	T2	S
T1	1	relT	relS
T2	<b>relT</b>	1	relS
Sib	relS	relS	1

⊗**A**

<b>rC</b>	T1	T2	S
T1	1	1	1
T2	1	1	1
Sib	1	1	1

⊗**C**

<b>rE</b>	T1	T2	S
T1	1	0	0
T2	0	1	0
Sib	0	0	1

⊗**E**

Files /faculty/hmaes/2021/

oneACEvc\_.....R

---

- 1cov: Original ACE/ADE model
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- 4def: Definition variables
- **5rel: Actual relatedness**
- 6dzs: Actual relatedness DZ's only
- 7unr: Unrelated individuals

# Actual Data: top 1000: MZ; bottom 1000: DZ

```
> round(head(twsDataS),2)
```

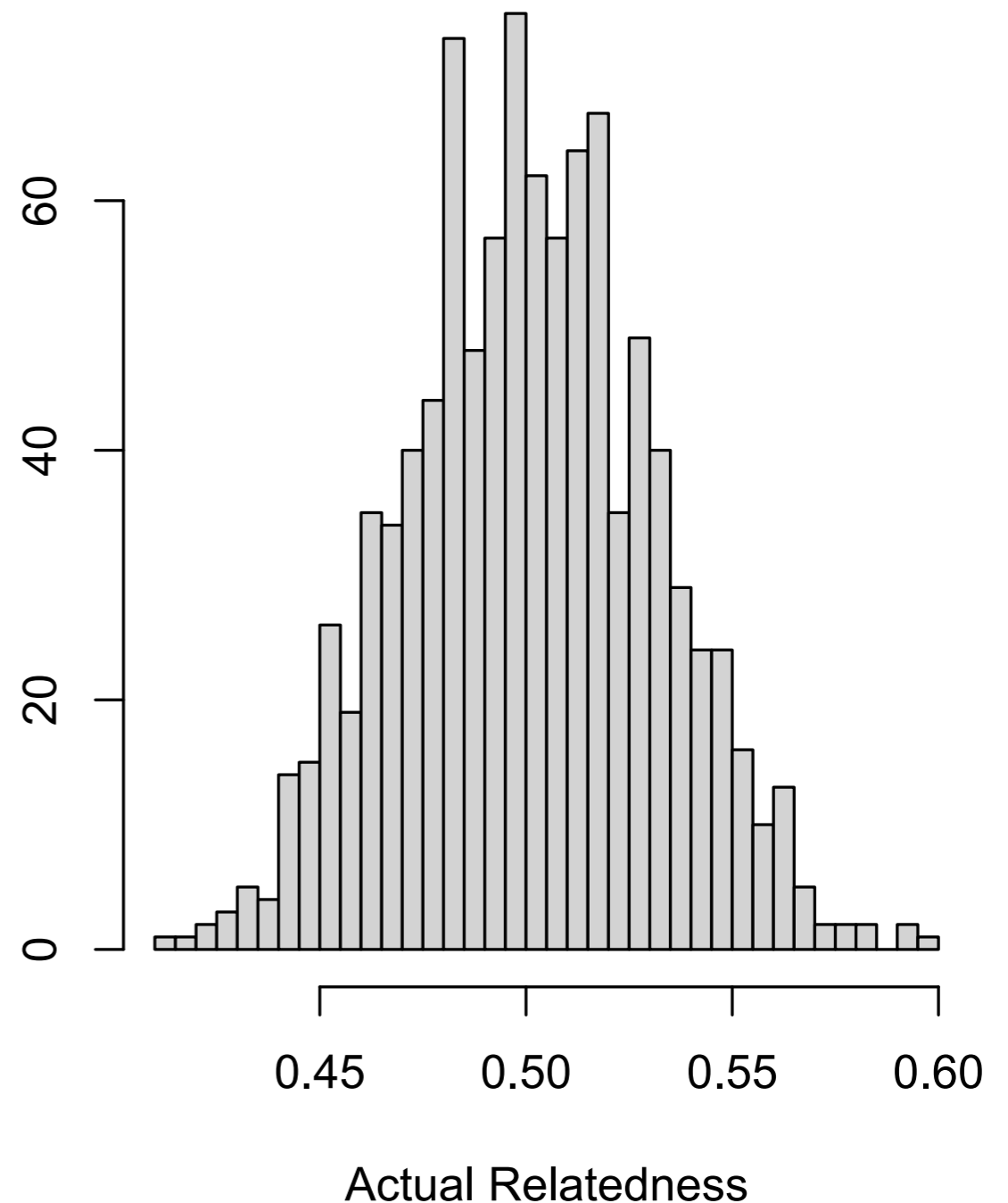
	Twin1	Twin2	Sib	rel12	rel13	rel23	ag	
1	1.64	0.57	-0.59	1	0.49	0.52	35.	MZ
2	1.30	1.40	0.19	1	0.51	0.48	38.	
3	0.65	1.53	0.69	1	0.48	0.52	26.	
4	-0.66	-0.18	-0.93	1	0.55	0.52	39.	
5	-0.19	-0.09	-0.97	1	0.47	0.49	30.	
6	1.24	1.37	1.52	1	0.47	0.59	23.	

```
> round(head(twsDataS[twsDataS$zygosity==
```

