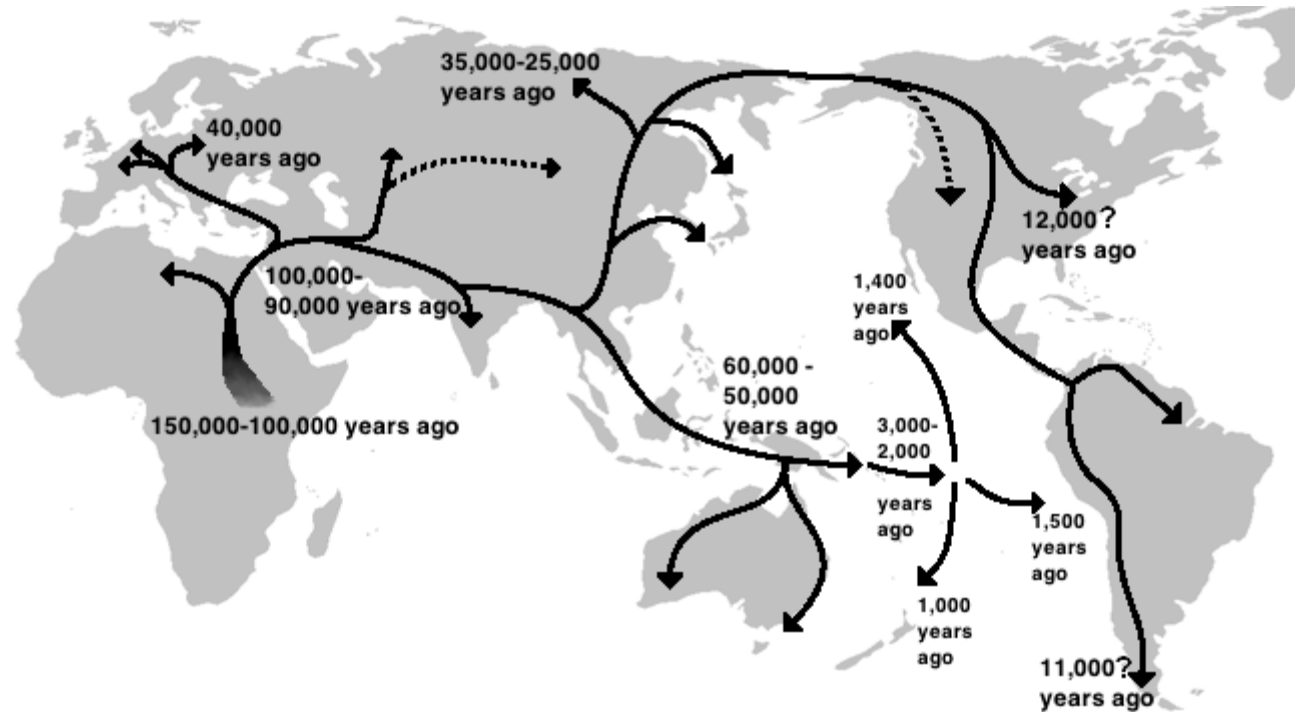


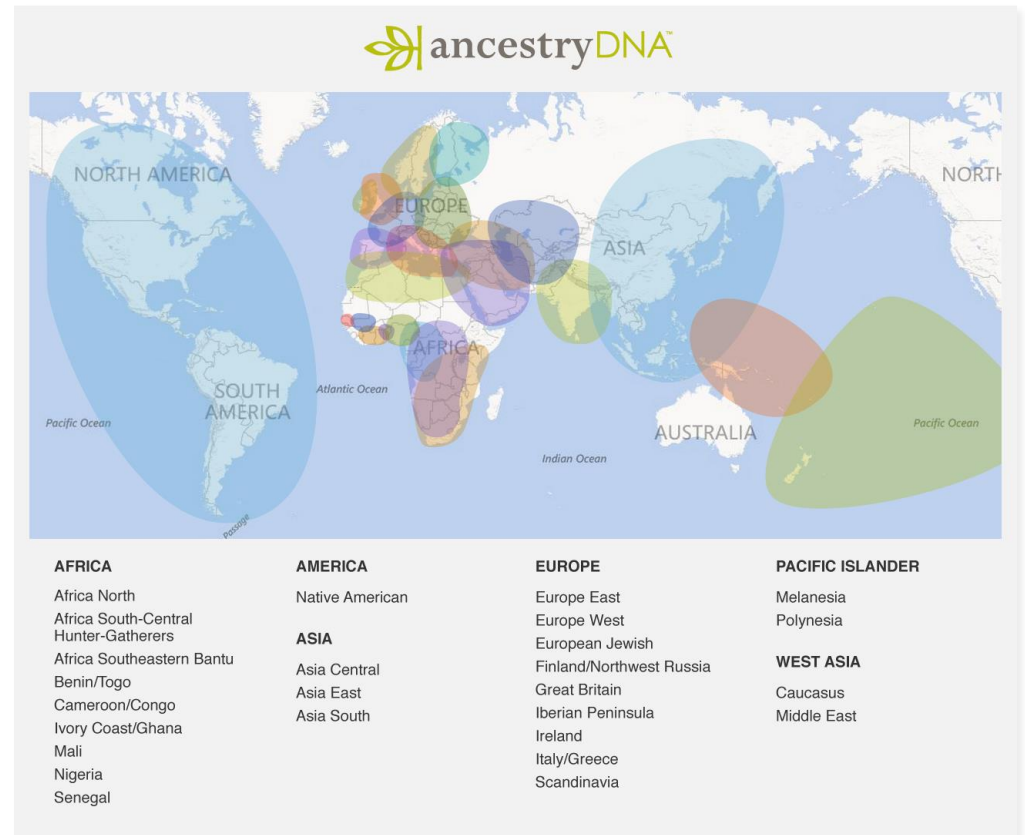
# Population Stratification

Abdel Abdellaoui

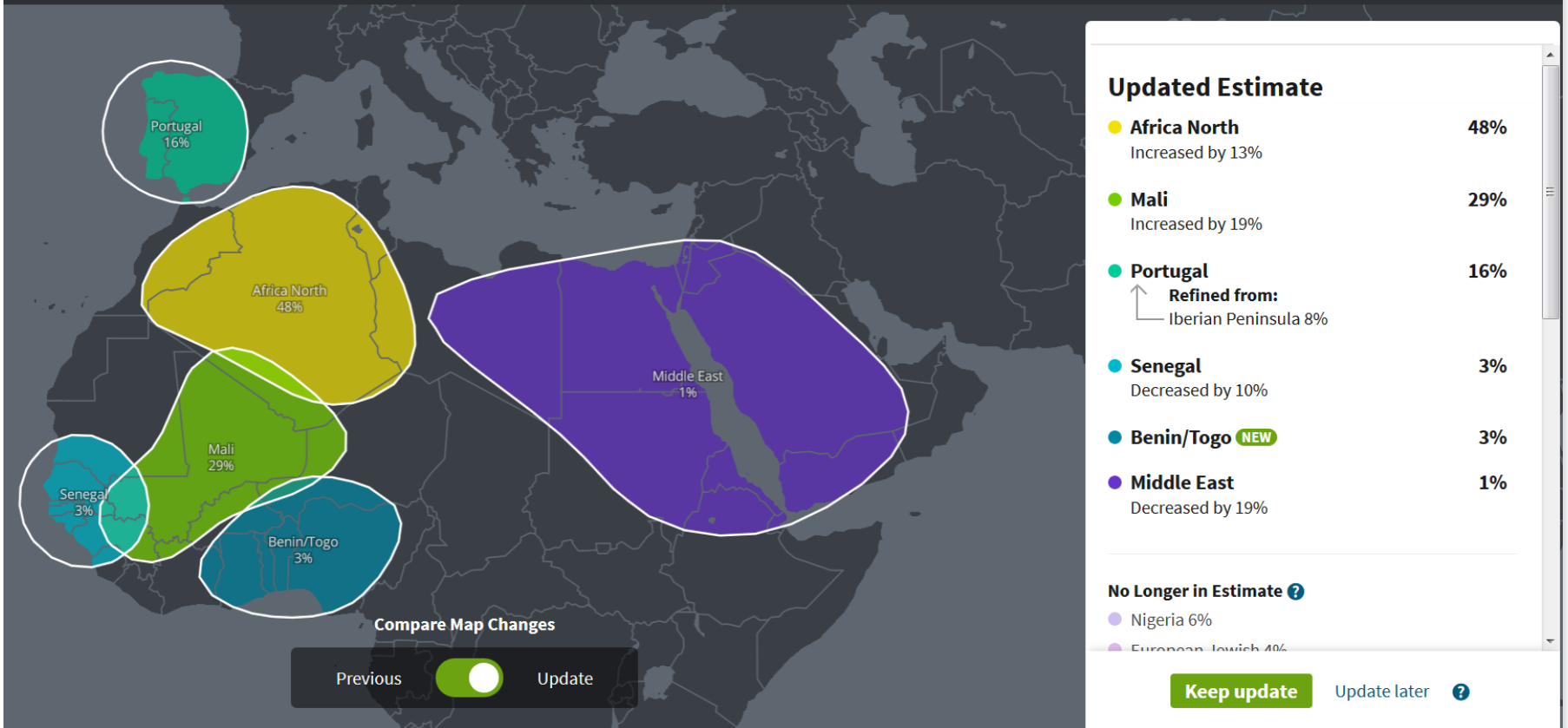
*Department of Psychiatry, Amsterdam UMC, University of Amsterdam*



# Largest patterns of genetic variation = ancestry

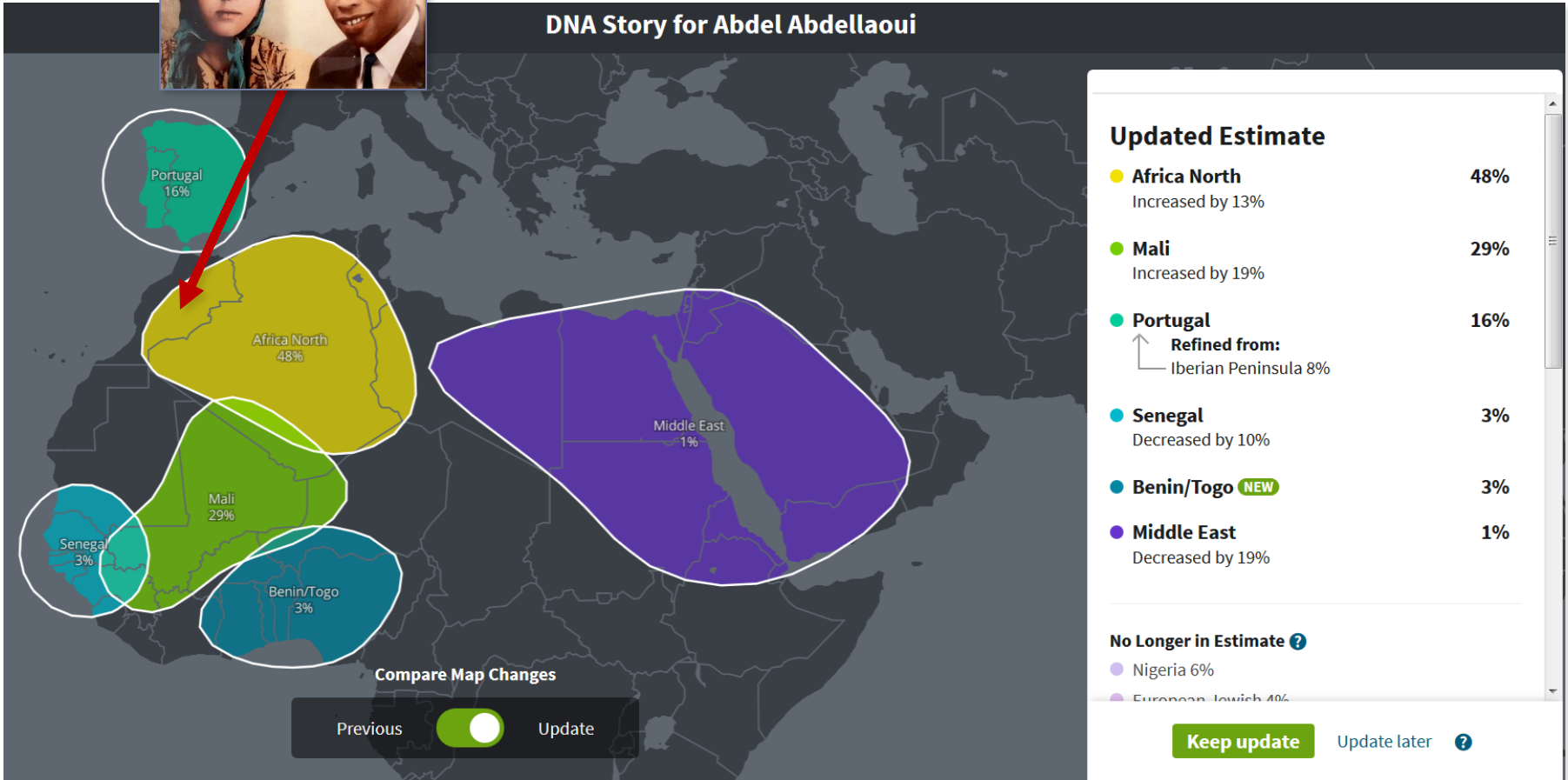


### DNA Story for Abdel Abdellaoui

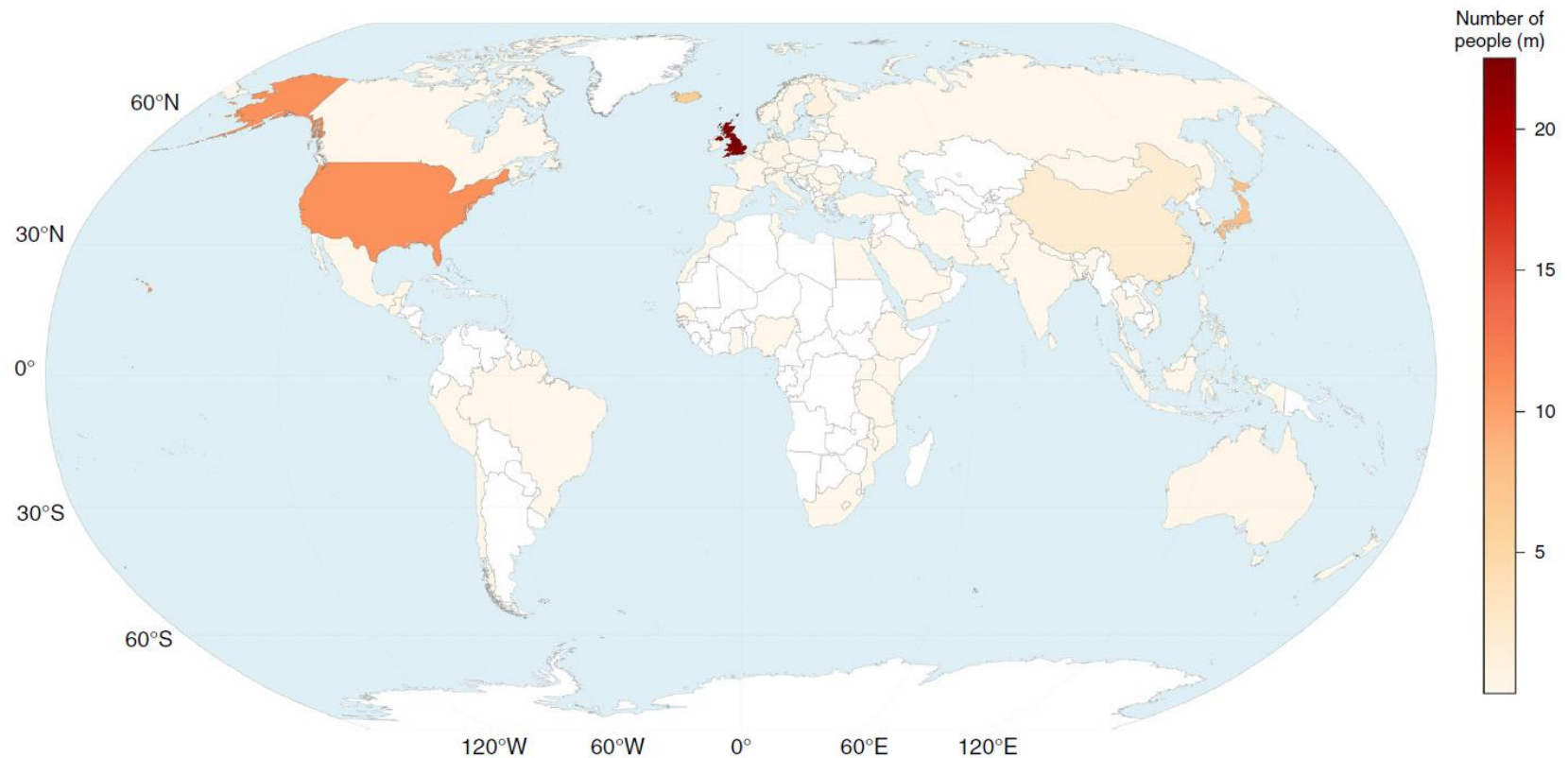




### DNA Story for Abdel Abdellaoui



# 88% of GWAS participants is of European descent



**Fig. 3** A Choropleth Map of the Concentration of GWAS Participant Recruitment. A choropleth map (Robinson projection) detailing the geographic recruitment of GWAS participants. Source: NHGRI-EBI GWAS Catalog, Natural Earth (v4.0.0) and the CIA World Factbook. Replication material provides a per-capita population adjusted version

# Population stratification

---

- ▶ Population stratification = a systematic difference in allele frequencies between (sub)populations due to different ancestry.
- ▶ Can cause false positives if the trait values also differ between the (sub)populations.

# Population stratification: chopstick example

Sample 1 Americans: $\chi^2=0, p=1$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	320	320	640
Allele 2	80	80	160
Total	400	400	800

Sample 2 Chinese: $\chi^2=0, p=1$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	320	20	340
Allele 2	320	20	340
Total	640	40	680





# Population stratification: chopstick example

Sample 1 Americans: $\chi^2=0, p=1$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	320	320	640
Allele 2	80	80	160
Total	400	400	800

Sample 2 Chinese: $\chi^2=0, p=1$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	320	20	340
Allele 2	320	20	340
Total	640	40	680



There is a clear allele frequency difference between Americans and Chinese

# Population stratification: chopstick example

Sample 1 Americans: $\chi^2=0, p=1$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	320	320	640
Allele 2	80	80	160
Total	400	400	800

Sample 2 Chinese: $\chi^2=0, p=1$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	320	20	340
Allele 2	320	20	340
Total	640	40	680



There is a clear difference between Americans and Chinese in proportion of “cases” and “controls”

# Population stratification: chopstick example

Sample 1 Americans: $\chi^2=0, p=1$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	320	320	640
Allele 2	80	80	160
Total	400	400	800

Sample 2 Chinese: $\chi^2=0, p=1$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	320	20	340
Allele 2	320	20	340
Total	640	40	680

Sample 1 + 2 = Americans + Chinese: $\chi^2=34.2, p=4.9 \times 10^{-9}$			
	Use of chopsticks		
	Yes	No	Total
Allele 1	640	340	980
Allele 2	400	100	500
Total	1040	440	1480



# Dealing with population stratification

---

Ways to deal with population stratification:

- ▶ Genomic Control (GC)
- ▶ Principal Component Analysis
- ▶ Within Family Association
- ▶ Mixed Linear Modeling

# Dealing with population stratification

---

## Ways to deal with population stratification:

- ▶ Genomic Control (GC)
- ▶ Principal Component Analysis
- ▶ Within Family Association
- ▶ Mixed Linear Modeling



**nature  
genetics**

Variance component model to account for sample structure in genome-wide association studies

Hyun Min Kang<sup>1,2,8</sup>, Jae Hoon Sul<sup>3,8</sup>, Susan K Service<sup>4</sup>, Noah A Zaitlen<sup>5</sup>, Sit-yea Kong<sup>4</sup>, Nelson B Freimer<sup>4</sup>, Chiara Sabatti<sup>6</sup> & Eleazar Eskin<sup>3,7</sup>

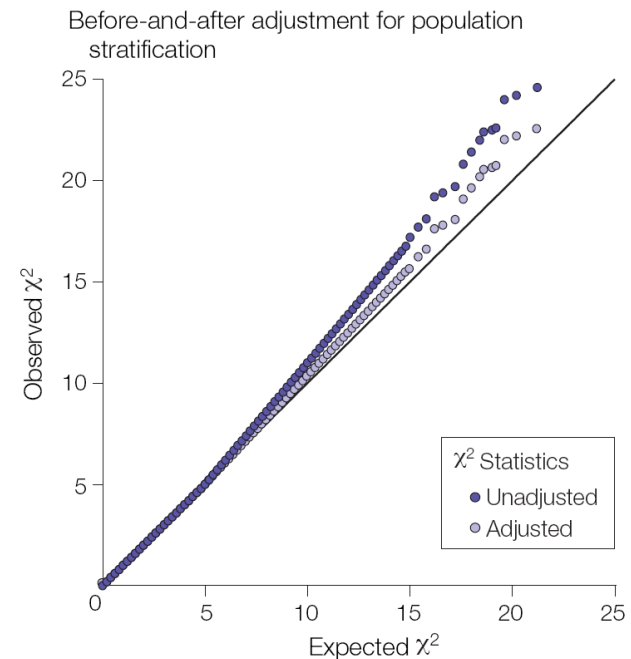
**nature  
genetics**

**Advantages and pitfalls in the application of mixed-model association methods**

Jian Yang<sup>1,2,8</sup>, Noah A Zaitlen<sup>3,8</sup>, Michael E Goddard<sup>4,9</sup>, Peter M Visscher<sup>1,2,9</sup> & Alkes L Price<sup>5-7,9</sup>

# Genomic Control (GC)

- ▶ Population stratification can result in higher test statistics (= lower  $p$ -values)
- ▶ The genomic control method estimates the factor with which the test statistics are inflated due to population stratification  $\rightarrow \lambda$
- ▶ Dividing by  $\lambda$  cancels this effect out for all SNPs:
  - ▶ Unadjusted:  $\lambda\chi^2$
  - ▶ Adjusted:  $\chi^2$



# Genomic Control (GC)

---

- ▶  $\lambda$  is measured by dividing the **median** of the distribution of the chi-square statistics from the **actual tests** by the **median** of the chi-square distribution **under the null**.

# Genomic Control (GC)

---

- ▶  $\lambda$  is measured by dividing the **median** of the distribution of the chi-square statistics from the **actual tests** by the **median** of the chi-square distribution **under the null**.
- ▶ Then, GC applies its correction by dividing the actual association test chi-square statistic results by this  $\lambda$ , thus making these results appropriately more pessimistic.



# Genomic Control (GC)

- ▶  $\lambda$  is measured by dividing the **median** of the distribution of the chi-square statistics from the **actual tests** by the **median** of the chi-square distribution **under the null**.
- ▶ Then, GC applies its correction by dividing the actual association test chi-square statistic results by this  $\lambda$ , thus making these results appropriately more pessimistic.
- ▶ GC is too conservative if the trait is **highly polygenic** (i.e. the median test statistic does not represent the null distribution).

European Journal of Human Genetics (2011) 19, 807–812  
© 2011 Macmillan Publishers Limited All rights reserved 1018-4813/11  
www.nature.com/ejhg

ARTICLE

## Genomic inflation factors under polygenic inheritance

Jian Yang<sup>\*1</sup>, Michael N Weedon<sup>2</sup>, Shaun Purcell<sup>3,4</sup>, Guillaume Lettre<sup>5</sup>, Karol Estrada<sup>6</sup>, Cristen J Willer<sup>7</sup>, Albert V Smith<sup>8</sup>, Erik Ingelsson<sup>9</sup>, Jeffrey R O'Connell<sup>10</sup>, Massimo Mangino<sup>11</sup>, Reedik Mägi<sup>12</sup>, Pamela A Madden<sup>13</sup>, Andrew C Heath<sup>13</sup>, Dale R Nyholt<sup>1</sup>, Nicholas G Martin<sup>1</sup>, Grant W Montgomery<sup>1</sup>, Timothy M Frayling<sup>2</sup>, Joel N Hirschhorn<sup>3,14,15</sup>, Mark I McCarthy<sup>12,16</sup>, Michael E Goddard<sup>17</sup>, Peter M Visscher<sup>1</sup> and the GIANT Consortium

# Genomic Control (GC)

- ▶  $\lambda$  is measured by dividing the **median** of the distribution of the chi-square statistics from the **actual tests** by the **median** of the chi-square distribution **under the null**.
- ▶ Then, GC applies its correction by dividing the actual association test chi-square statistic results by this  $\lambda$ , thus making these results appropriately more pessimistic.
- ▶ GC is too conservative if the trait is **highly polygenic** (i.e. the median test statistic does not represent the null distribution).
- ▶ **LD Score regression** can be used to estimate a more powerful and accurate correction factor than GC.

nature  
genetics

LD Score regression distinguishes confounding from polygenicity in genome-wide association studies

Brendan K Bulik-Sullivan<sup>1-3</sup>, Po-Ru Loh<sup>1,4</sup>, Hilary K Finucane<sup>4,5</sup>, Stephan Ripke<sup>2,3</sup>, Jian Yang<sup>6</sup>, Schizophrenia Working Group of the Psychiatric Genomics Consortium<sup>7</sup>, Nick Patterson<sup>1</sup>, Mark J Daly<sup>1-3</sup>, Alkes L Price<sup>1,4,8</sup> & Benjamin M Neale<sup>1-3</sup>

European Journal of Human Genetics (2011) 19, 807–812  
© 2011 Macmillan Publishers Limited All rights reserved 1018-4813/11  
www.nature.com/ejhg



ARTICLE

## Genomic inflation factors under polygenic inheritance

Jian Yang<sup>1,1</sup>, Michael N Weedon<sup>2</sup>, Shaun Purcell<sup>3,4</sup>, Guillaume Lettre<sup>5</sup>, Karol Estrada<sup>6</sup>, Cristen J Willer<sup>7</sup>, Albert V Smith<sup>8</sup>, Erik Ingelsson<sup>9</sup>, Jeffrey R O'Connell<sup>10</sup>, Massimo Mangino<sup>11</sup>, Reedik Mägi<sup>12</sup>, Pamela A Madden<sup>13</sup>, Andrew C Heath<sup>13</sup>, Dale R Nyholt<sup>1</sup>, Nicholas G Martin<sup>1</sup>, Grant W Montgomery<sup>1</sup>, Timothy M Frayling<sup>2</sup>, Joel N Hirschhorn<sup>3,14,15</sup>, Mark I McCarthy<sup>12,16</sup>, Michael E Goddard<sup>17</sup>, Peter M Visscher<sup>1</sup> and the GIANT Consortium

# Principal Component Analysis (PCA)



# Principal Component Analysis (PCA)

---

- ▶ PCA is a statistical method for exploring large number of measurements (e.g., SNPs) by reducing the measurements to fewer principal components (PCs) that explain the main patterns of variation:
  - ▶ The first PC is the mathematical combination of measurements that accounts for the largest amount of variability in the data.
  - ▶ The second PC (uncorrelated with the first) accounts for the second largest amount of variability.
  - ▶ Etc...



---

# Principal components analysis corrects for stratification in genome-wide association studies

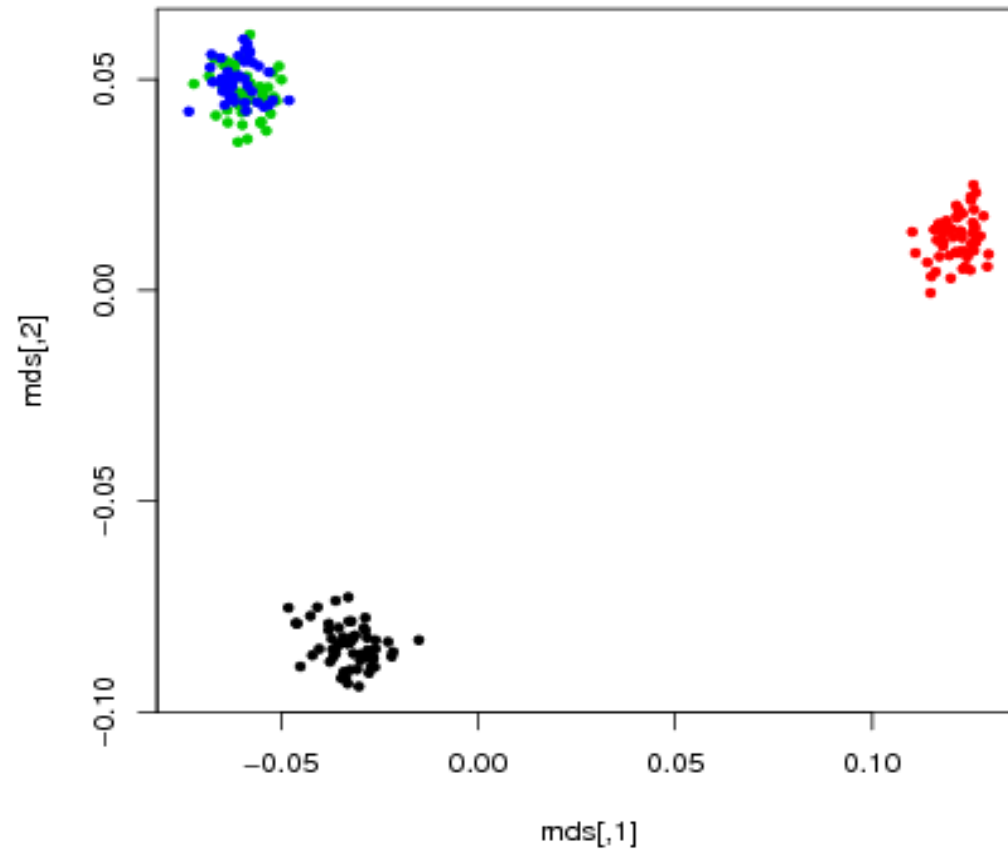
Alkes L Price<sup>1,2</sup>, Nick J Patterson<sup>2</sup>, Robert M Plenge<sup>2,3</sup>, Michael E Weinblatt<sup>3</sup>, Nancy A Shadick<sup>3</sup> & David Reich<sup>1,2</sup>

Population stratification—allele frequency differences between cases and controls due to systematic ancestry differences—can cause spurious associations in disease studies. We describe a method that enables explicit detection and correction of population stratification on a genome-wide scale. Our method uses principal components analysis to explicitly model ancestry differences between cases and controls. The resulting correction is specific to a candidate marker's variation in frequency across ancestral populations, minimizing spurious associations while maximizing power to detect true associations. Our simple, efficient approach can easily be applied to disease studies with hundreds of thousands of markers.

# Principal Component Analysis (PCA)

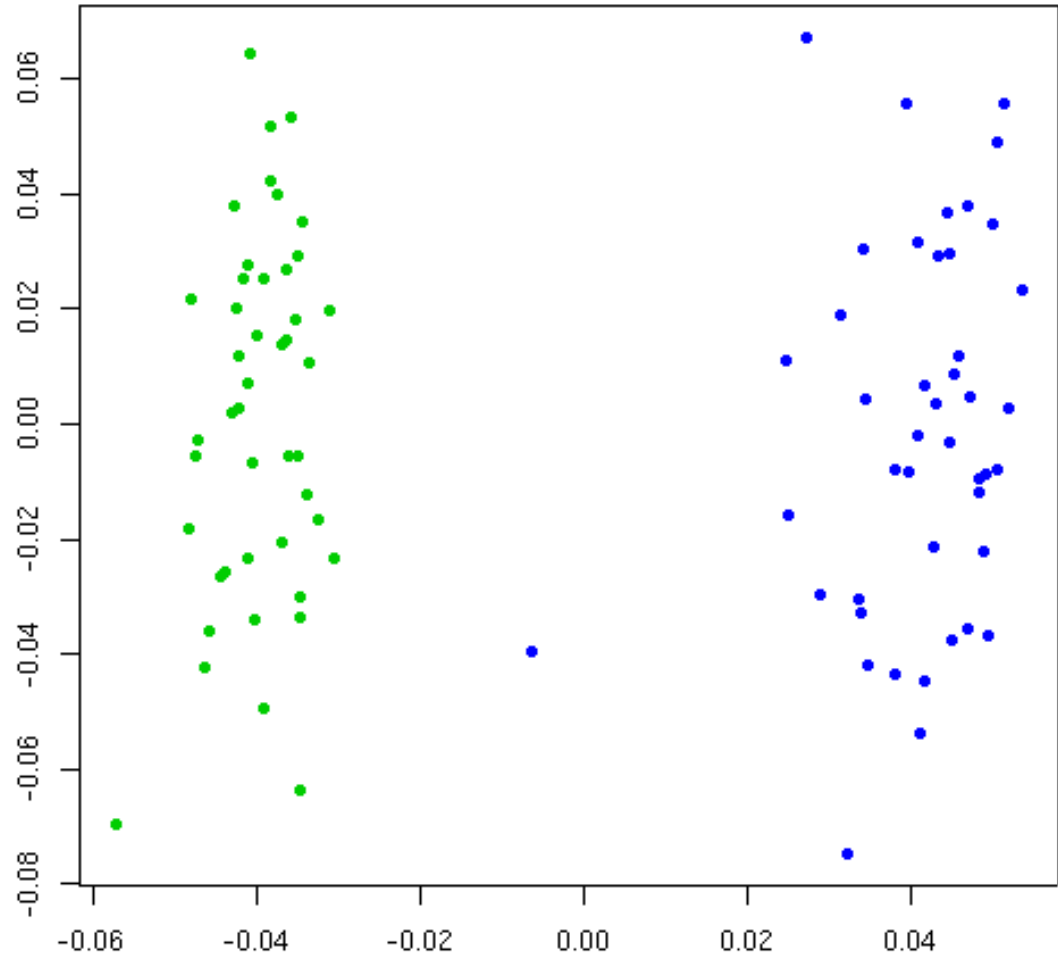
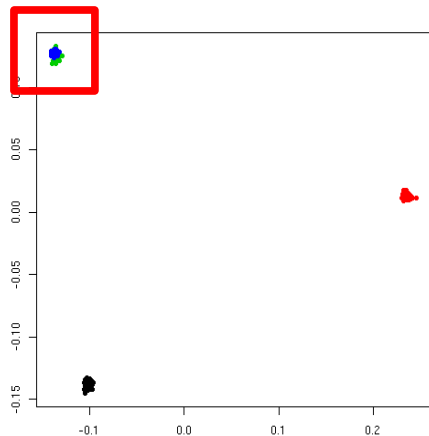
---

CEPH/European  
Yoruba  
Han Chinese  
Japanese

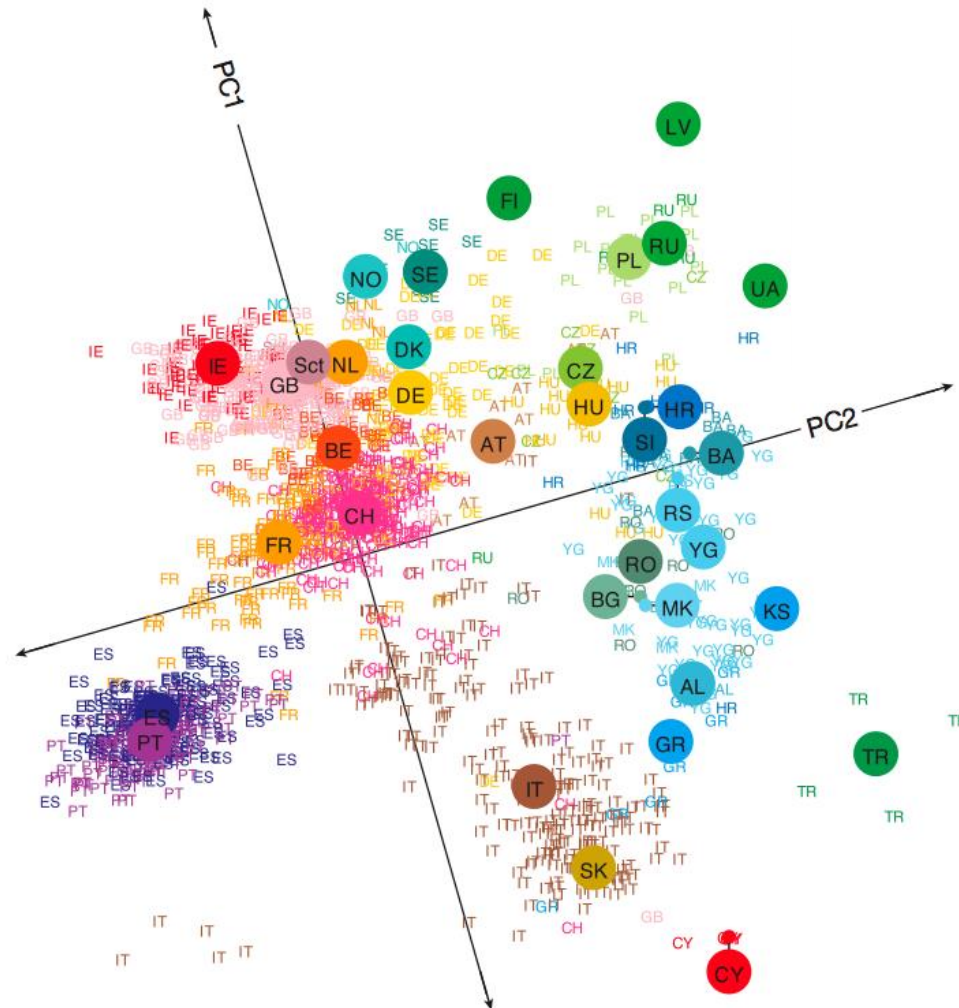


# Principal Component Analysis (PCA)

Han Chinese  
Japanese

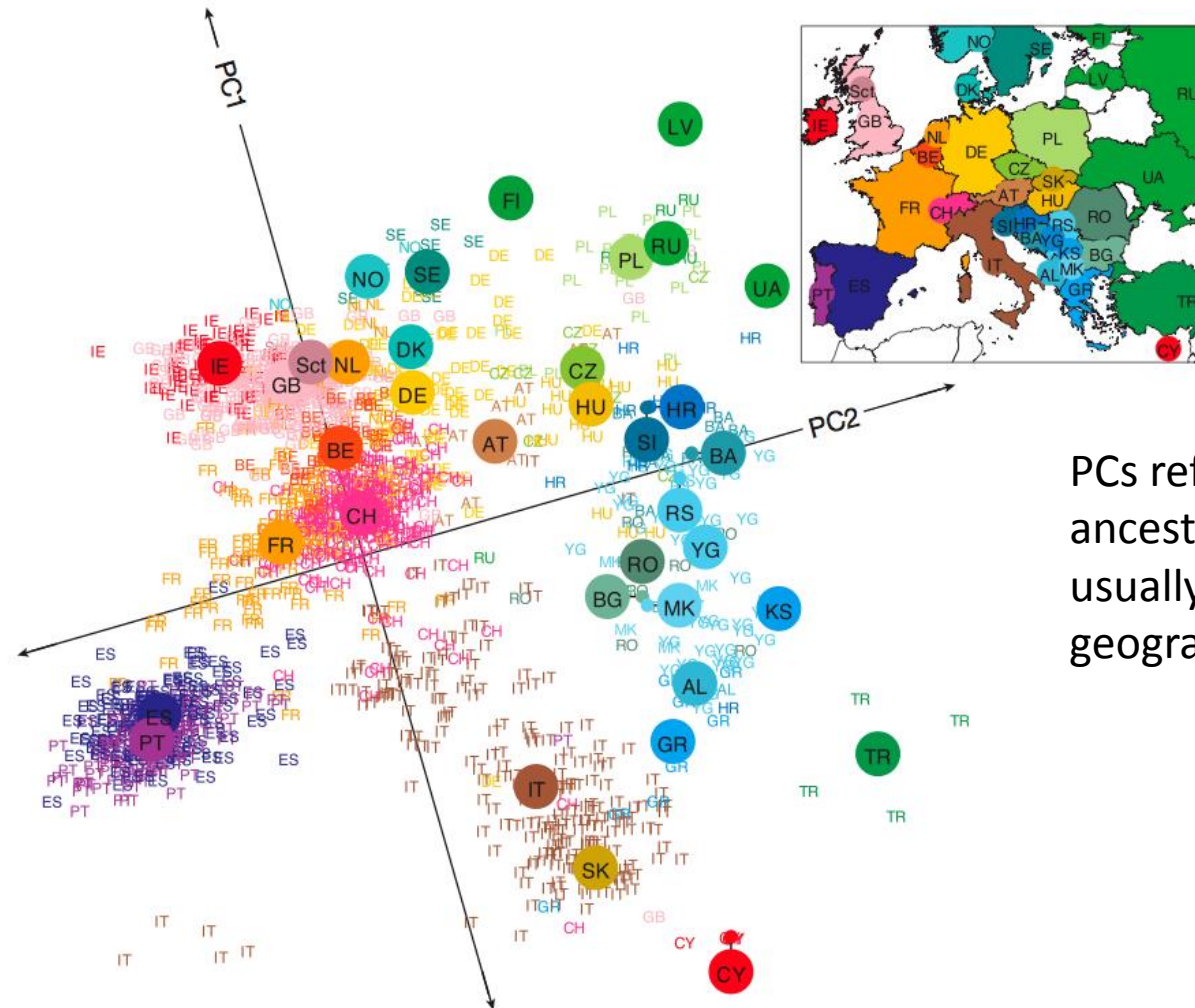


# Fine-scale genetic variation





# Fine-scale genetic variation reflects geography



PCs reflecting ancestry differences usually correlate with geography.

# Copy and unzip files needed for practical

---

- ▶ Open terminal: Applications Menu -> Terminal Emulator

- ▶ First run this in your terminal:

```
cp -r /home/abdel/PCA_practical .
```

```
cd PCA_practical
```

```
unzip dutch_1kG.zip
```

- ▶ `command.txt` contains all the remaining commands we are going to run in the terminal (which are also on the slides `abdel_pop_strat_boulder_2019.pdf`)

# Overview practical

---

- ▶ Analyses are based on the paper “*Population Structure, Migration, and Diversifying Selection in the Netherlands*” (Abdellaoui et al, 2013)

## Analyses:

- ▶ Run PCA on 1000 Genomes, and project PCs on Dutch individuals
  - ▶ Goal: identify Dutch individuals with non-European ancestry and exclude
- ▶ Run PCA on remaining Dutch individuals
  - ▶ Goal: obtain PCs reflecting Dutch ancestry differences
- ▶ Software used:
  - ▶ Eigenstrat -> <http://genepath.med.harvard.edu/~reich/Software.htm>
  - ▶ Plink -> <http://pngu.mgh.harvard.edu/~purcell/plink>
  - ▶ R -> <http://www.r-project.org/>

# Description of the data

---

## ▶ Individuals:

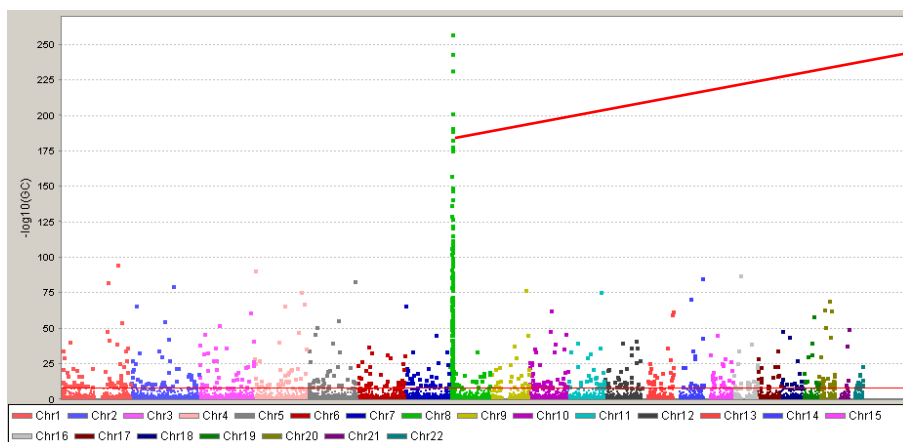
- ▶ 171 Dutch individuals from the Netherlands Twin Registry (NTR)
- ▶ 221 from 1000 Genomes (Europeans, Africans, and Asians)
  - ▶ If you're interested in the 1000 Genomes dataset in plink format (~16 million SNPs): e-mail [a.abdellaoui@amc.nl](mailto:a.abdellaoui@amc.nl)

## ▶ SNPs:

- ▶ 113,164 SNPs (from Affy 6.0 chip)
- ▶ Quality Control (done in Plink):
  - ▶  $MAF > .05$
  - ▶  $HWE\ p > .001$
  - ▶ SNP missingness  $< .05$  (individual missingness  $< .02$ )
  - ▶ Excluded long-range LD regions
  - ▶ LD Pruned

# Why exclude long-range LD regions?

- ▶ Elevated levels of LD can be overrepresented in PCs, deluding the genome-wide patterns that reflect the subtle ancestry differences.