	Twin1	Twin2	Sib	rel12	rel13	rel23	
1001	-0.66	1.46	-0.74	0.47	0.51	0.44	DZ
1002	1.62	0.69	0.15	0.51	0.53	0.54	
1003	0.71	-1.36	0.71	0.55	0.47	0.48	
1004	0.67	-0.73	0.14	0.47	0.50	0.53	
1005	-0.13	-0.60	-0.14	0.50	0.48	0.54	
1006	-0.75	-0.67	0.59	0.50	0.52	0.45	

Actual genomic relatedness for DZs

Frequency



# Actual Relatedness

oneACEvc\_5rel.R

- **Actual** genetic relatedness instead of .5 or 1
- Estimate genetic relatedness by computing a genetic relationship matrix **GRM** in PLINK or GCTA

```
relA <- mxMatrix( type="Stand", nrow=ntv, ncol=ntv, free=FALSE,
  labels=c("data.rel12", "data.rel13", "data.rel23"), name="rA" )
```

<b>rA</b>	T1	T2	S
T1	1	rel12	rel13
T2	<b>rel12</b>	1	rel23
Sib	<b>rel13</b>	<b>rel23</b>	1

⊗**A**

<b>rC</b>	T1	T2	S
T1	1	1	1
T2	1	1	1
Sib	1	1	1

⊗**C**

<b>rE</b>	T1	T2	S
T1	1	0	0
T2	0	1	0
Sib	0	0	1

⊗**E**



# rel12 etc.: Actual Relatedness

# oneACEvc\_5rel.R

```

relA      <- mxMatrix( type="Stand", nrow=ntv, ncol=ntv, free=FALSE,
                      values=c("data.rel12", "data.rel13", "data.rel23"), name="rA" )
relC      <- mxMatrix( type="Unit", nrow=ntv, ncol=ntv, free=FALSE, name="rC" )
relE      <- mxMatrix( type="Iden", nrow=ntv, ncol=ntv, free=FALSE, name="rE" )
expCovTW  <- mxAlgebra( VA%x%rA + VC%x%rC + VE%x%rE, name="expCovTW" )
dataTW    <- mxData( observed=twsDataS, type="raw" )
expTW     <- mxExpectationNormal( covariance="expCovTW", means="expMean",
                                  dimnames=selVars )
modelACE  <- mxModel( "ACEvc_5rel", pars, defs, expMean, expCovTW, dataTW,
                     expTW, funML, estUS, ciACE )

```

<b>rA</b>	T1	T2	S
T1	1	rel12	rel13
T2	<b>rel12</b>	1	rel23
Sib	<b>rel13</b>	<b>rel23</b>	1

⊗**A**

<b>rC</b>	T1	T2	S
T1	1	1	1
T2	1	1	1
Sib	1	1	1

⊗**C**

<b>rE</b>	T1	T2	S
T1	1	0	0
T2	0	1	0
Sib	0	0	1

⊗**E**

Files /faculty/hmaes/2021/

oneACEvc\_.....R

---

- 1cov: Original ACE/ADE model
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- 6dzs: Actual relatedness DZ's only
- 7unr: Unrelated individuals

# From Classical Twin Study to Siblings/DZs only

■ With measured relationships, MZs technically not needed for model identification