GWAS on PC: PC reflects variation at chr8 inversion

## Long-Range LD Can Confound Genome Scans in Admixed Populations

Table 1. Correspondence between Regions from Tang et al. and Regions of Extended LD in European Populations

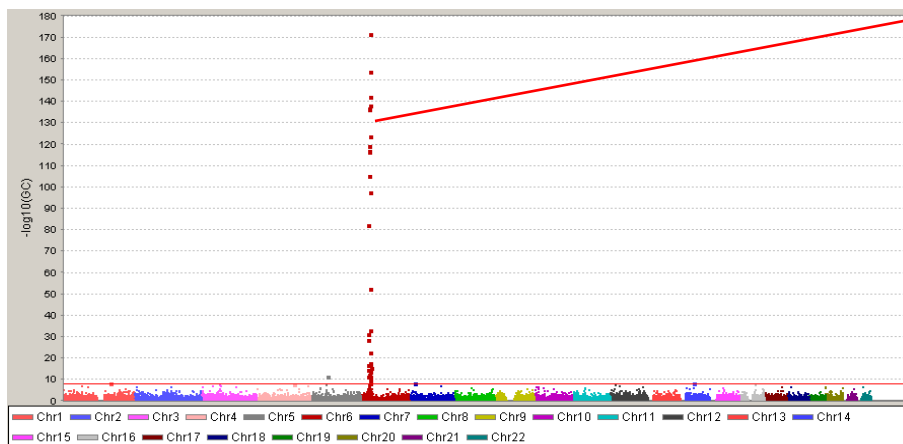
	SNP at Region Peak, Chromosome from Tang et al. <sup>1</sup>	SNP Position from PCA Analysis	Extended LD Region, SNP Position from PCA Analysis
6	rs169679	29.0 Mb	25.5–33.5 Mb
8	rs896760	113.5 Mb	112–115 Mb
11	rs637249	56.0 Mb	46–57 Mb

For each region reported to be under selection, we list the SNP defining the peak of this region as described in Tang et al.,<sup>1</sup> the physical position of the SNP, and the physical position of the corresponding region of extended LD from PCA analysis. The other autosomal long-range LD regions identified by PCA analysis were chromosome 1: 48–52 Mb, 2: 86–100.5 Mb, 2: 134.5–138 Mb, 2: 183–190 Mb, 3: 47.5–50 Mb, 3: 83.5–87 Mb, 3: 89–97.5 Mb, 5: 44.5–50.5 Mb, 5: 98–100.5 Mb, 5: 129–132 Mb, 5: 135.5–138.5 Mb, 6: 57–64 Mb, 6: 140–142.5 Mb, 7: 55–66 Mb, 8: 8–12 Mb, 8: 43–50 Mb, 10: 37–43 Mb, 11: 87.5–90.5 Mb, 12: 33–40 Mb, 12: 109.5–112 Mb, and 20: 32–34.5 Mb.

The American Journal of Human Genetics 83, 127–147, July 2008

# Why exclude long-range LD regions?

- ▶ Elevated levels of LD can be overrepresented in PCs, deluding the genome-wide patterns that reflect the subtle ancestry differences.



GWAS on PC: PC reflects variation at MHC region (chr 6)

## Long-Range LD Can Confound Genome Scans in Admixed Populations

Table 1. Correspondence between Regions from Tang et al. and Regions of Extended LD in European Populations

	SNP at Region Peak, Chromosome from Tang et al. <sup>1</sup>	SNP Position from PCA Analysis	Extended LD Region, SNP Position from PCA Analysis
6	rs169679	29.0 Mb	25.5–33.5 Mb
8	rs896760	113.5 Mb	112–115 Mb
11	rs637249	56.0 Mb	46–57 Mb

For each region reported to be under selection, we list the SNP defining the peak of this region as described in Tang et al.,<sup>1</sup> the physical position of the SNP, and the physical position of the corresponding region of extended LD from PCA analysis. The other autosomal long-range LD regions identified by PCA analysis were chromosome 1: 48–52 Mb, 2: 86–100.5 Mb, 2: 134.5–138 Mb, 2: 183–190 Mb, 3: 47.5–50 Mb, 3: 83.5–87 Mb, 3: 89–97.5 Mb, 5: 44.5–50.5 Mb, 5: 98–100.5 Mb, 5: 129–132 Mb, 5: 135.5–138.5 Mb, 6: 57–64 Mb, 6: 140–142.5 Mb, 7: 55–66 Mb, 8: 8–12 Mb, 8: 43–50 Mb, 10: 37–43 Mb, 11: 87.5–90.5 Mb, 12: 33–40 Mb, 12: 109.5–112 Mb, and 20: 32–34.5 Mb.

The American Journal of Human Genetics 83, 127–147, July 2008

## Why also prune for LD?

---

- ▶ From EIGENSTRAT paper *Principal components analysis corrects for stratification in genome-wide association studies* (Price et al, 2006):

“Strong LD at a given locus which affects many markers could result in an axis of variation which corresponds to genetic variation specifically at that locus, rather than to genome-wide ancestry. Nonetheless, **we recommend inferring population structure using all markers. This recommendation is based on an analysis of HapMap data which suggests that these potential problems will not affect results in practice.**”

# Why also prune for LD?

- ▶ PCA was conducted on three sets of SNPs varying in LD on 1000 Genomes populations and Dutch subjects separately
- ▶ PCs were identical for 1000 Genomes across the 3 SNP sets. For the Dutch dataset, there were big differences:

SNP set used for PCA	Nr. of SNPs for PCA	Correlations between PCs and North-South gradient (N = 3363)		Correlations between PCs and East-West gradient (N = 3363)		$\lambda$ for GWASs on height including the North-South PC as a covariate
		Pearson Correlation	Difference test	Pearson Correlation	Difference test	
SNP set 1: All SNPs that passed QC	499,849	$r_{PC2,\updownarrow} = .428$	-	$r_{PC8,\leftrightarrow} = .205$	-	1.03937
SNP set 2: SNP set 1 without the 24 long-range LD regions	487,672	$r_{PC1,\updownarrow} = .574$	$p = 3.9 \cdot 10^{-46}$ (versus SNP set 1)	$r_{PC3,\leftrightarrow} = .260$	$p = 4.2 \cdot 10^{-10}$ (versus SNP set 1)	1.03092
SNP set 3: SNP set 2 with genome-wide LD based SNP pruning	130,248	$r_{PC1,\updownarrow} = .588$	$p = 1.9 \cdot 10^{-4}$ (versus SNP set 2)	$r_{PC2,\leftrightarrow} = .369$	$p = 3.5 \cdot 10^{-21}$ (versus SNP set 2)	1.02961

- ▶ Conclusion: minimizing LD is necessary for more homogeneous datasets (i.e., datasets with subjects from a single population)



## Files needed for EIGENSTRAT

---

- ▶ Input files: three files containing information about SNPs and samples (.ped, .map, .fam)
- ▶ Parameter file: file containing parameters for the PCA

# EIGENSTRAT input files are in plink format

---

## Plink ped files (--recode)

- ▶ dutch\_1kG.ped
- ▶ dutch\_1kG.map

## Plink binary files (--make-bed)

- ▶ dutch\_1kG.bed
- ▶ dutch\_1kG.bim
- ▶ dutch\_1kG.fam

# EIGENSTRAT input files are in plink format

---

## Plink ped files (--recode)

- ▶ dutch\_1kG.ped
- ▶ dutch\_1kG.map

## Plink binary files (--make-bed)

- ▶ dutch\_1kG.bed
- ▶ dutch\_1kG.bim
- ▶ dutch\_1kG.fam

EIGENSTRAT needs

# Values in the phenotype column (column 6) of .fam file:

---

**3 = Dutch individuals**

4 = CEPH individuals

5 = British individuals

6 = Finnish individuals

7 = Iberian (Spain)

8 = Toscan

→ European

9 = Han Chinese in Beijing

10 = Han Chinese South

11 = Japanese individuals

→ Asian

12 = Luhya individuals

13 = Yoruba individuals

→ African

# Parameter file (.par)

---

▶ The .par file will have the following lines:

genotypename: **dutch\_1kG.ped** -> *input genotype file*

snpname: **dutch\_1kG.map** -> *input snp file*

indivname: **dutch\_1kG.fam** -> *input individual file*

evectoutname: **dutch\_1kG.evec** -> *output file of PCs*

evaloutname: **dutch\_1kG.eval** -> *output file of all eigenvalues*

numoutevec: **10** -> *number of PCs to output*

numoutlieriter: **0** -> *maximum number of outlier removal iterations (0 turns it off)*

poplistname: **poplist\_1kG.txt** -> *file containing population value of individuals (If wishing to infer PCs using only individuals from a subset of populations, and then project to individuals from all other populations; will be used to detect individuals of non-European descent)*

snpweightoutname: **dutch\_1kG.snpweight** -> *output file with SNP weightings of each PC*

# Parameter file (.par)

---

- ▶ Let's make the .par file. Run the following commands:

```
echo "genotypename: dutch_1kG.ped" >> dutch_1kG.par
echo "snpname: dutch_1kG.map" >> dutch_1kG.par
echo "indivname: dutch_1kG.fam" >> dutch_1kG.par
echo "evecoutname: dutch_1kG.evec" >> dutch_1kG.par
echo "evaloutname: dutch_1kG.eval" >> dutch_1kG.par
echo "numoutevec: 10" >> dutch_1kG.par
echo "numoutlieriter: 0" >> dutch_1kG.par
echo "poplistname: poplist_1kG.txt" >> dutch_1kG.par
echo "snpweightoutname: dutch_1kG.snpweight" >> dutch_1kG.par
```

- ▶ We also need to make the poplistname file (poplist\_1kG.txt), containing the population values of the 1000 Genomes populations (4-13). Run the following command:

```
echo "4\n5\n6\n7\n8\n9\n10\n11\n12\n13" > poplist_1kG.txt
```

# We're ready to run EIGENSTRAT

---

- ▶ Run this command:

```
smartpca -p dutch_1kG.par > dutch_1kG.log
```

# Let's look at the PCs in R

---

- ▶ First, let's make the file readable for R:

```
sed 's/:// /g' dutch_1kG.evec > dutch_1kG.R.evec
```

- ▶ Run R script to make plot and identify outliers:

```
R CMD BATCH outliers.R
```



# Let's look at the PCs in R

- ▶ First, let's make the file readable for R:

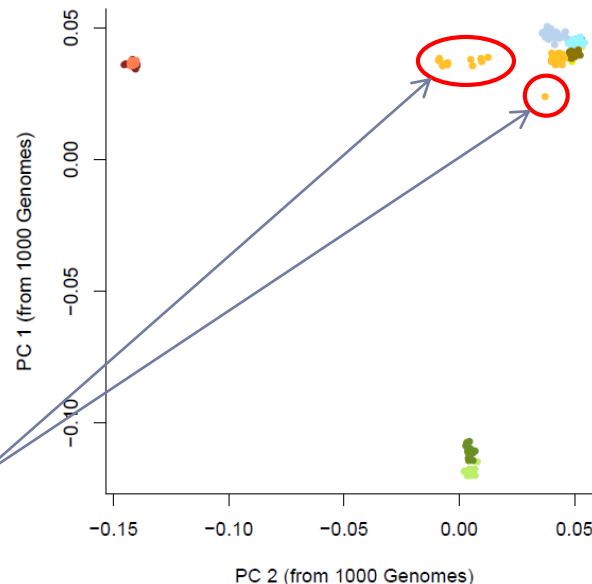
```
sed 's:// /g' dutch_1kG.evec > dutch_1kG.R.evec
```

- ▶ Run R script to make plot and identify outliers:

```
R CMD BATCH outliers.R
```

- ▶ What does the R script do? (open outliers.R)

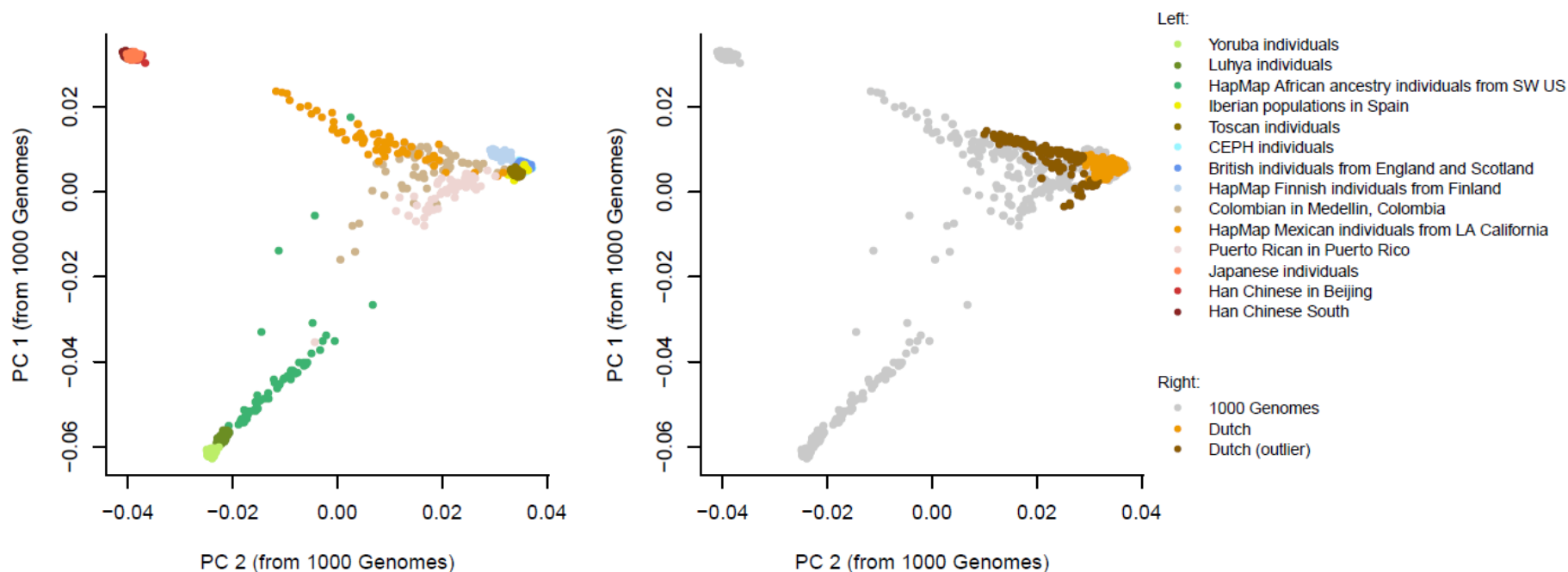
- ▶ Read in EIGENSTRAT file
- ▶ Plot PC1 & PC2
- ▶ Write IDs to file of Dutch individuals scoring higher than maximum European or lower than minimum European scores on PC1 or PC2 (to outliers.txt)



- Dutch individuals
- CEPH individuals
- British individuals from England and Scotland
- HapMap Finnish individuals from Finland
- Iberian populations in Spain
- Toscan individuals
- Han Chinese in Beijing
- Han Chinese South
- Japanese individuals
- Yoruba individuals
- Luhya individuals

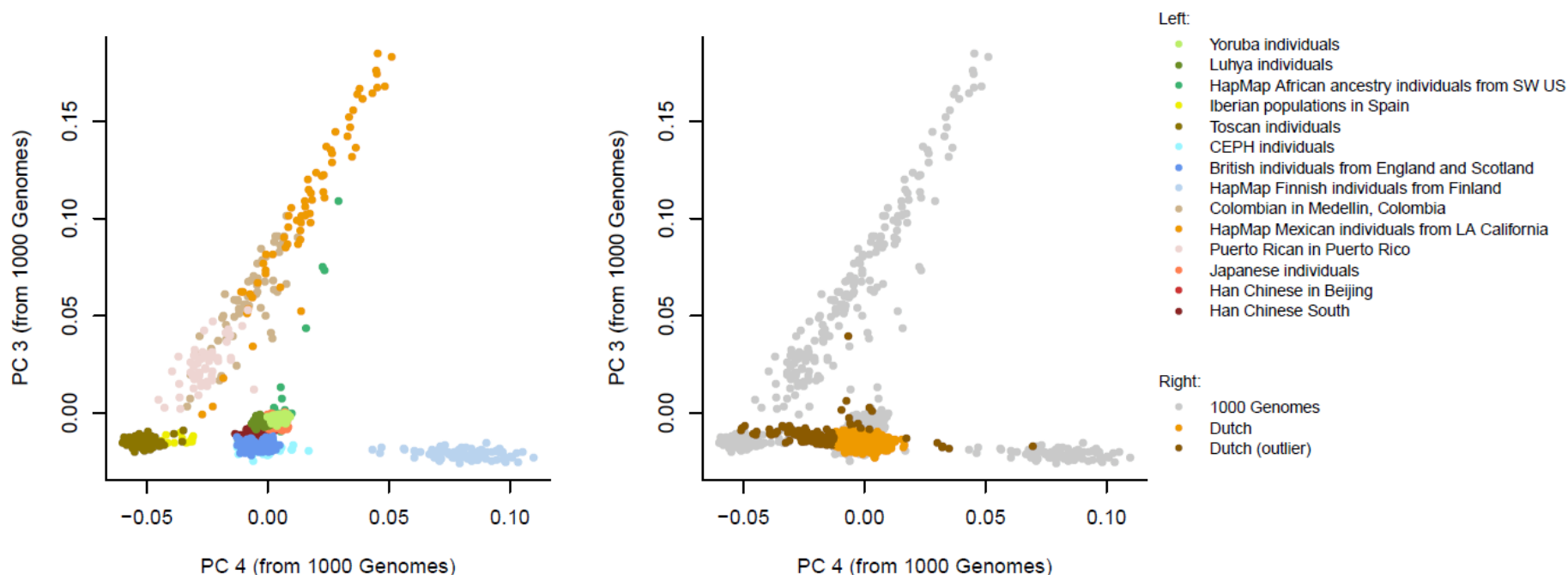
# Identifying Dutch with non-European ancestry

- ▶ PCs were calculated using a set of 1014 unrelated individuals from 1000 Genomes, and were then projected on ~7500 Dutch individuals.
- ▶ 258 individuals were excluded. Parental birth place was available for 132 of these individuals, of which 55.3% had at least one parent born outside of the Netherlands (as opposed to 4% of the rest of the individuals).



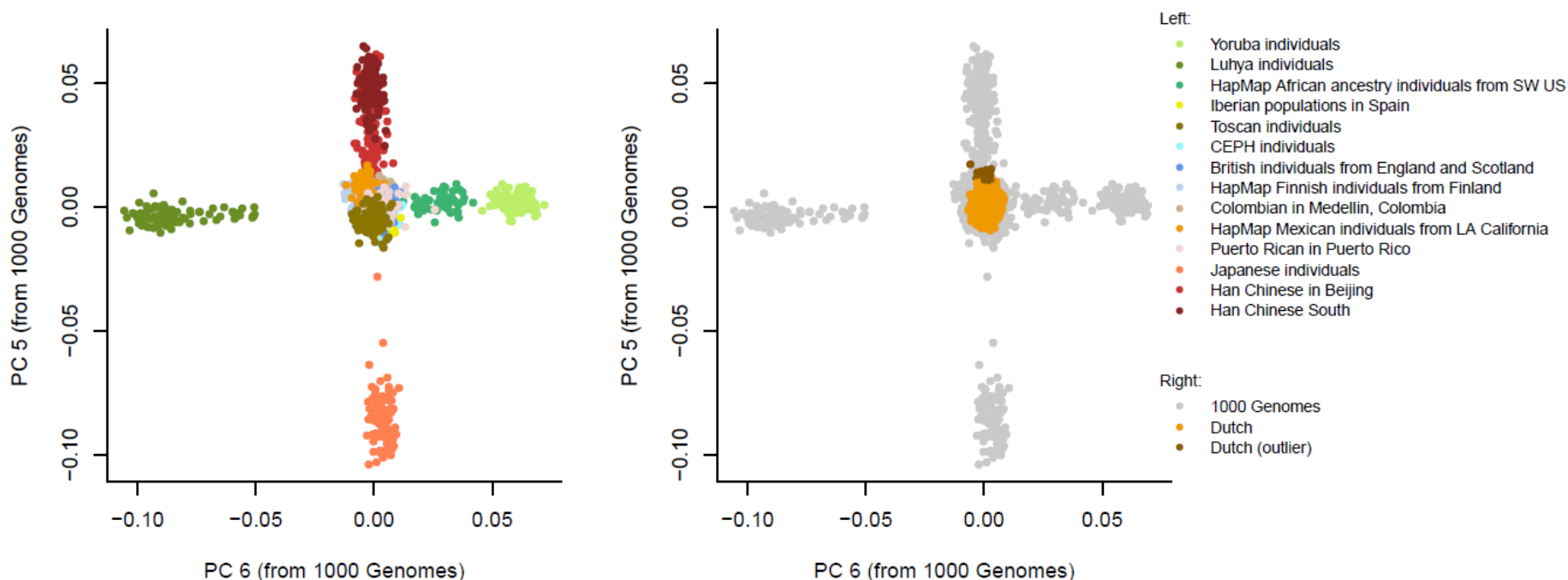
# Identifying Dutch with non-European ancestry

- ▶ PCs were calculated using a set of 1014 unrelated individuals from 1000 Genomes, and were then projected on ~7500 Dutch individuals.
- ▶ 258 individuals were excluded. Parental birth place was available for 132 of these individuals, of which 55.3% had at least one parent born outside of the Netherlands (as opposed to 4% of the rest of the individuals).



# Identifying Dutch with non-European ancestry

- ▶ PCs were calculated using a set of 1014 unrelated individuals from 1000 Genomes, and were then projected on ~7500 Dutch individuals.
- ▶ 258 individuals were excluded. Parental birth place was available for 132 of these individuals, of which 55.3% had at least one parent born outside of the Netherlands (as opposed to 4% of the rest of the individuals).



# Exclude Dutch individuals with non-European ancestry and 1000 Genomes

---

```
awk '$6>3{print $1,$2}' dutch_1kG.fam > 1kG.ids
```

```
cat outliers.txt 1kG.ids > remove_outliers.ids
```

```
plink --bfile dutch_1kG --remove remove_outliers.ids --make-bed --out dutch
```

```
plink --bfile dutch --recode --out dutch
```

# Parameter file (.par)

---

- ▶ Let's make the .par file. Run the following commands:

```
echo "genotypename: dutch.ped" >> dutch.par
echo "snpname: dutch.map" >> dutch.par
echo "indivname: dutch.fam" >> dutch.par
echo "evecoutname: dutch.evec" >> dutch.par
echo "evaloutname: dutch.eval" >> dutch.par
echo "numoutevec: 10" >> dutch.par
echo "numoutlieriter: 0" >> dutch.par
echo "poplistname: poplist_NL.txt" >> dutch.par
echo "snpweightoutname: dutch.snpweight" >> dutch.par
```

- ▶ We also need to make the poplistname file (poplist\_NL.txt). Run the following command:

```
echo "3" > poplist_NL.txt
```

# We're ready to run the 2nd round of EIGENSTRAT!

---

- ▶ Run this command:

```
smartpca -p dutch.par > dutch.log
```

# Let's plot the first two PCs in R

---

- ▶ First, let's make the file readable for R:

```
sed 's/:/ /g' dutch.evec > dutch.R.evec
```

- ▶ Run R script to make plot :

```
R CMD BATCH plot_NL.R
```



# Let's plot the first two PCs in R

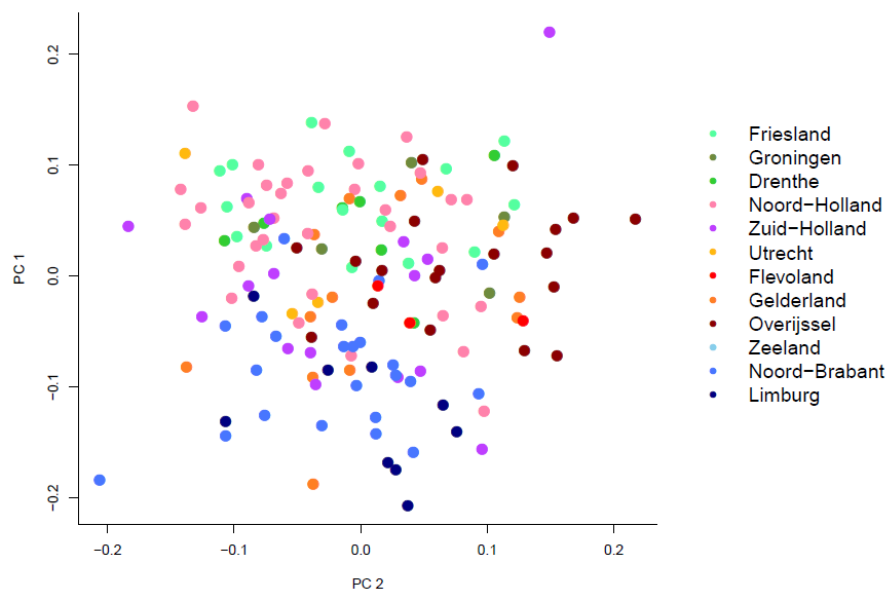
- ▶ First, let's make the file readable for R:

```
sed 's/:/ /g' dutch.evec > dutch.R.evec
```

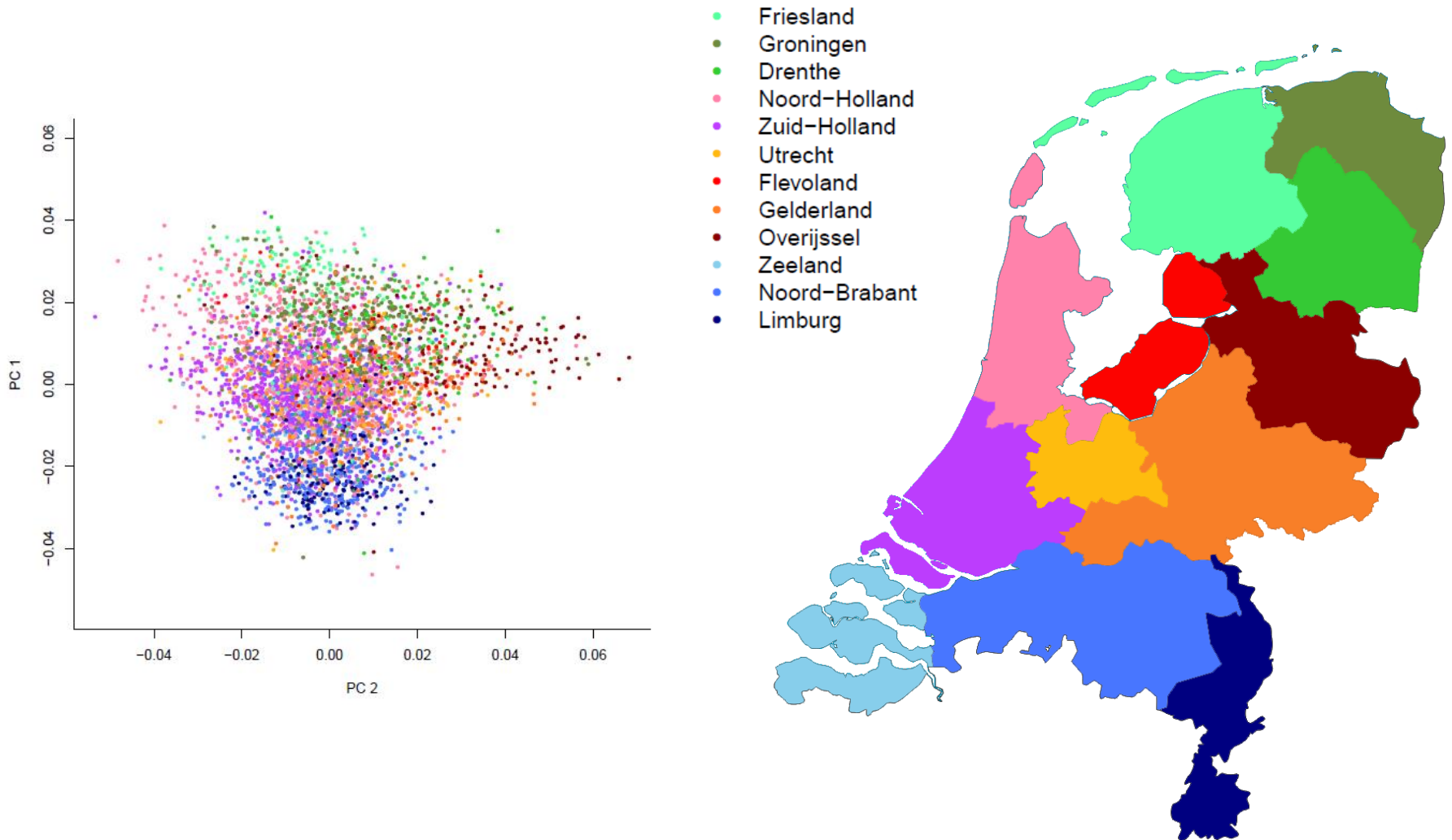
- ▶ Run R script to make plot :

```
R CMD BATCH plot_NL.R
```

- ▶ What does the R script do? (open plot\_NL.R)

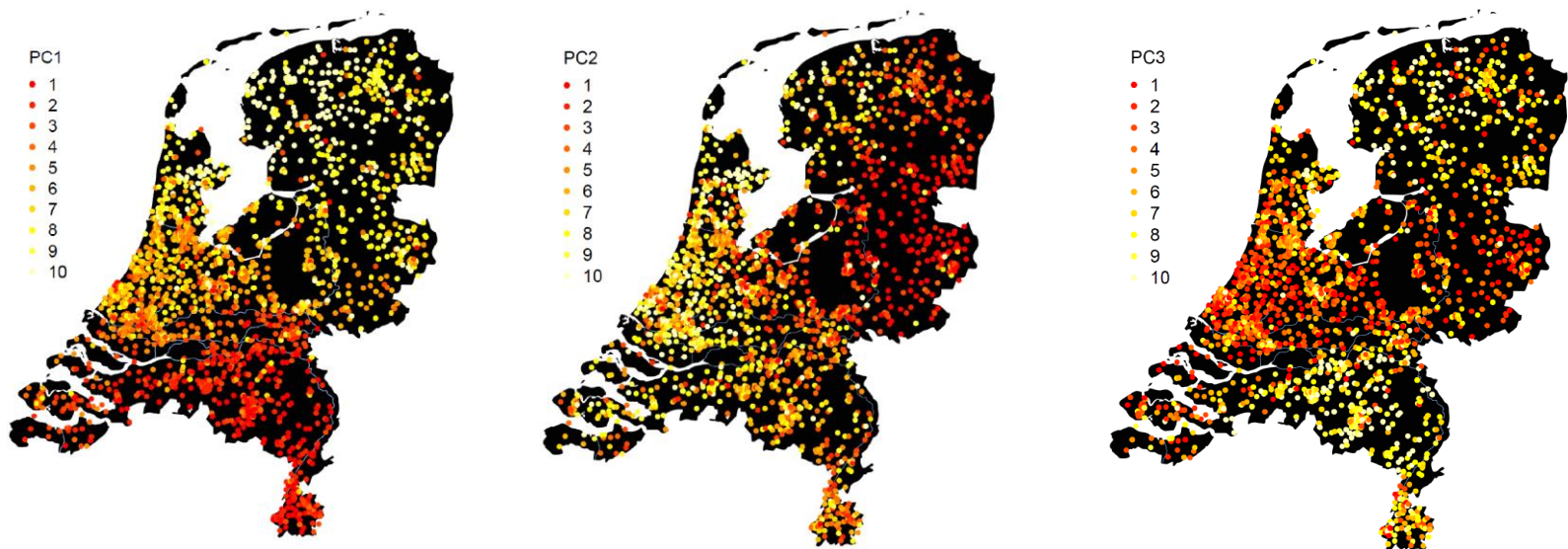


# Same plot, with N=4,441

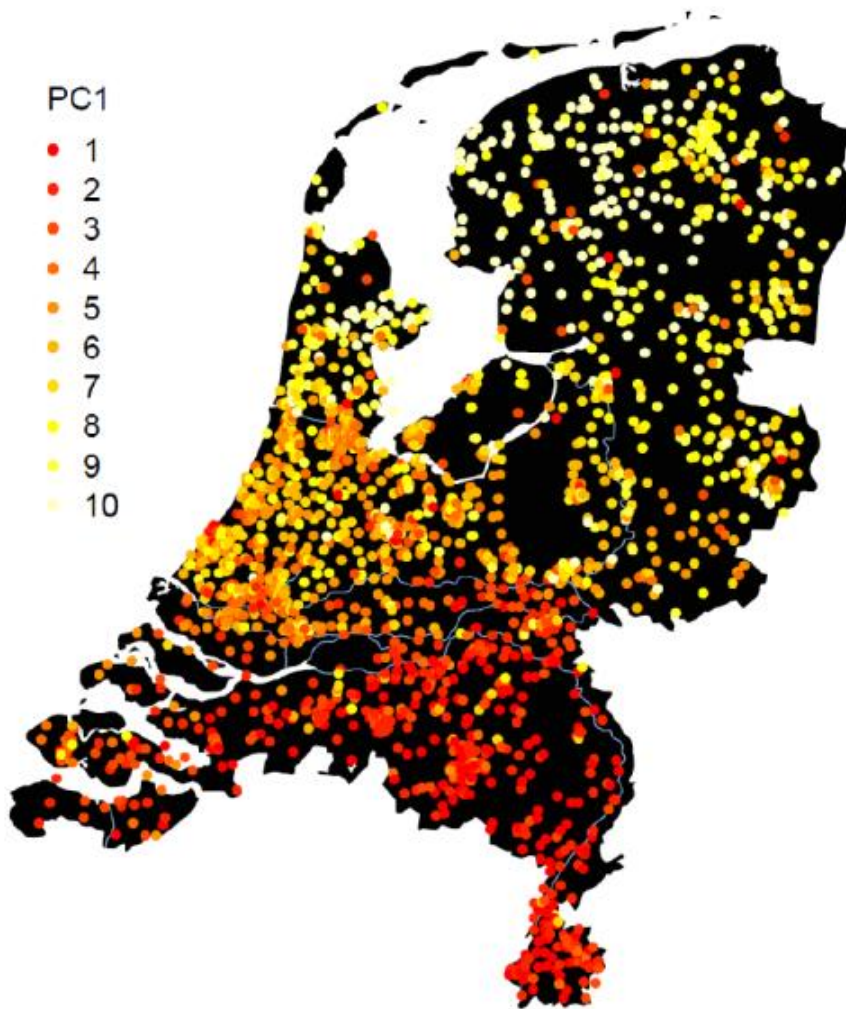


# PC1, PC2, and PC3

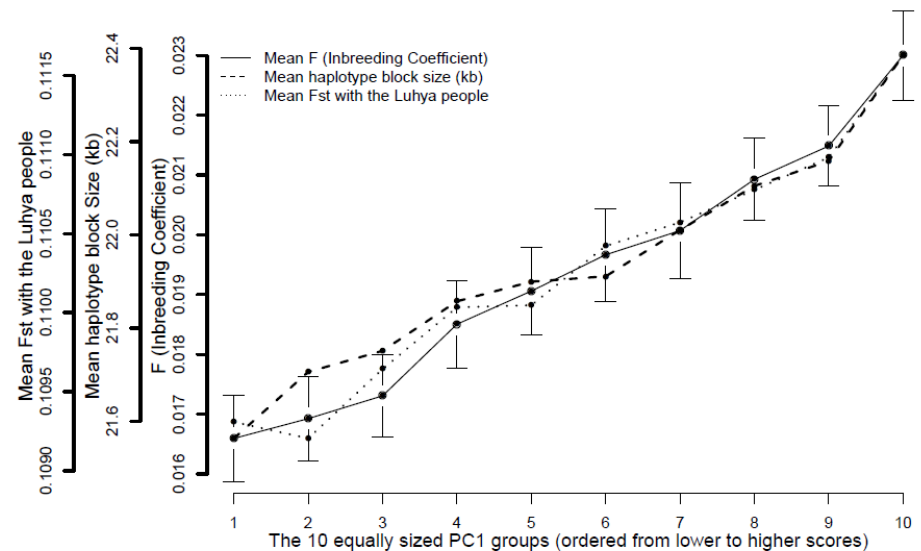
- ▶ The color of the dots represent the mean PC value per postal code (based on current living address of the 4,441 subjects).



# PC1 (N=4,441)



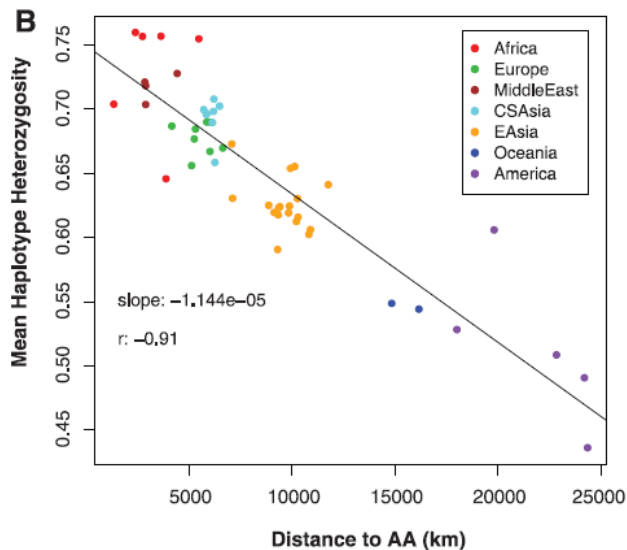
- ▶ Correlates .656 with European North-South PC.
- ▶ Serial founder effect? (correlation with  $F$ : .245)
- ▶ Spouse correlation = .555



# Serial founder effect: heterozygosity decreases (F increases) as you move away from Addis Ababa, Ethiopia

## Worldwide Human Relationships Inferred from Genome-Wide Patterns of Variation

Jun Z. Li,<sup>1,2\*†</sup> Devin M. Absher,<sup>1,2\*</sup> Hua Tang,<sup>1</sup> Audrey M. Southwick,<sup>1,2</sup> Amanda M. Casto,<sup>1</sup> Sohini Ramachandran,<sup>4</sup> Howard M. Cann,<sup>5</sup> Gregory S. Barsh,<sup>1,3</sup> Marcus Feldman,<sup>4,‡</sup> Luigi L. Cavalli-Sforza,<sup>1,‡</sup> Richard M. Myers<sup>1,2,‡</sup>



We compared SNP haplotype heterozygosity across populations and found, consistent with earlier reports (22), that it is highest in sub-Saharan Africa and decreases steadily with distance from this region (Fig. 3B). The mean heterozygosity across autosomal haplotypes (using 295 haplotype blocks in Chr16) (14) is negatively correlated with distance from Addis Ababa, Ethiopia (5, 23), with a correlation coefficient  $r$  of  $-0.91$  and a slope of  $-1.1 \times 10^{-5}$  per km (Fig. 3B). This trend is consistent with a serial founder effect, a scenario in which population expansion involves successive migration of a small fraction of individuals out of the previous location, starting from a single origin in sub-Saharan Africa.

22 FEBRUARY 2008 VOL 319 SCIENCE www.sciencemag.org

- ▶ Genome-wide homozygosity (F) can be computed in plink with `--het`

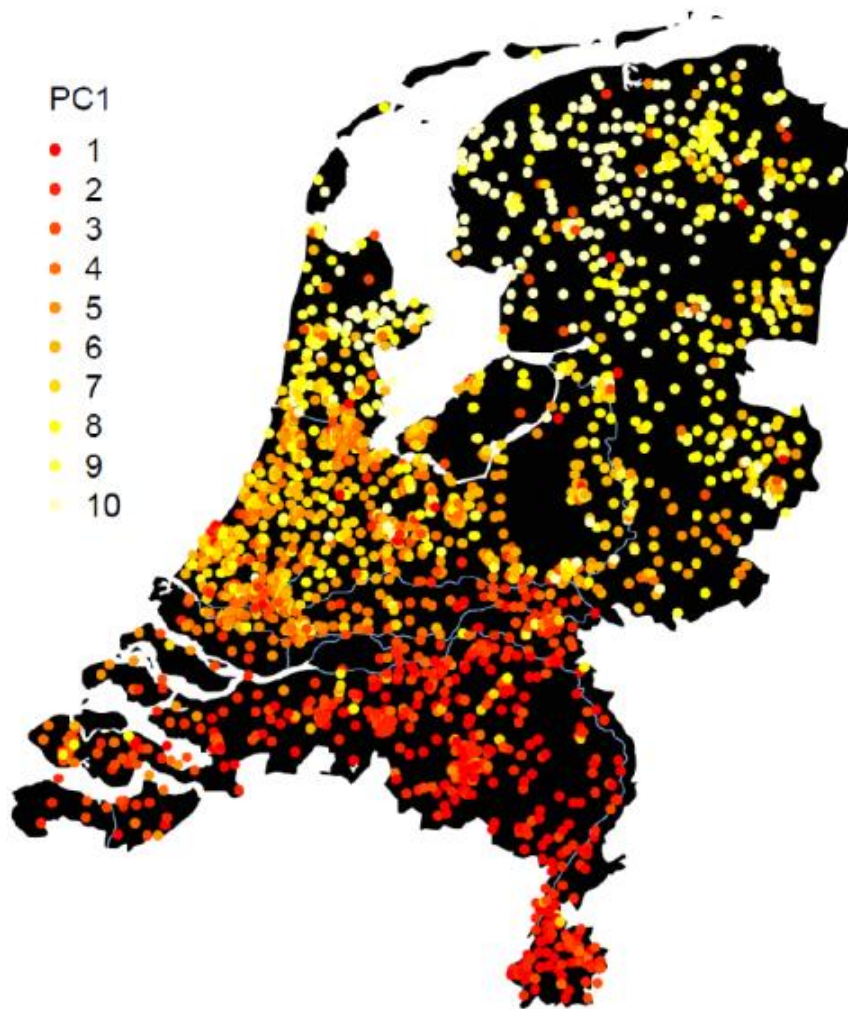
# Height

---

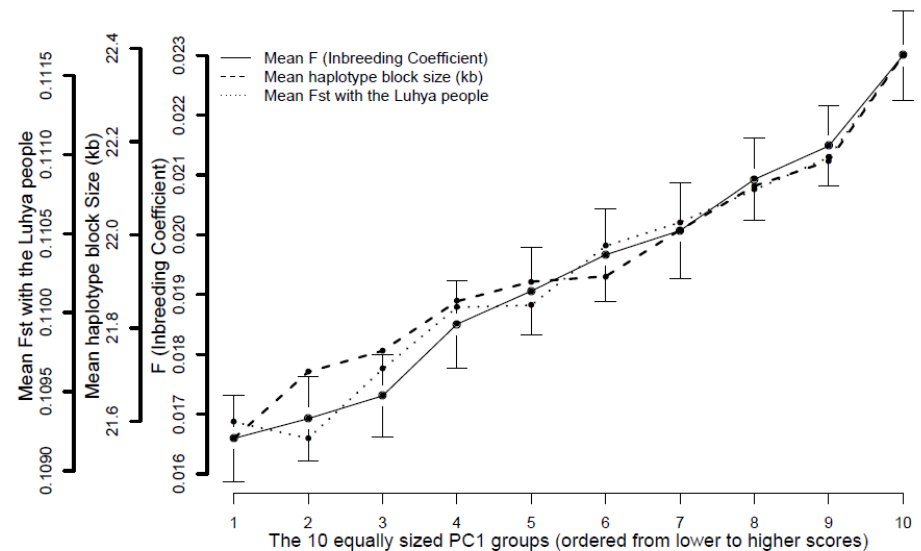
- ▶ Northern Dutch are known to be taller on average than the Dutch from the Southern parts of the Netherlands. Also within Europe, Northern Europeans are taller on average than Southern Europeans.
- ▶ In our sample, height does not correlate very high with the North-South gradient of the current living address:
  - ▶ males:  $r = .055$ ,  $p = .032$ ; females:  $r = .066$ ,  $p = .001$
- ▶ Height however correlates higher and more significantly with the North-South PC:
  - ▶ males:  $r = .178$ ,  $p = 3 \times 10^{-12}$ ; females:  $r = .166$ ,  $p = 1 \times 10^{-18}$