**PLOS GENETICS**

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RESEARCH ARTICLE

## Assumption-Free Estimation of Heritability from Genome-Wide Identity-by-Descent Sharing between Full Siblings

Peter M Visscher , Sarah E Medland, Manuel A. R Ferreira, Katherine I Morley, Gu Zhu, Belinda K Cornes, Grant W Montgomery, Nicholas G Martin

Published: March 24, 2006 • <https://doi.org/10.1371/journal.pgen.0020041>

634  
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32,821  
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# Synopsis

---

Quantitative geneticists attempt to understand variation between individuals within a population for traits such as height in humans and the number of bristles in fruit flies. This has been traditionally done by partitioning the variation in underlying sources due to genetic and environmental factors, using the observed amount of variation between and within families. A problem with this approach is that one can never be sure that the estimates are correct, because nature and nurture can be confounded without one knowing it. The

authors got around this problem by comparing the similarity between relatives as a function of the exact proportion of genes that they have in common, looking only within families. Using this

approach, the authors estimated the amount of total variation for height in humans that is due to genetic factors from 3,375 sibling pairs. For each pair, the authors estimated the proportion of genes that they share from DNA markers. It was found that about 80% of the total variation can be explained by genetic factors, close to results that are obtained from classical studies. This study provides

the first validation of an estimate of genetic variation by using a source of information that is free from nature–nurture assumptions.

## ■ Use if

- equal environments assumption **EEA** problematic
- only data available for sibling pairs

# Actual Relatedness DZs only

# oneACEvc\_6dzs.R

```

dzData <- subset(tsDataS, zyg==2, c(selVars, covVars))
relA <- mxMatrix( type="Stand", nrow=ntv, ncol=ntv, free=FALSE,
  values=c("data.rel12", "data.rel13", "data.rel23"), name="rA" )
relC <- mxMatrix( type="Unit", nrow=ntv, ncol=ntv, free=FALSE, name="rC" )
relE <- mxMatrix( type="Iden", nrow=ntv, ncol=ntv, free=FALSE, name="rE" )
expCovTW <- mxAlgebra( VA%x%rA + VC%x%rC + VE%x%rE, name="expCovTW" )
dataDZ <- mxData( observed=tsDataS[tsDataS$zyg==2,], type="raw" )
expTW <- mxExpectationNormal( covariance="expCovTW", means="expMean",
  dimnames=selVars )
modelACE <- mxModel( "ACEvc_6dzs", pars, defs, expMean, expCovTW, dataDZ,
  expTW, funML, estUS, ciACE )

```

<b>rA</b>	T1	T2	S
T1	1	rel12	rel13
T2	<b>rel12</b>	1	rel23
Sib	<b>rel13</b>	<b>rel23</b>	1