# PC1 (N=4,441)

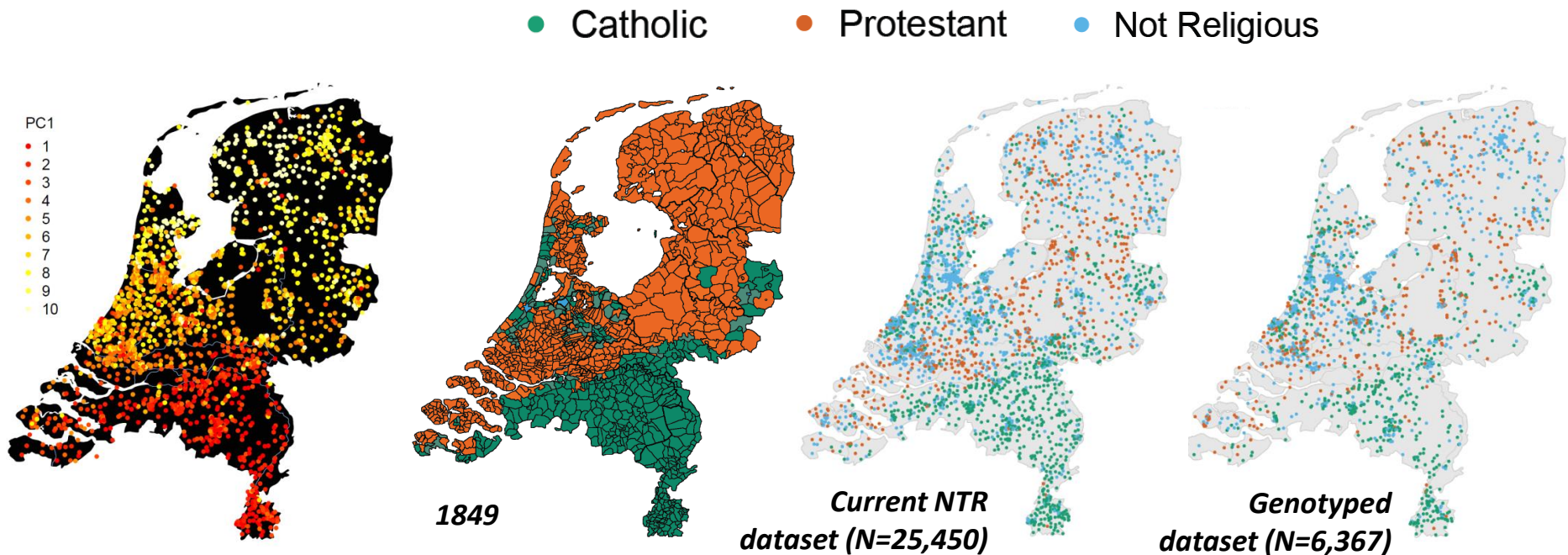


- ▶ Correlates .656 with European North-South PC.
- ▶ Serial founder effect? (correlation with  $F$ : .245)
- ▶ Spouse correlation = .555



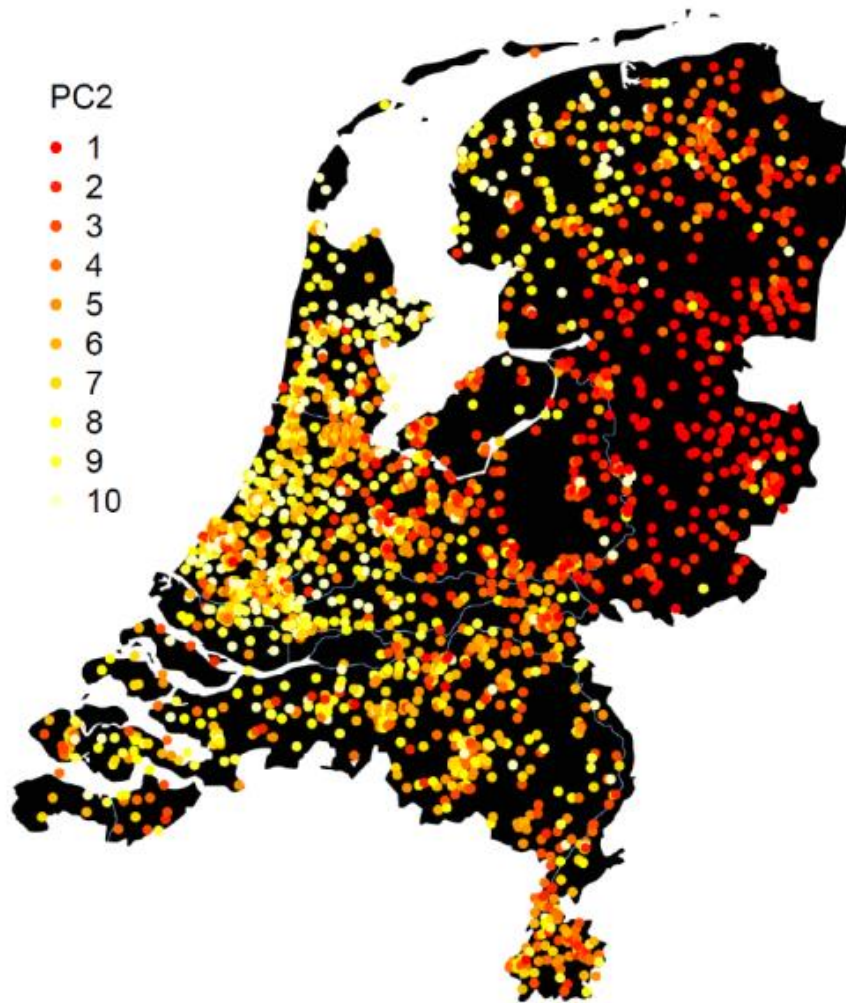
# Religion in the Netherlands

- ▶ The Netherlands had a long history (>400 years) of societal segregation and assortment based on religious affiliation.
  - ▶ Spouse correlation for religion in current dataset = .728 ( $p < .001$ )
  - ▶ This may have increased parental relatedness among religious people.

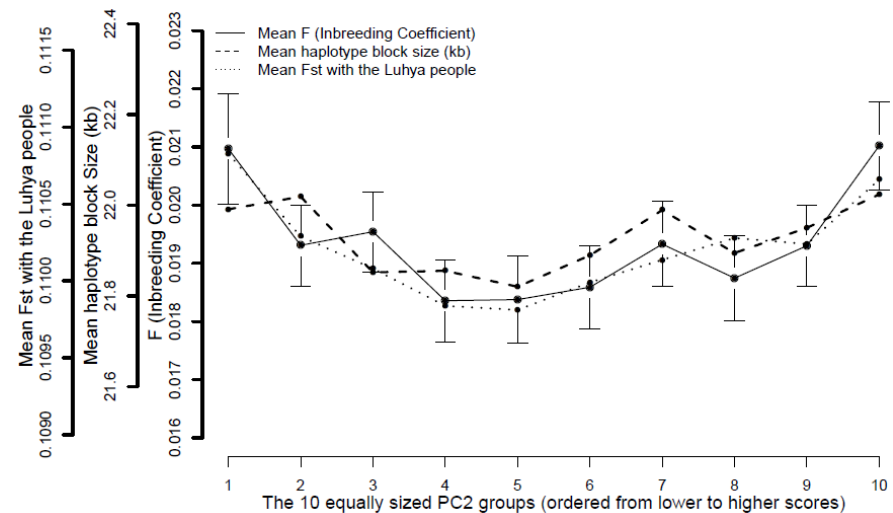




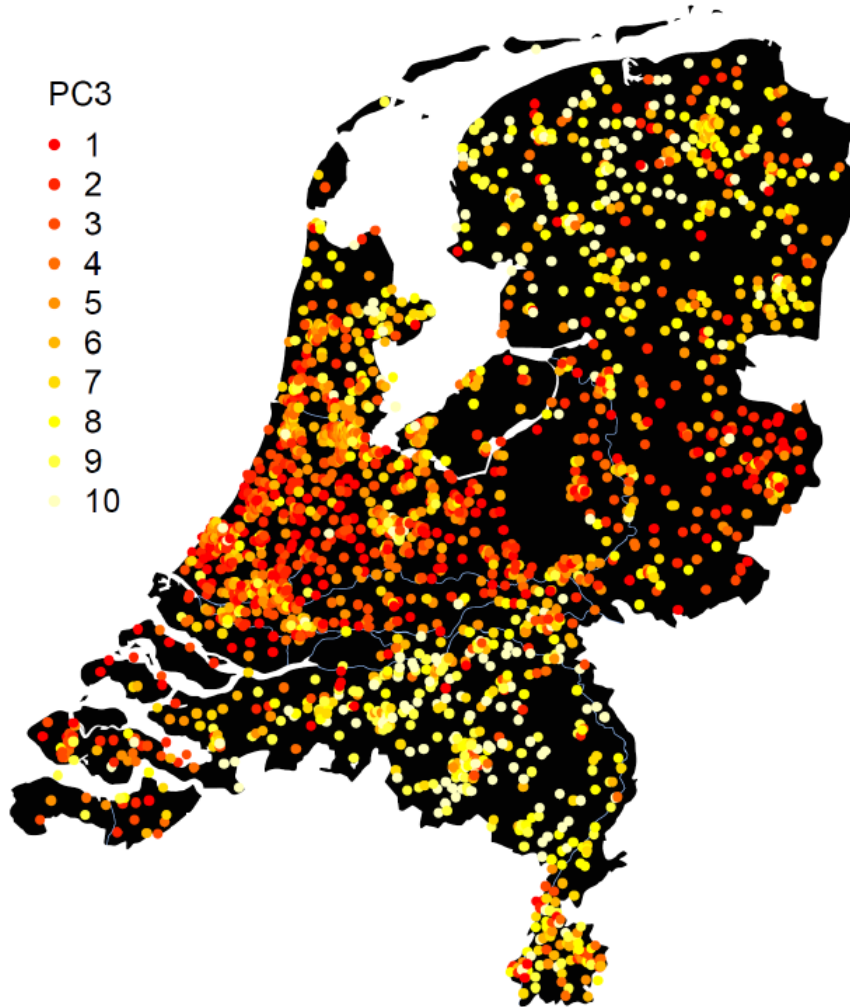
# PC2 (N=4,441)



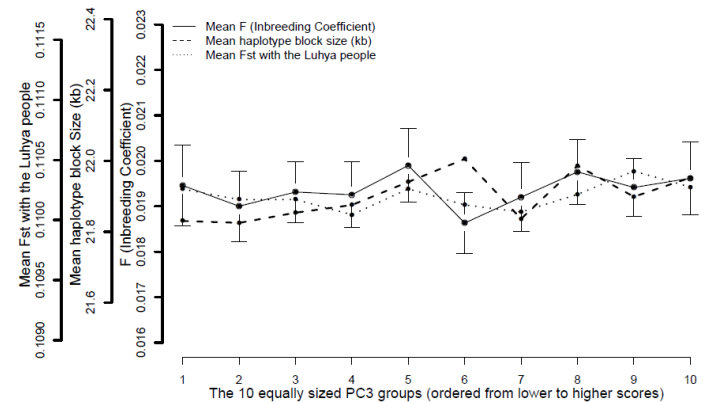
► Spouse correlation = .164



# PC3 (N=4,441)

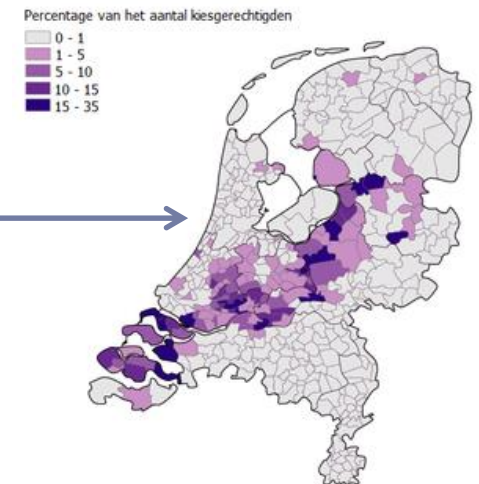


- ▶ Was only observed with minimized LD
- ▶ Spouse correlation = .174

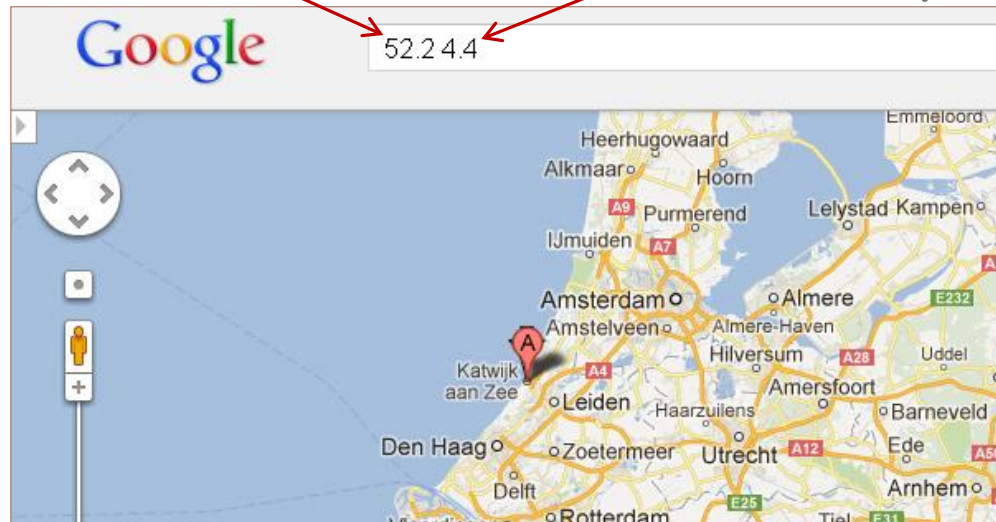
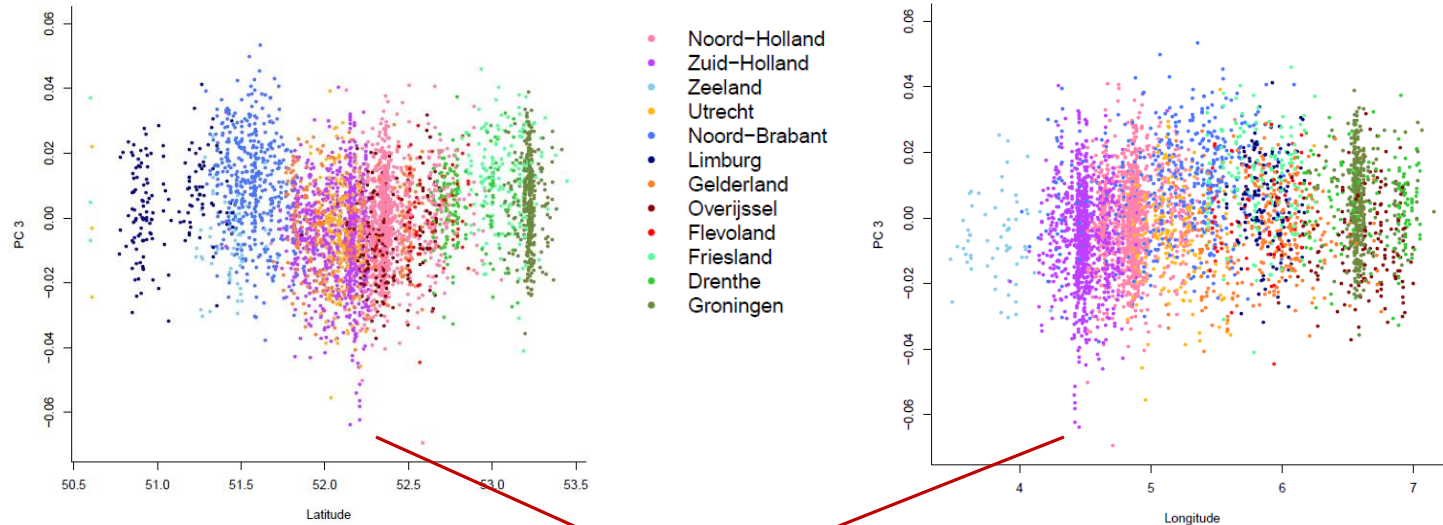


- ▶ Bible belt?

Votes for  
conservative  
Christian party  
(SGP)

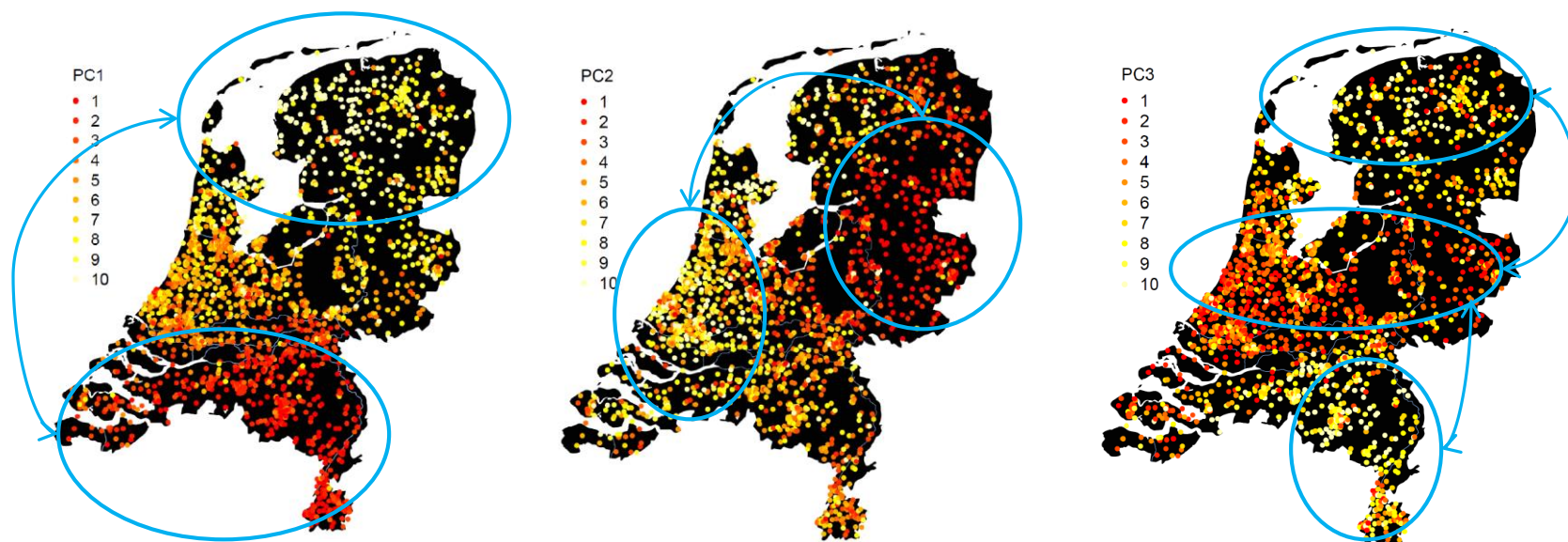


# PC3 (N=4,441)



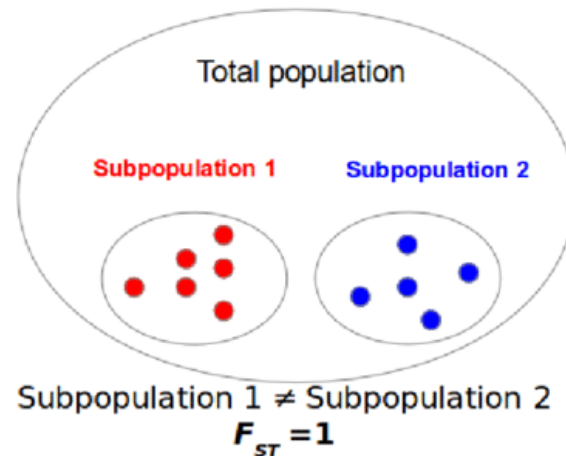
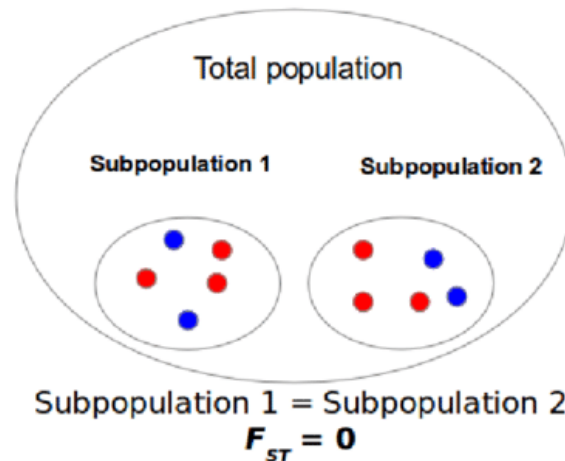
# Natural selection vs genetic drift

- ▶ The 1000 individuals with the lowest PC score were compared with the 1000 individuals with the highest PC score
- ▶ Using the 500 000 SNPs it is estimated what the expected divergence is under genetic drift
- ▶ For each SNP we then compute whether the divergence is significantly greater than expected under genetic drift (i.e., whether they are under selection)



# Using PCs and $F_{st}$ to identify loci under selection

- ▶ Bayescan 2.1 was used to calculate  $F_{st}$  values for all SNPs and identify outliers with a Bayesian approach
- ▶  $F_{st}$ 's were computed between top 1000 and bottom 1000 individuals for each ancestry-informative PC



# Using PCs and $F_{st}$ to identify loci under selection

---

- ▶ Bayescan 2.1 was used to calculate  $F_{st}$  values for all SNPs and identify outliers with a Bayesian approach
- ▶  $F_{st}$ 's were computed between top 1000 and bottom 1000 individuals for each ancestry-informative PC
- ▶  $F_{st}$  is then decomposed into 2 components:
  - ▶ population-specific component ( $\beta$ ), shared by all loci
  - ▶ locus-specific component ( $\alpha$ ), shared by both populations
- ▶ If  $\alpha$  is significantly different from 0, the locus may have been under selection:
  - ▶  $\alpha > 0$  = diversifying selection
  - ▶  $\alpha < 0$  = balancing selection (power to detect this is weak)
- ▶ Significance is based on FDR corrected q-value ( $< .05$ )

## Using PCs and $F_{st}$ to identify loci under selection: results

---

- ▶ 499,849 SNPs in total (51.4% within genes):
  - ▶ PC1 (North-South): 273 significant SNPs (59% within 88 genes)
  - ▶ PC2 (East-West): 172 significant SNPs (58.1% within 55 genes)
  - ▶ PC3 (Middle-Band): 100 significant SNPs (75% within 41 genes)
- ▶ Several of the genes with significant SNPs have been observed to be strongly differentiated within Europe in previous studies:
  - ▶ *LCT* (PC1), *HERC2* (PC1), *CADPS* (PC1), *IRF1* (PC1), *SLC44A5* (PC1), *R3HDM1* (PC1), *ACOXL* (PC3), and *BTBD9* (PC3)

# HERC2 & eye color

---

- ▶ Highest  $F_{st}$  observed in PC1 for SNP in HERC2 gene (rs8039195). Strongly associated with eye color in several GWASs ( $p = 7.8 \times 10^{-112}$  in current dataset).



# HERC2 & eye color

- ▶ Highest  $F_{st}$  observed in PC1 for SNP in HERC2 gene (rs8039195). Strongly associated with eye color in several GWASs ( $p = 7.8 \times 10^{-112}$  in current dataset).
- ▶  $F_{st}$ 's were calculated for 3495 SNPs in and around HERC2 between Northern European populations (British and Finnish) and Southern European populations (Iberian and Toscan) from 1000 Genomes.

Population	rs8039195 (HERC2)		
	CC	CT	TT
Finnish	.0	6.5	93.5
Northern Dutch	.4	13.1	86.5
British	1.2	21.4	77.4
Southern Dutch	2.3	23.9	73.7
Iberian	.0	50.0	50.0
Toscan	16.8	42.1	41.1

# HERC2 & eye color

- ▶ Highest  $F_{st}$  observed in PC1 for SNP in HERC2 gene (rs8039195). Strongly associated with eye color in several GWASs ( $p = 7.8 \times 10^{-112}$  in current dataset).
- ▶  $F_{st}$ 's were calculated for 3495 SNPs in and around HERC2 between Northern European populations (British and Finnish) and Southern European populations (Iberian and Toscan) from 1000 Genomes.
- ▶ Of the SNPs genotyped in the Dutch, rs8039195 had the highest  $F_{st}$ .

Population	rs8039195 (HERC2)		
	CC	CT	TT
Finnish	.0	6.5	93.5
Northern Dutch	.4	13.1	86.5
British	1.2	21.4	77.4
Southern Dutch	2.3	23.9	73.7
Iberian	.0	50.0	50.0
Toscan	16.8	42.1	41.1

# HERC2 & eye color

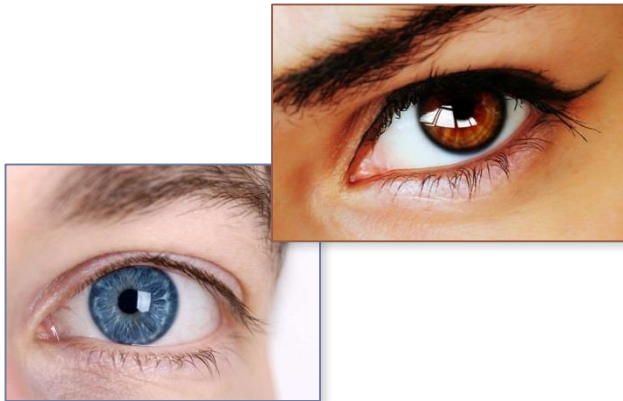
- ▶ Highest  $F_{st}$  observed in PC1 for SNP in HERC2 gene (rs8039195). Strongly associated with eye color in several GWASs ( $p = 7.8 \times 10^{-112}$  in current dataset).
- ▶  $F_{st}$ 's were calculated for 3495 SNPs in and around HERC2 between Northern European populations (British and Finnish) and Southern European populations (Iberian and Toscan) from 1000 Genomes.
- ▶ Of the SNPs genotyped in the Dutch, rs8039195 had the highest  $F_{st}$ .
- ▶ Of all 3495 SNPs, highest  $F_{st}$  was observed for rs12913832 (LD with rs8039195:  $r^2 = .394$ ,  $D' = .993$ ), the SNP with the largest effect on human blue/brown eye color.

Population	rs8039195 (HERC2)		
	CC	CT	TT
Finnish	.0	6.5	93.5
Northern Dutch	.4	13.1	86.5
British	1.2	21.4	77.4
Southern Dutch	2.3	23.9	73.7
Iberian	.0	50.0	50.0
Toscan	16.8	42.1	41.1

# A Single SNP in an Evolutionary Conserved Region within Intron 86 of the *HERC2* Gene Determines Human Blue-Brown Eye Color

Richard A. Sturm,<sup>1,3</sup> David L. Duffy,<sup>2,3</sup> Zhen Zhen Zhao,<sup>2</sup> Fabio P.N. Leite,<sup>2</sup> Mitchell S. Stark,<sup>2</sup> Nicholas K. Hayward,<sup>2</sup> Nicholas G. Martin,<sup>2</sup> and Grant W. Montgomery<sup>2,\*</sup>

We have previously demonstrated that haplotypes of three single nucleotide polymorphisms (SNPs) within the first intron of the *OCA2* gene are extremely strongly associated with variation in human eye color. In the present work, we describe additional fine association mapping of eye color SNPs in the intergenic region upstream of *OCA2* and within the neighboring *HERC2* (hect domain and RLD2) gene. We screened an additional 92 SNPs in 300–3000 European individuals and found that a single SNP in intron 86 of *HERC2*, rs12913832, predicted eye color significantly better (ordinal logistic regression  $R^2 = 0.68$ , association LOD = 444) than our previous best *OCA2* haplotype. Comparison of sequence alignments of multiple species showed that this SNP lies in the center of a short highly conserved sequence and that the blue-eye-associated allele (frequency 78%) breaks up this conserved sequence, part of which forms a consensus binding site for the helicase-like transcription factor (HLTF). We were also able to demonstrate the *OCA2* R419Q, rs1800407, coding SNP acts as a penetrance modifier of this new *HERC2* SNP for eye color, and somewhat independently, of melanoma risk. We conclude that the conserved region around rs12913832 represents a regulatory region controlling constitutive expression of *OCA2* and that the C allele at rs12913832 leads to decreased expression of *OCA2*, particularly within iris melanocytes, which we postulate to be the ultimate cause of blue eye color.



***HERC2* rs12913832 modulates human pigmentation by attenuating chromatin-loop formation between a long-range enhancer and the *OCA2* promoter**

Mijke Visser, Manfred Kayser and Robert-Jan Palstra

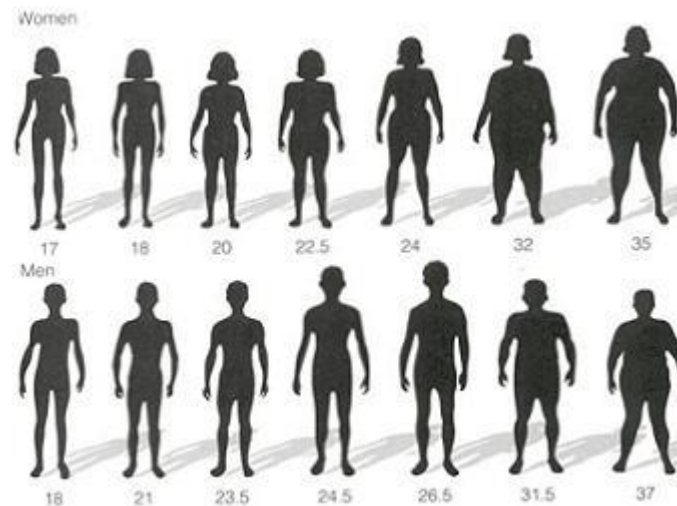
*Genome Res.* 2012 22: 446-455 originally published online January 10, 2012

# Using PCs and $F_{st}$ to identify loci under selection: results

---

- ▶ Other notable genes include:

- ▶ FTO (PC1): has been associated with BMI and obesity many times.



# Using PCs and $F_{st}$ to identify loci under selection: results

## ▶ Other notable genes include:

- ▶ FTO (PC1): has been associated with BMI and obesity many times.
- ▶ LCT (PC1): influences the ability to digest lactose into adulthood.



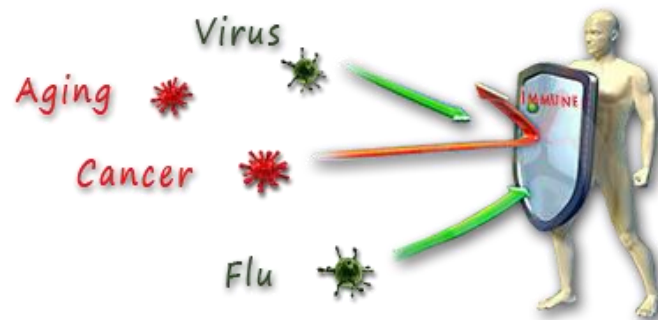
Worldwide prevalence of lactose intolerance  
in recent populations



# Using PCs and $F_{st}$ to identify loci under selection: results

## ▶ Other notable genes include:

- ▶ FTO (PC1): has been associated with BMI and obesity many times.
- ▶ LCT (PC1): influences the ability to digest lactose into adulthood.
- ▶ HCP5 (HLA Complex P5 gene) from the MHC region. One of two genes that appear in multiple PCs (PC1 & PC2), and plays a role in the immune system. Strong divergence of genes from the HLA complex has been observed in many human populations. Other immunity-related genes that showed significant signals of selection in this study as well as previous studies are: *IRF1* (PC1), *ACE* (PC1), *LRRC4C* (PC2), *PLCL1* (PC3), and *HSPD1* (PC3).



# Using PCs and $F_{st}$ to identify loci under selection: results

---

## ▶ Other notable genes include:

- ▶ FTO (PC1): has been associated with BMI and obesity many times.
- ▶ LCT (PC1): influences the ability to digest lactose into adulthood.
- ▶ HCP5 (HLA Complex P5 gene) from the MHC region. One of two genes that appear in multiple PCs (PC1 & PC2), and plays a role in the immune system. Strong divergence of genes from the HLA complex has been observed in many human populations. Other immunity-related genes that showed significant signals of selection in this study as well as previous studies are: *IRF1* (PC1), *ACE* (PC1), *LRRC4C* (PC2), *PLCL1* (PC3), and *HSPD1* (PC3).

Bayescan can be found here: <http://cmpg.unibe.ch/software/bayescan/>



# Converting plink files to Bayescan format with the script `convert_to_bayescan.pl`

---

## Plink transposed files (`--recode --transpose`)

- ▶ `dutch.tped`
- ▶ `dutch.tfam`

## Plink binary files (`--make-bed`)

- ▶ `dutch.bed`
- ▶ `dutch.bim`
- ▶ `dutch.fam`

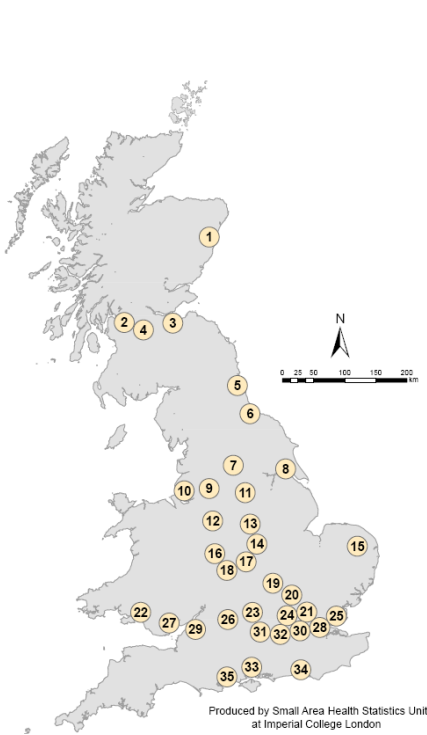
## `convert_to_bayescan.pl` needs

- The populations you want to compare have to be coded as 1 and 2 in the phenotype column (6th column) of the `.tfam` file.
- Use `--pheno` to update phenotypes :  
<http://pngu.mgh.harvard.edu/~purcell/plink/data.shtml#pheno>

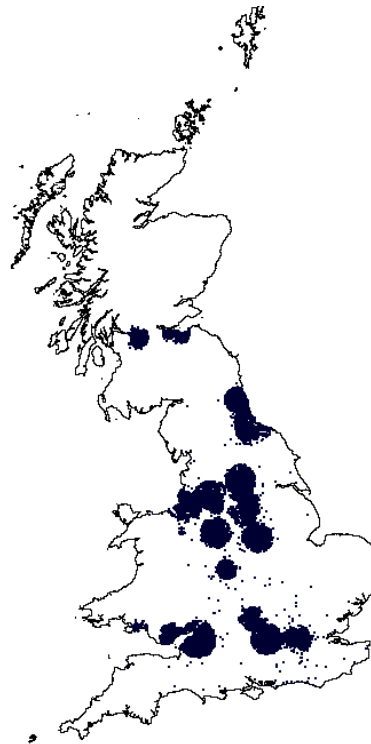
## Usage:

```
perl convert_to_bayescan.pl dutch dutch_outputfile
```

# Geographic Distribution of Ancestry in UK Biobank



Assessment  
centers



Current living  
address

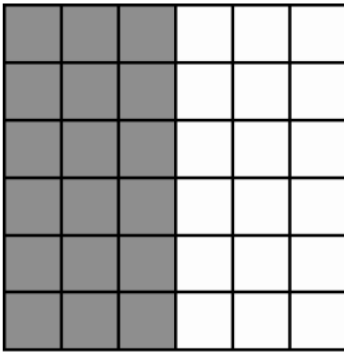


Place of birth

# Moran's $I$

A measure of spatial autocorrelation

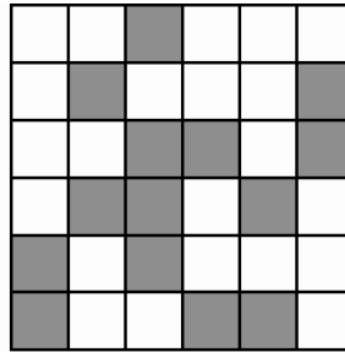
Moran's  $I = 1$



Positive spatial  
autocorrelation

↑  
Clustered  
together

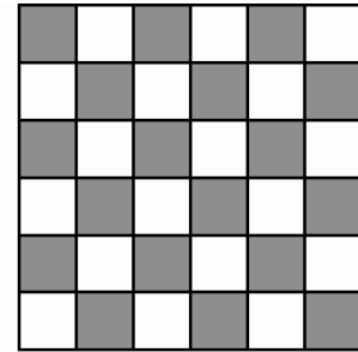
Moran's  $I = 0$



No spatial  
autocorrelation

↑  
Spatially random

Moran's  $I = -1$

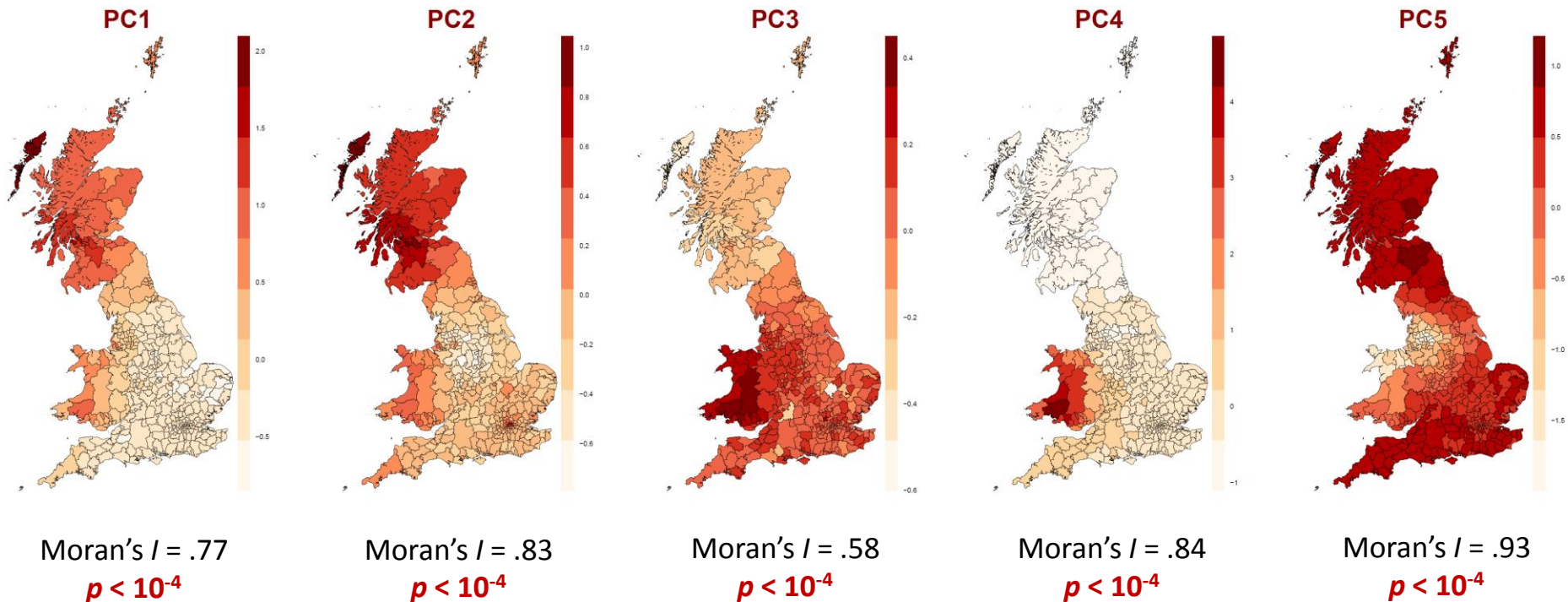


Negative spatial  
autocorrelation

↑  
Dispersed

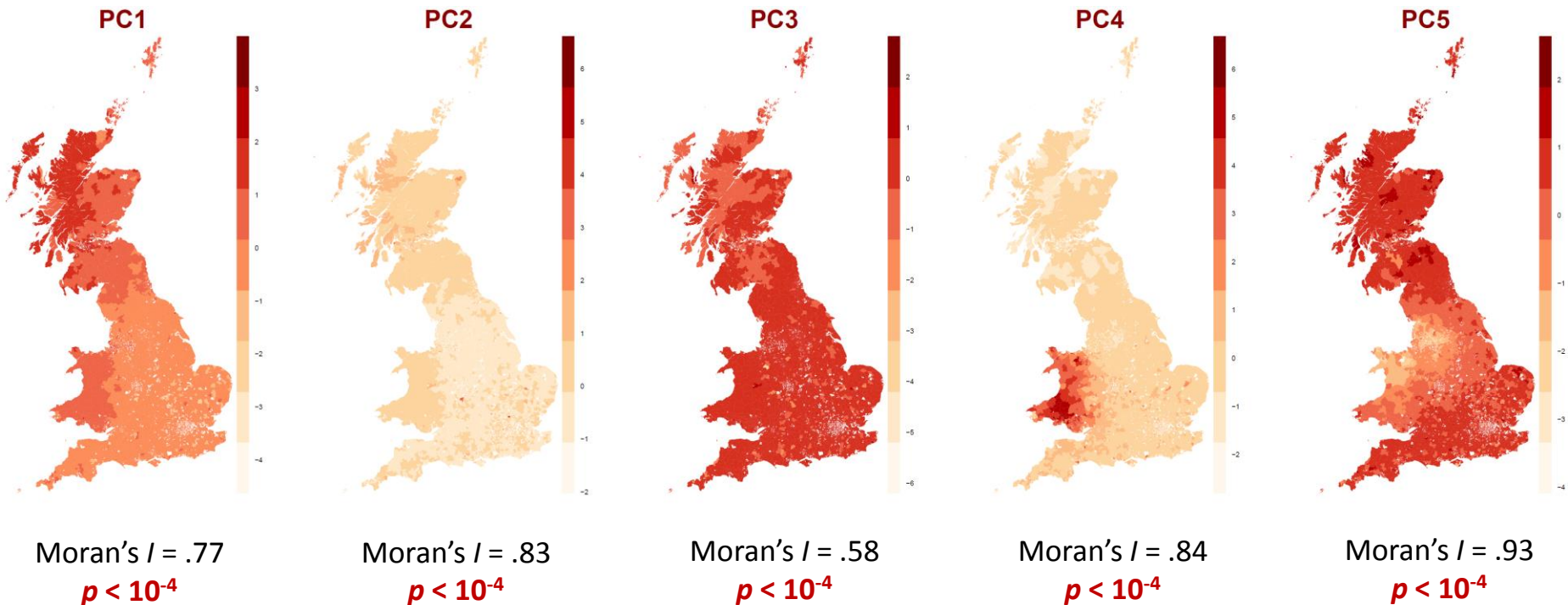
# Ancestry differences in Great Britain

- ▶ Local authorities (378 areas) – evenly spaced cut-offs



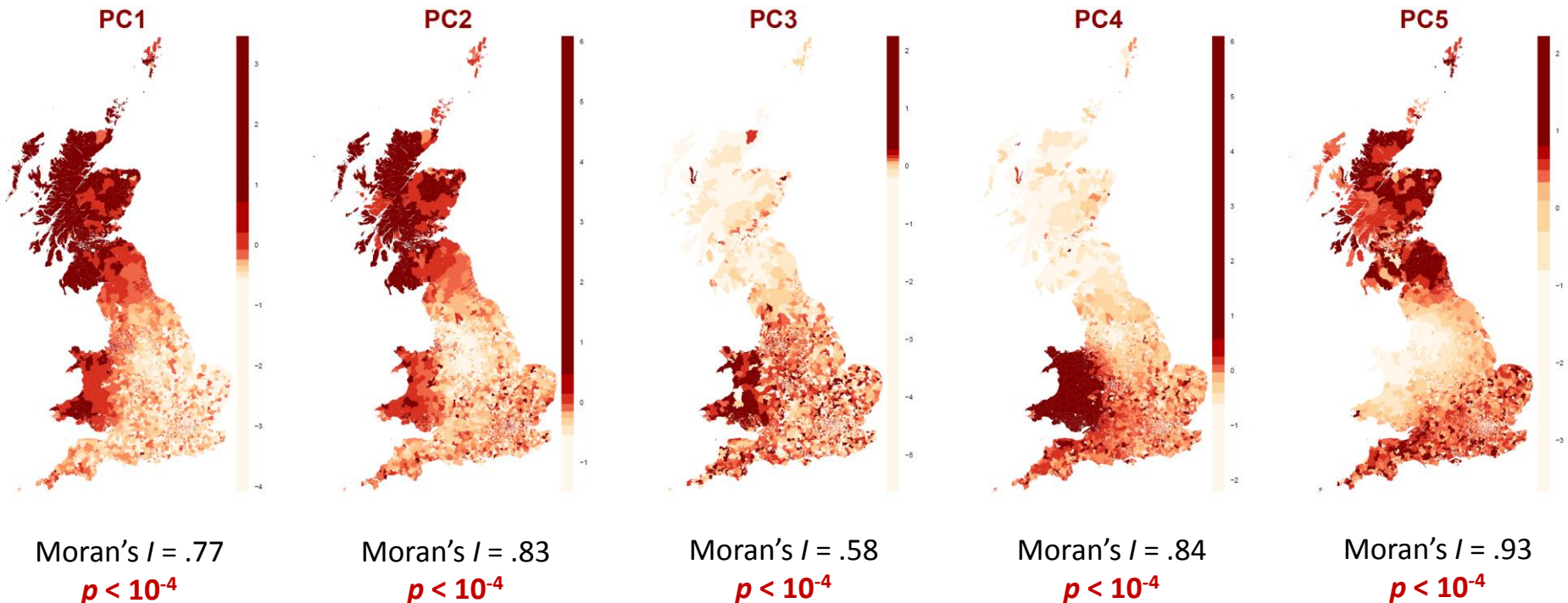
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – evenly spaced cut-offs