⊗**A**

<b>rC</b>	T1	T2	S
T1	1	1	1
T2	1	1	1
Sib	1	1	1

⊗**C**

<b>rE</b>	T1	T2	S
T1	1	0	0
T2	0	1	0
Sib	0	0	1

⊗**E**

# Summary of Variations

oneACEvc\_.....R

■ 1cov: Original ACE/ADE

■  $r_{MZ}=VA+VC, r_{DZ}=.5VA + VC$

■ 2sib: Additional sibling

■  $r_{MZ}=VA+VC, r_{DZ}/r_{Sib}=.5VA + VC$

■ 3alt: Alternate parameterization

■  $VA*relAmz/relAdz + VC*relC + VE*relE$

■ 4def: Definition variables

■  $VA*relA[relT|relS] + VC*relC + VE*relE$

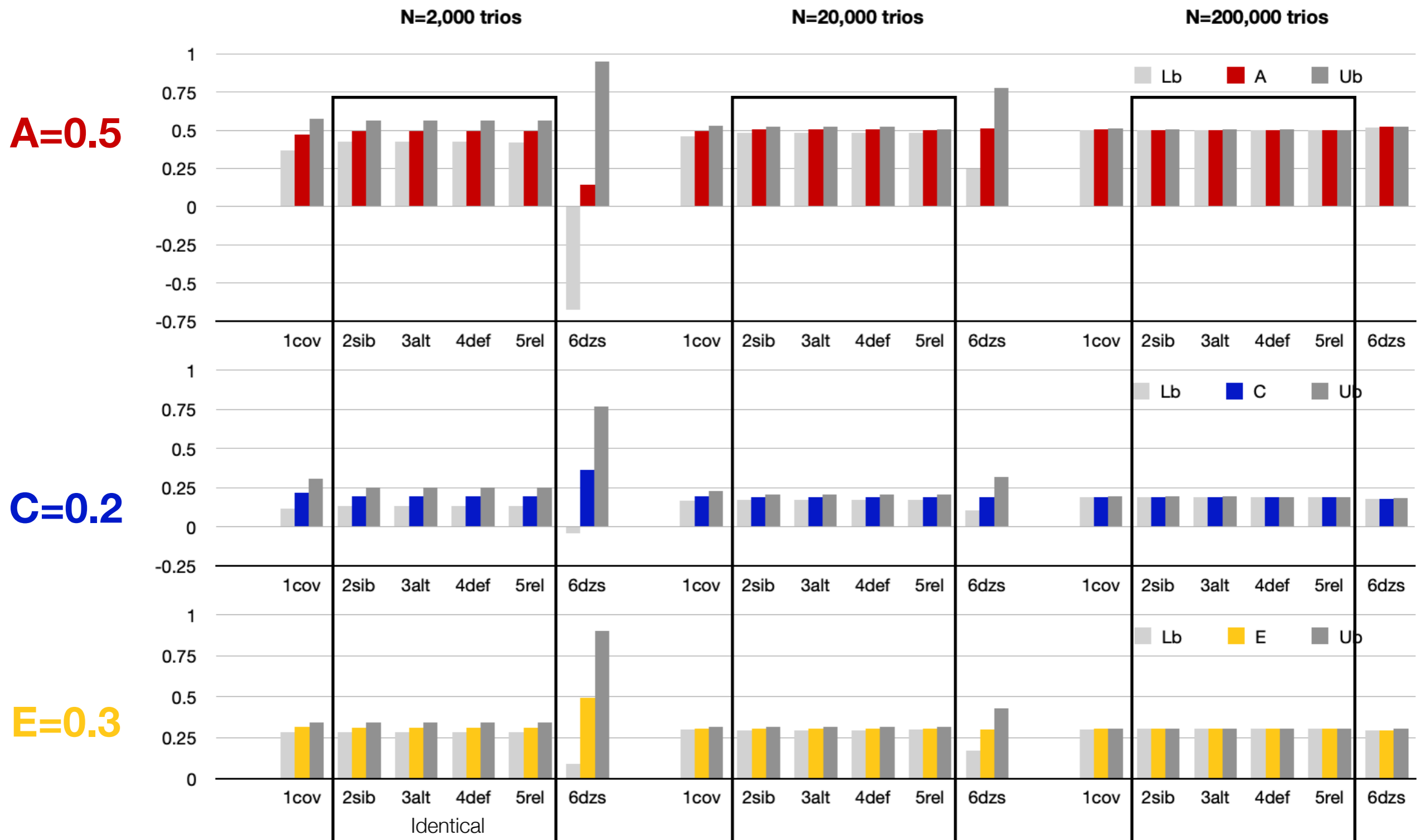
■ 5rel: Actual relatedness

■  $VA*relA[rel12 \text{ etc}] + VC*relC + VE*relE$

■ 6dzs: Actual relatedness DZ's only

MZ	DZ		2x2
MZ	DZ	Sib	3x3
MZ	DZ	Sib	3x3
MZ	DZ	Sib	3x3
MZ	DZ	Sib	3x3
	DZ	Sib	3x3

# Parameter Estimates & CIs across simulations|models



# Difference in Efficiency? In seconds, with CIs

		1,000 MZ 1,000 DZ	10,000 MZ 10,000 DZ	100,000 MZ 100,000 DZ
	observed statistics			
oneACEvc_1cov	4000[0[0]]	33.00	400.49	2905.35
oneACEvc_2sib	6000[0[0]]	45.80	548.75	2061.60
oneACEvc_3alt	6000[0[0]]	39.47	644.97	2485.97
oneACEvc_4def	6000[0[0]]	44.24	680.89	2177.31
oneACEvc_5rel	6000[0[0]]	55.36	1010.28	3043.85
oneACEvc_6dzs	3000[0[0]]	17.07	338.14	1849.06