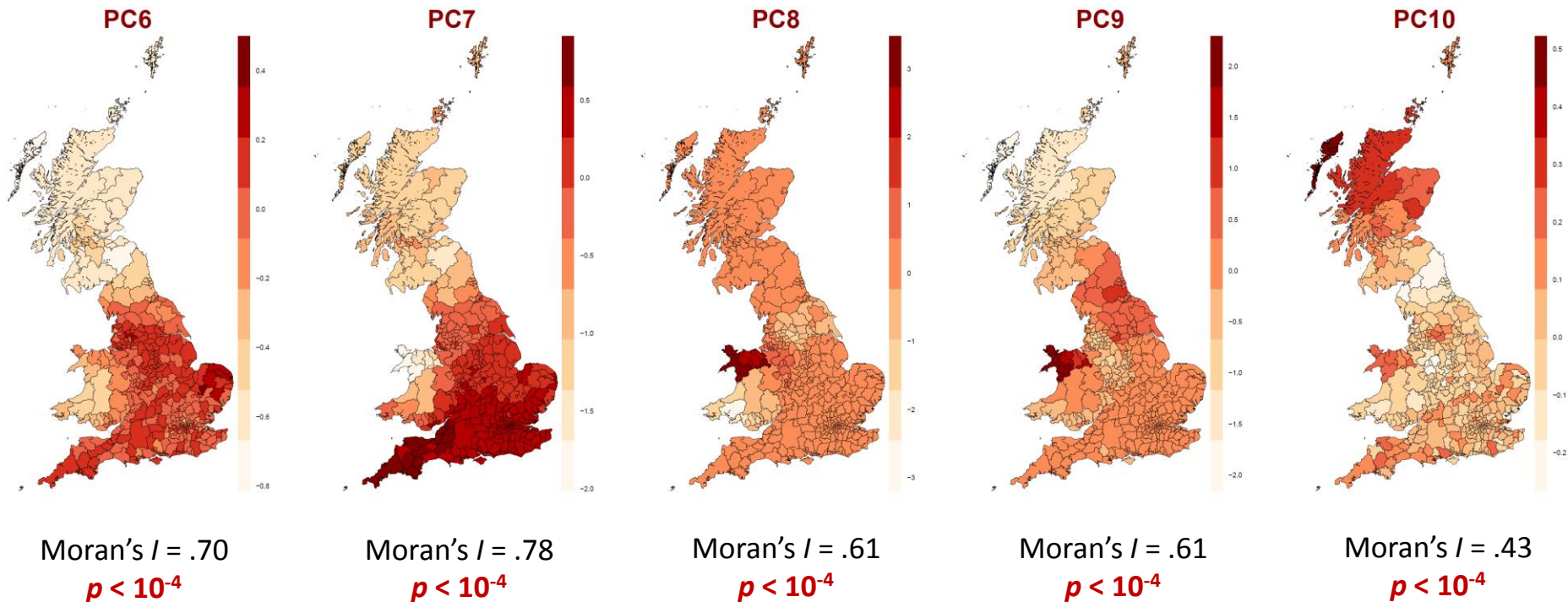
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – class-interval cut-offs



# Ancestry differences in Great Britain

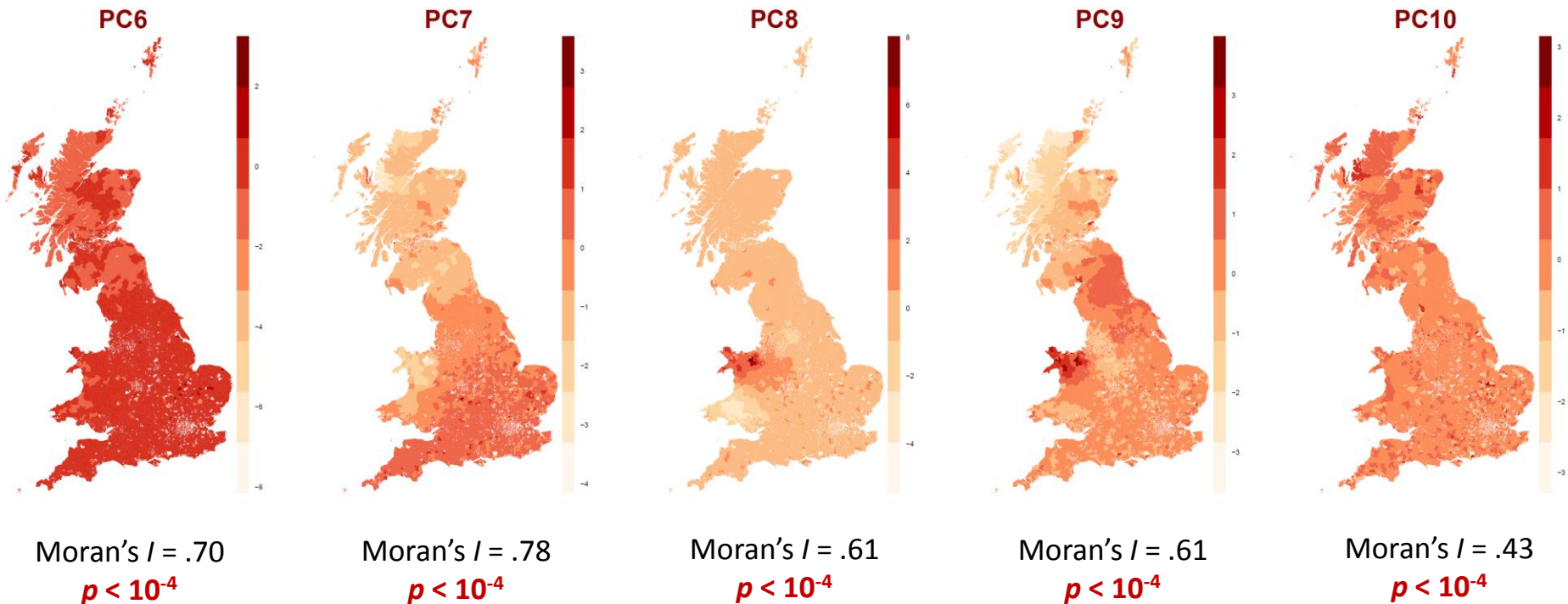
- ▶ Local authorities: 378 areas – evenly spaced cut-offs





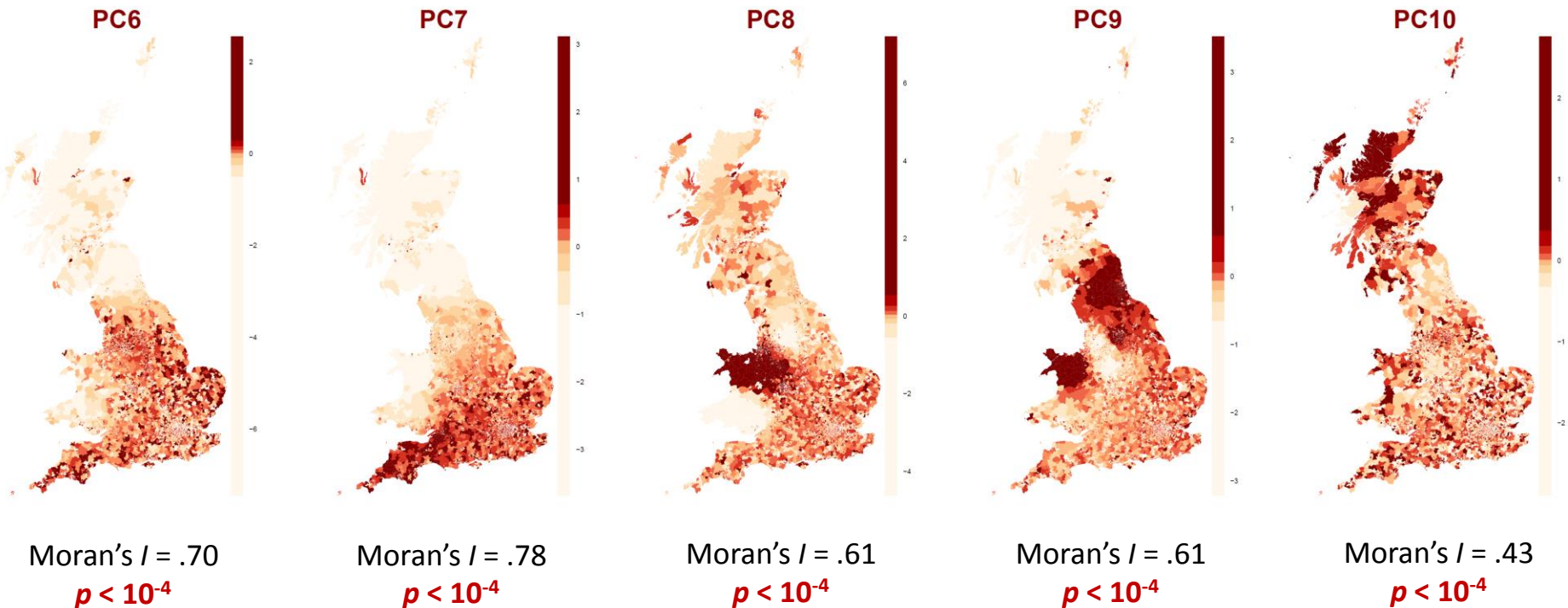
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – evenly spaced cut-offs



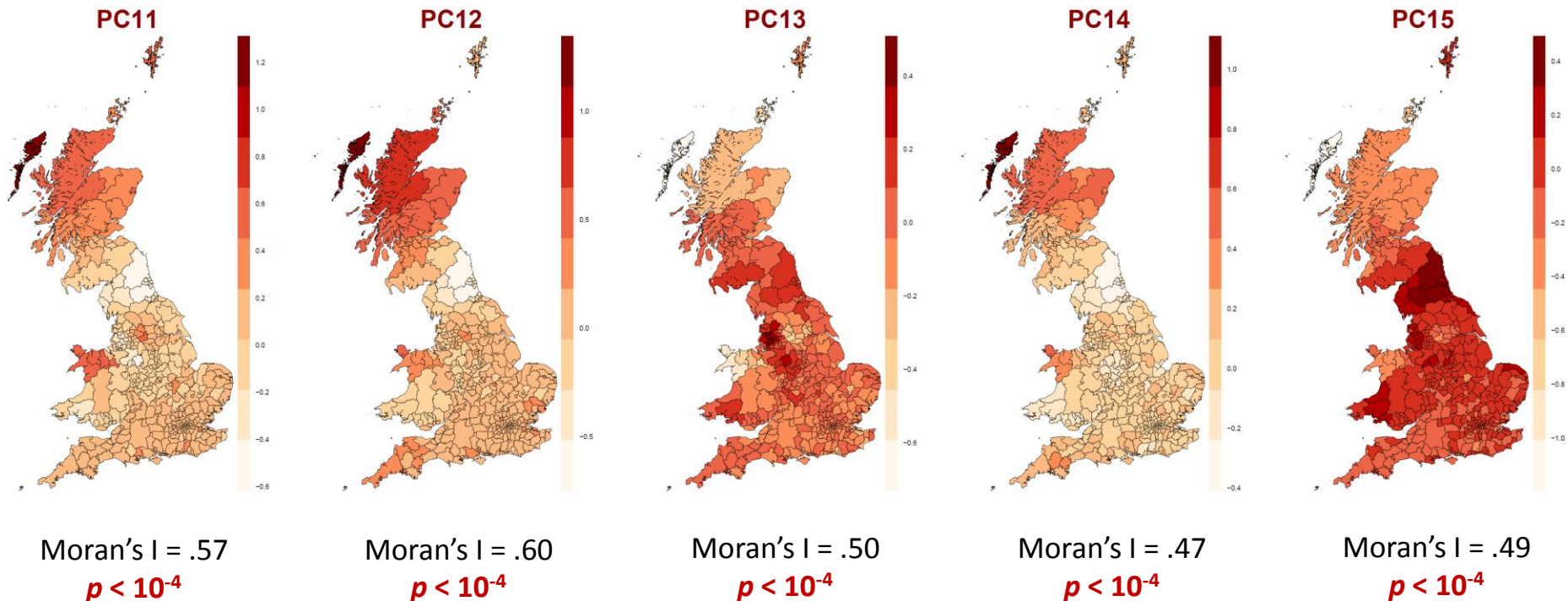
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – class-interval cut-offs



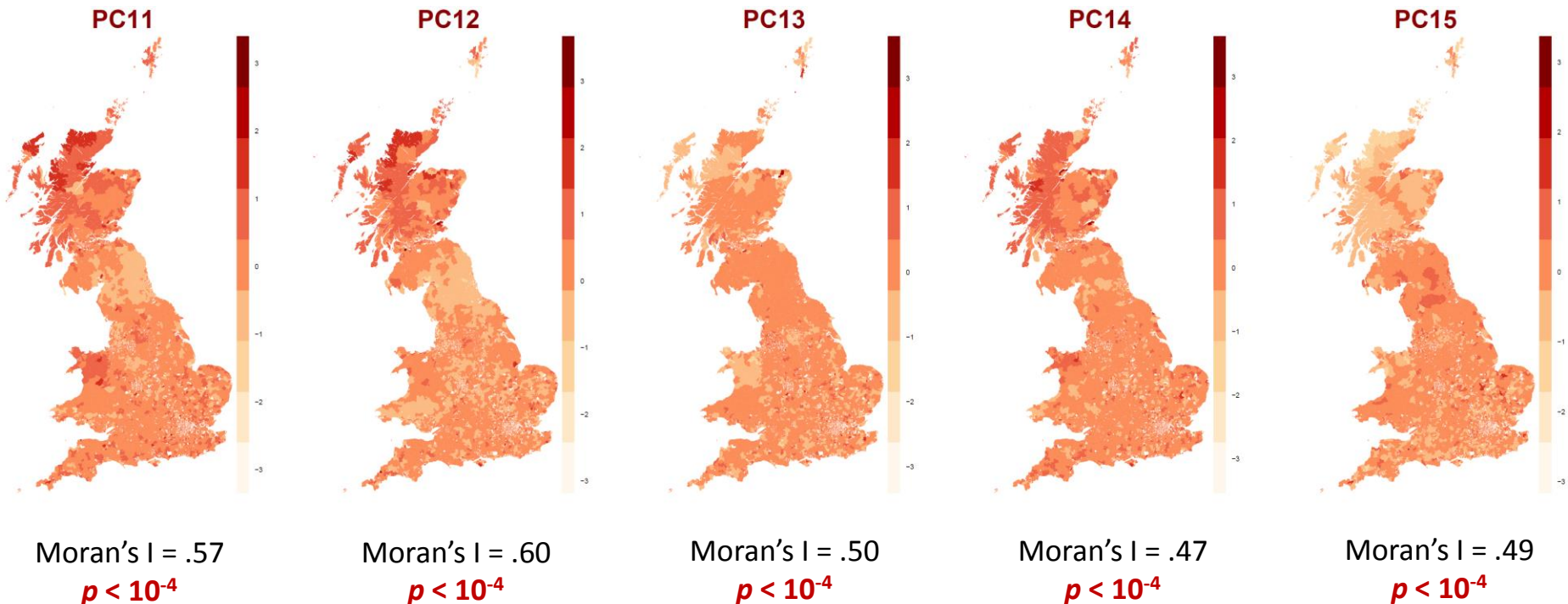
# Ancestry differences in Great Britain

- ▶ Local authorities: 378 areas – evenly spaced cut-offs



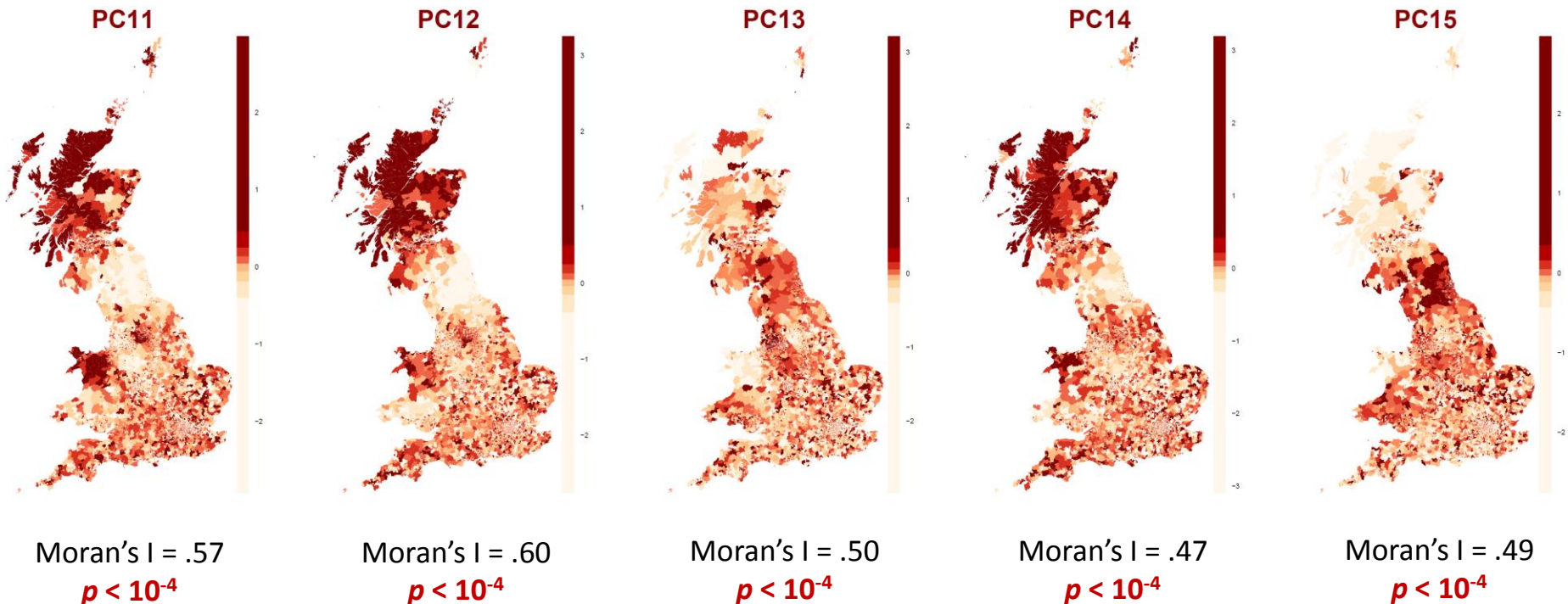
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – evenly spaced cut-offs



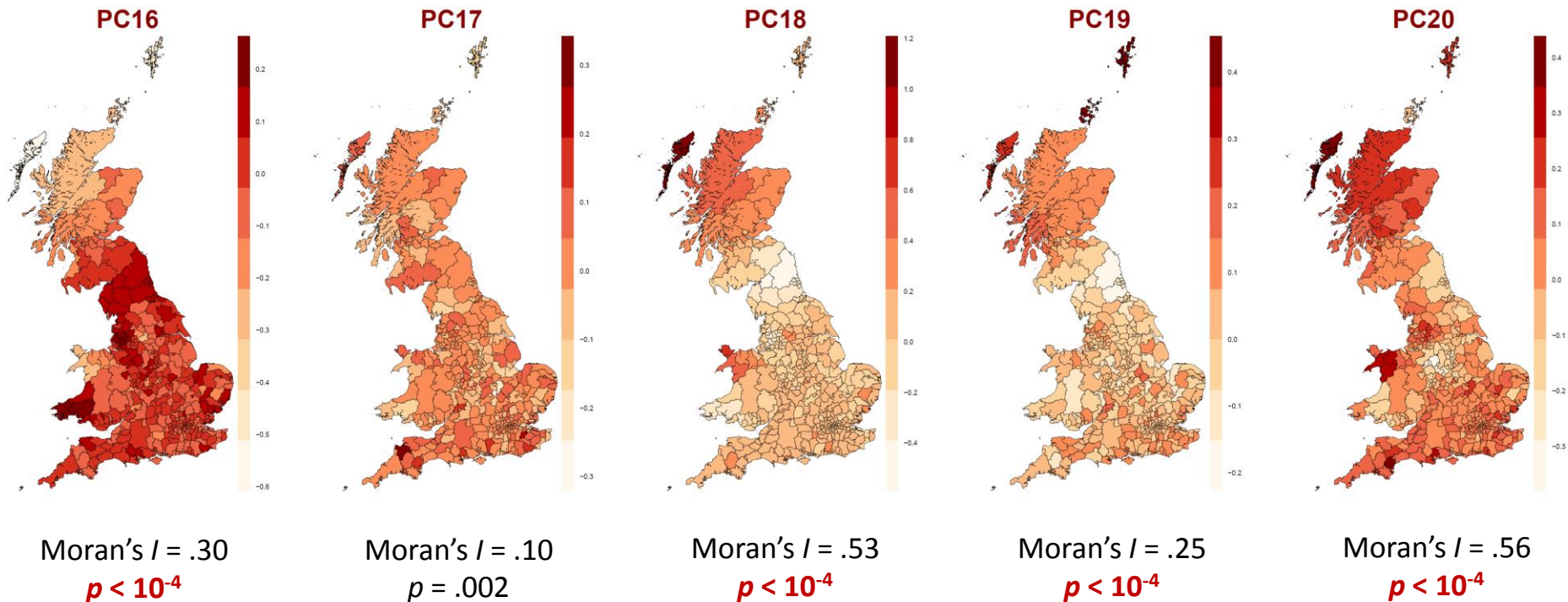
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – class-interval cut-offs



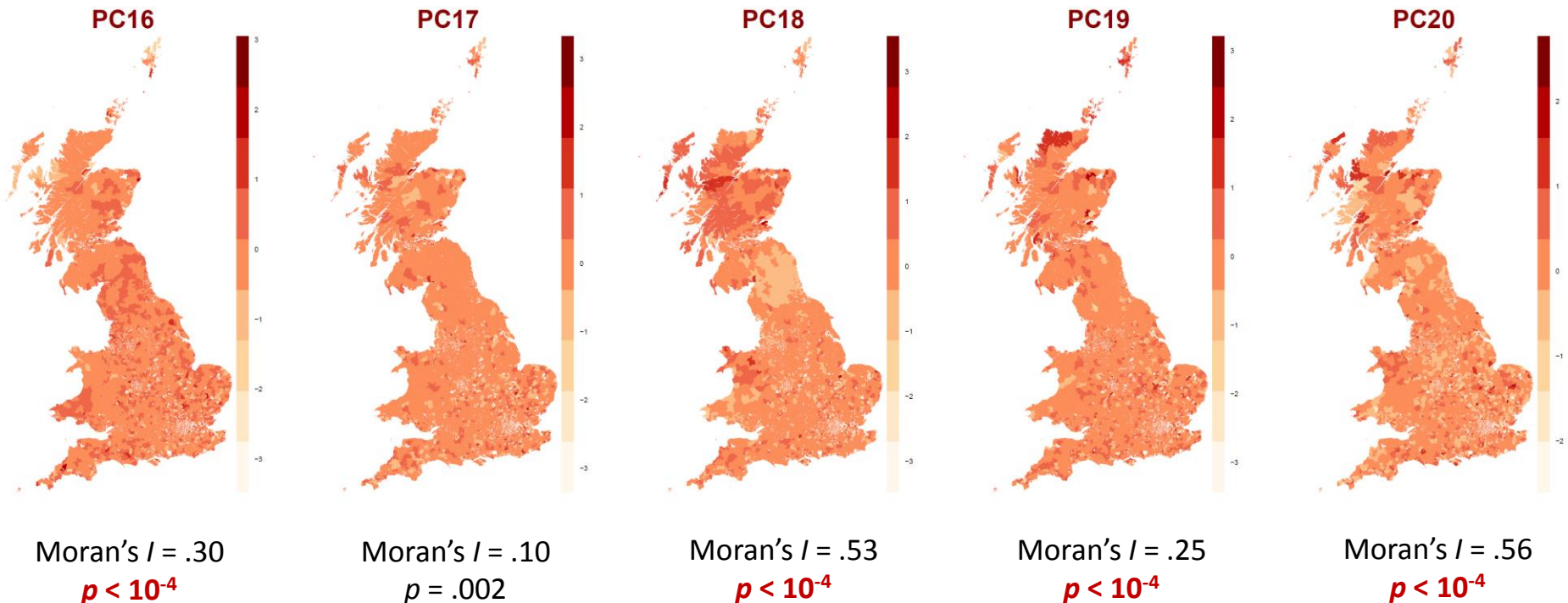
# Ancestry differences in Great Britain

- ▶ Local authorities: 378 areas – evenly spaced cut-offs



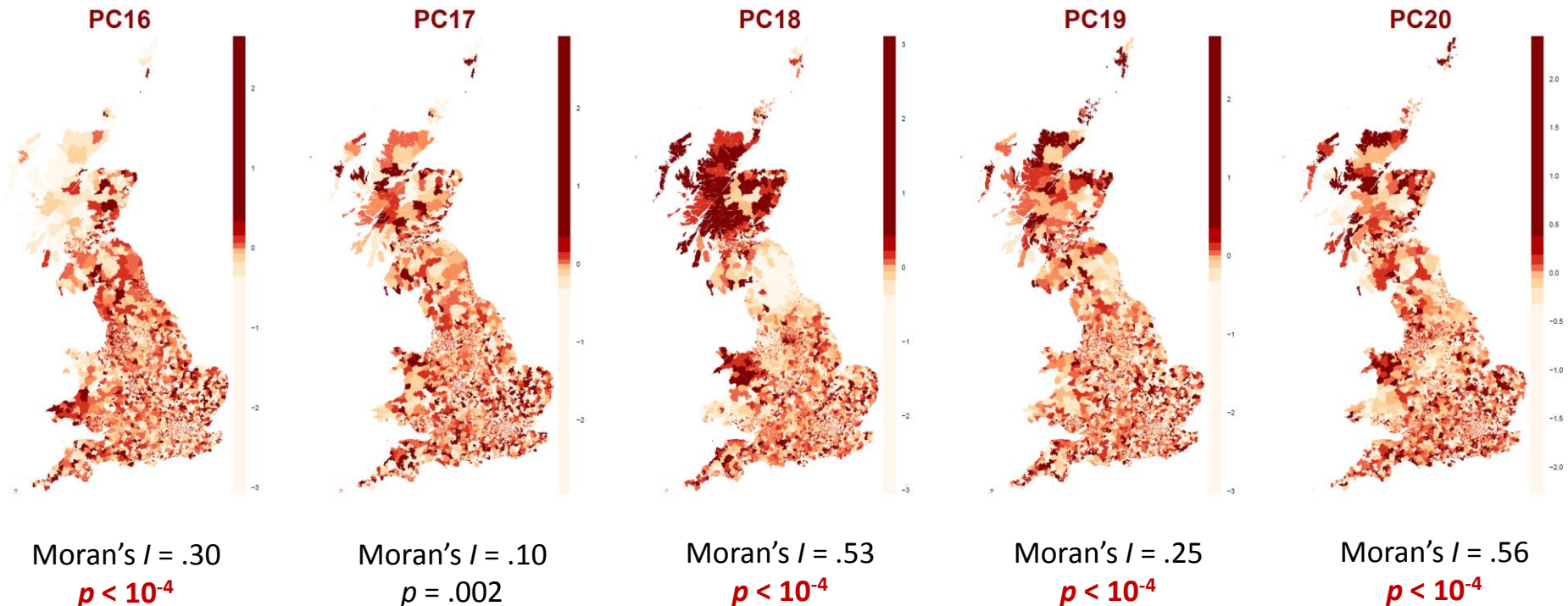
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – evenly spaced cut-offs



# Ancestry differences in Great Britain

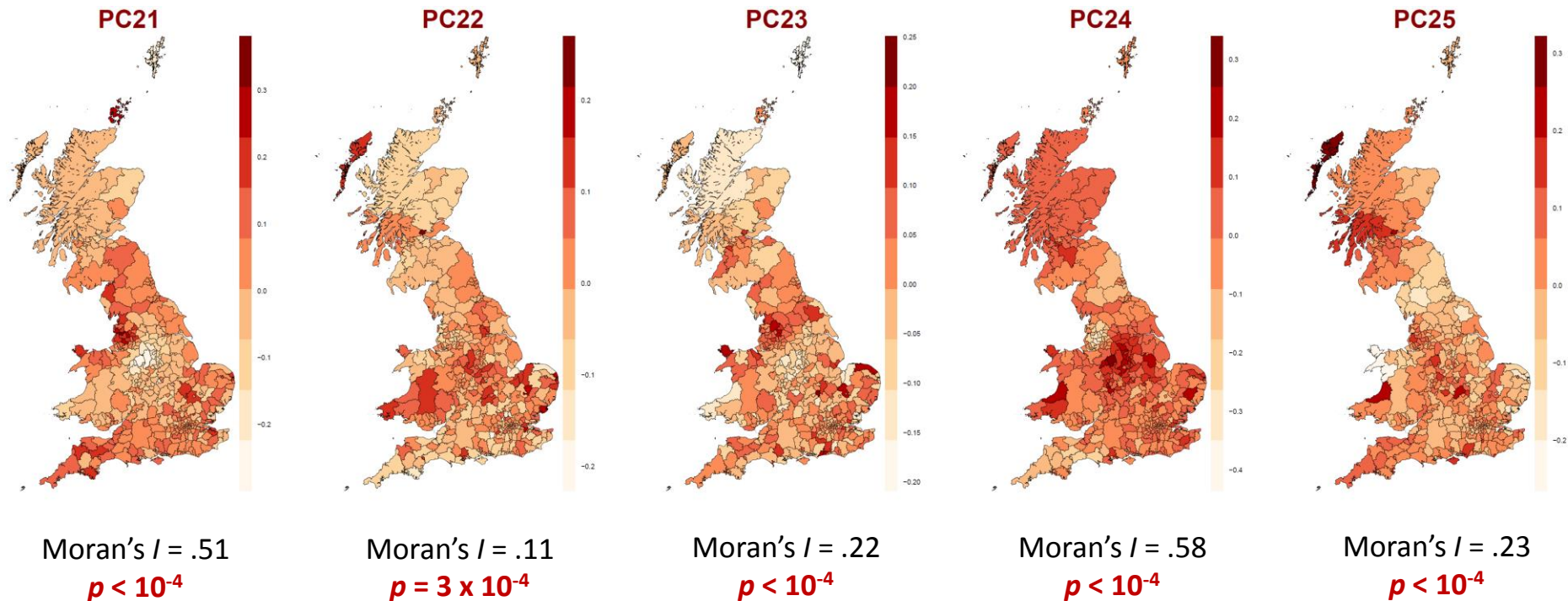
- ▶ MSOA: 8,436 areas – class-interval cut-offs





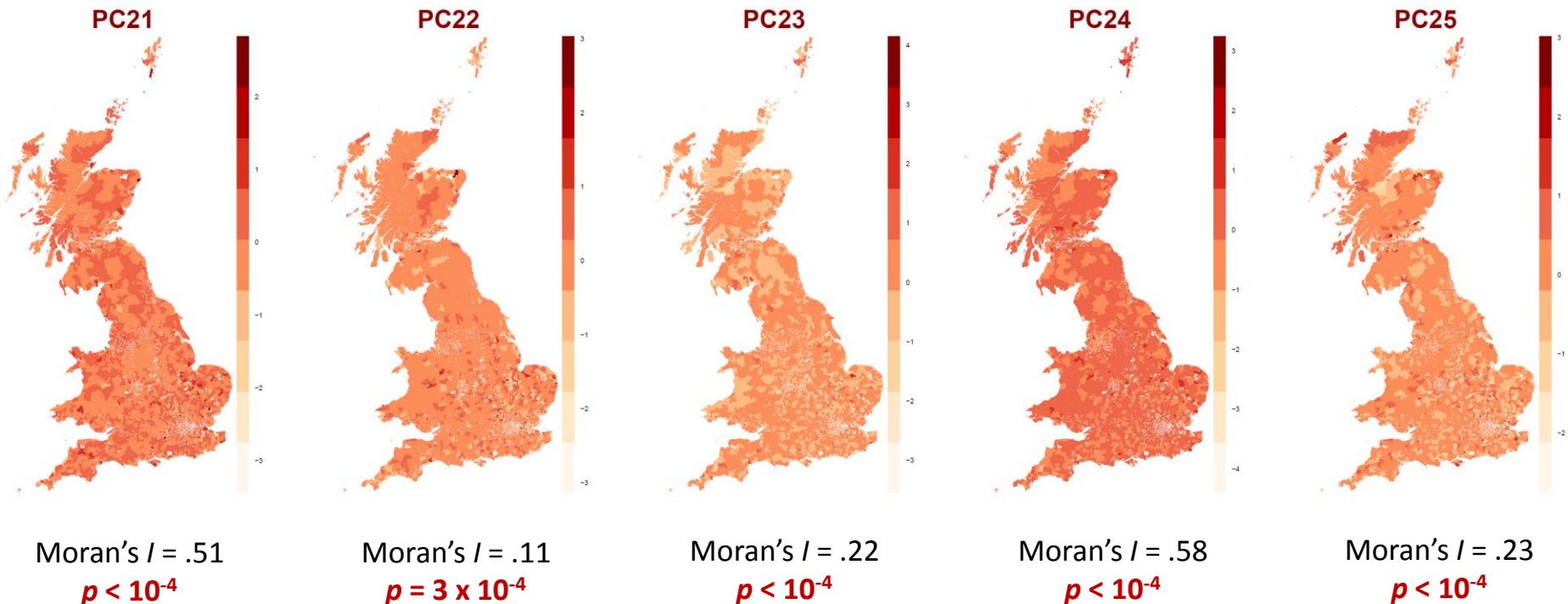
# Ancestry differences in Great Britain

- ▶ Local authorities: 378 areas – evenly spaced cut-offs



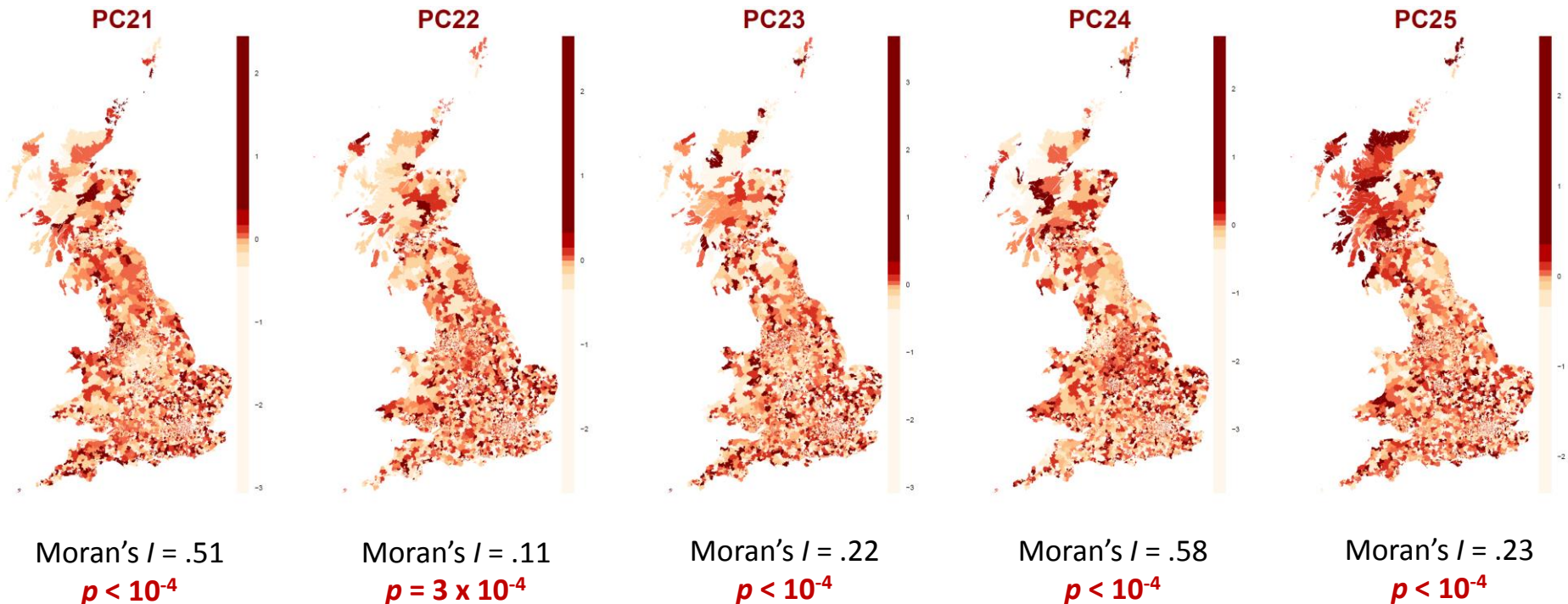
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – evenly spaced cut-offs



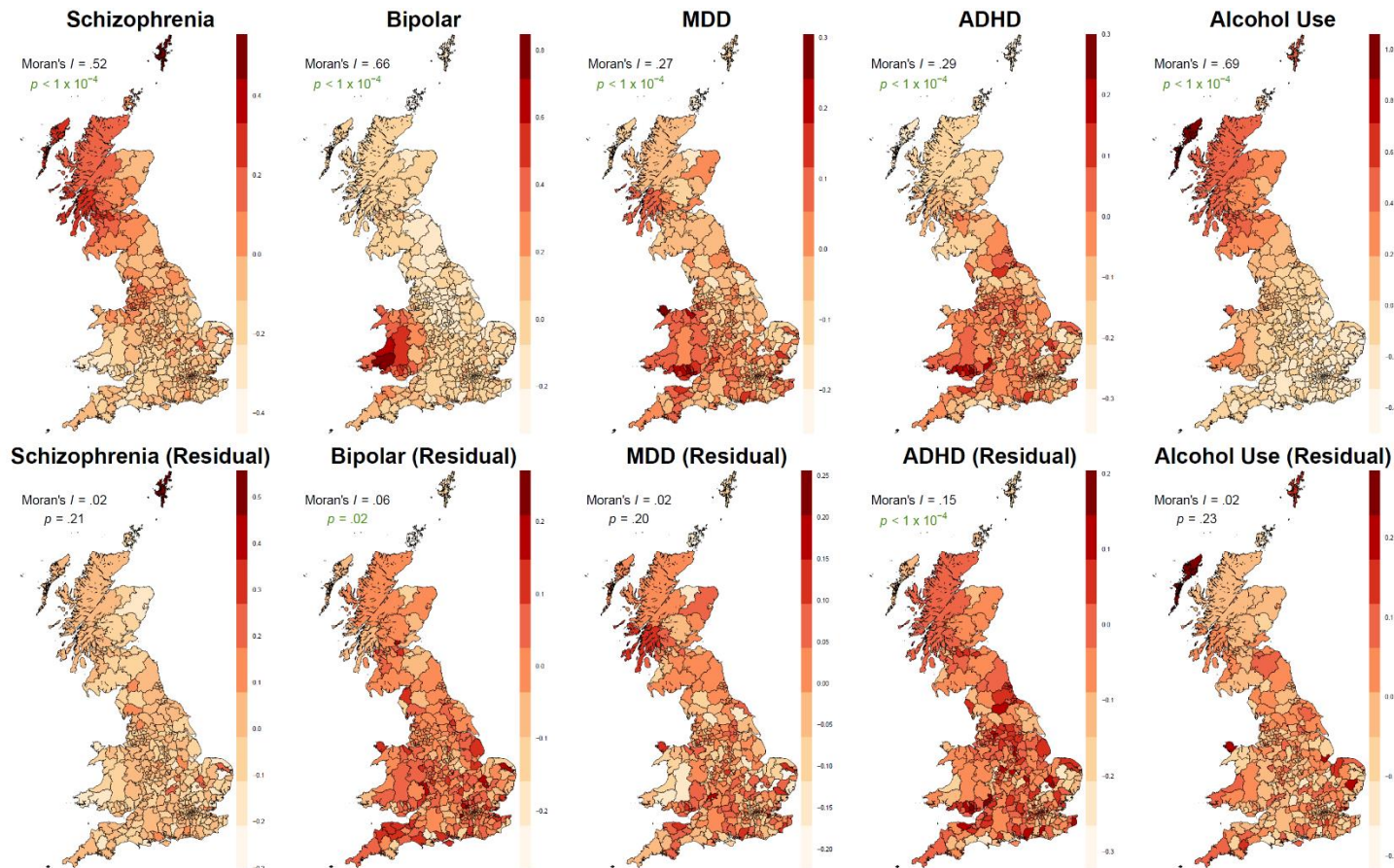
# Ancestry differences in Great Britain

- ▶ MSOA: 8,436 areas – class-interval cut-offs



# Ancestry differences in Great Britain

- ▶ Polygenic scores, before and after regressing out 100 PCs



Next up:  
Linear Mixed Modeling (LMM)  
with Dr. Aysu Okbay