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oneACEvc\_.....R

---

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- 4def: Definition variables
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- 6dzs: Actual relatedness DZ's only
- 7unr: Unrelated individuals

# 'Unrelated' individuals

## oneAEvc\_7unr.R

```

relA      <- mxMatrix( type="Symm", nrow=ntv, ncol=ntv, free=FALSE,
                      values=c("data.rel12", "data.rel13", "data.rel23", ..), name="rA" )
relE      <- mxMatrix( type="Iden", nrow=ntv, ncol=ntv, free=FALSE, name="rE" )
expCovUR  <- mxAlgebra( VA%x%rA + VE%x%rE, name="expCov" )
dataUR    <- mxData( observed=unrDataS, type="raw" )
expUR     <- mxExpectationNormal( "expCov", "expMean", dimnames=selVars )
modelAE   <- mxModel( "AEvc_7unr", pars, expMean, expCov, dataUR, expUR, funML )

```

<b>rA</b>	P1	P2	P3	P4	P5	P6	P7	P8	P9	..	PN
P1	<b>1</b>	rel12	rel13	rel14	rel15	rel16	rel17	rel18	rel19		rel1N
P2	<b>rel12</b>	<b>1</b>	rel23	rel24	rel25	rel26	rel27	rel28	rel29		rel2N
P3	<b>rel13</b>	<b>rel23</b>	<b>1</b>	rel34	rel35	rel36	rel37	rel38	rel39		rel3N
P4	<b>rel14</b>	<b>rel24</b>	<b>rel34</b>	<b>1</b>	rel45	rel46	rel47	rel48	rel49		rel4N
P5	<b>rel15</b>	<b>rel25</b>	<b>rel35</b>	<b>rel45</b>	<b>1</b>	rel56	rel57	rel58	rel59		rel5N
P6	<b>rel16</b>	<b>rel26</b>	<b>rel36</b>	<b>rel46</b>	<b>rel56</b>	<b>1</b>	rel67	rel68	rel69		rel6N
P7	<b>rel17</b>	<b>rel27</b>	<b>rel37</b>	<b>rel47</b>	<b>rel57</b>	<b>rel67</b>	<b>1</b>	rel78	rel79		rel7N
P8	<b>rel18</b>	<b>rel28</b>	<b>rel38</b>	<b>rel48</b>	<b>rel58</b>	<b>rel68</b>	<b>rel78</b>	<b>1</b>	rel89		rel8N
P9	<b>rel19</b>	<b>rel29</b>	<b>rel39</b>	<b>rel49</b>	<b>rel59</b>	<b>rel69</b>	<b>rel79</b>	<b>rel89</b>	<b>1</b>		rel9N
..										<b>1</b>	rel..
PN	<b>rel1N</b>	<b>rel2N</b>	<b>rel3N</b>	<b>rel4N</b>	<b>rel5N</b>	<b>rel6N</b>	<b>rel7N</b>	<b>rel8N</b>	<b>rel9N</b>	<b>rel..</b>	<b>1</b>

<b>rE</b>	P1	P2	P3	..	PN
P1	<b>1</b>	0	0		0
P2	0	<b>1</b>	0		0
P3	0	0	<b>1</b>		0
P4	0	0	0		0
P5	0	0	0	..	0
P6	0	0	0		0
P7	0	0	0		0
P8	0	0	0		0
P9	0	0	0		0
				<b>1</b>	0
PN	0	0	0	0	<b>1</b>

# Final variation....

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- If we can use actual genetic relatedness of DZs, how about using actual genetic relatedness of unrelated individuals

Behavior Genetics

<https://doi.org/10.1007/s10519-020-10037-5>

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ORIGINAL RESEARCH

## **Combining Structural-Equation Modeling with Genomic-Relatedness-Matrix Restricted Maximum Likelihood in OpenMx**

Robert M. Kirkpatrick<sup>1,3</sup> · Joshua N. Pritikin<sup>1</sup> · Michael D. Hunter<sup>2</sup> · Michael C. Neale<sup>1</sup>

# All models are wrong

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- "Remember that all models are wrong; the practical question is how wrong do they have to be to not be useful"
- George E P Box and Norman R Draper. 1986. Empirical Model-Building and Response Surface. John Wiley & Sons, Inc., New York, NY, USA.

