This session

1 Family based association analyses: Introduction (Camelia Minică)
2 Genetic association test: Plink and R (Jenny van Dongen)
3 Apply the biometrical model to the empirical results (Dorret Boomsma)

Exercises from this paper: *Effect of the IL6R gene on IL-6R* concentration

Behav Genet (2014) 44:368–382 DOI 10.1007/s10519-014-9656-8

ORIGINAL RESEARCH

The Contribution of the Functional *IL6R* Polymorphism rs2228145, eQTLs and Other Genome-Wide SNPs to the Heritability of Plasma sIL-6R Levels

Jenny van Dongen · Rick Jansen · Dirk Smit · Jouke-Jan Hottenga · Hamdi Mbarek · Gonneke Willemsen · Cornelis Kluft · AAGC Collaborators · Brenda W. J. Penninx · Manuel A. Ferreira · Dorret I. Boomsma · Eco J. C. de Geus

O IL-6 We measured soluble IL-6R concentration in blood in ~5000 individuals (from the gp130 Netherlands Twin Register) IL-6R ADAM17 sIL-6R concentration in blood is a 600-*Mean=4.17* quantitative trait Variance=1.35 500 400-Frequency 300-200-100 Estimated in Mx 100000 20000 ò 40000 60000 80000 IL-6R concentration (pg/mL)

а

Genetics \rightarrow IL-6R concentration \rightarrow common disease

• IL-6R protein is encoded by the *IL6R* gene (chromosome 1)

- *IL6R* gene important for **several common diseases**
 - Asthma¹
 - Coronary heart disease²
 - > Type 1 diabetes³

¹Ferreira M.A. *et al* Lancet 2011 ²*IL6R* consortium Lancet 2012 ³Ferreira R.C. *et al* PLoS Genetics 2013

Analysis	N subjects	Mean age (SD), min-max	% Male	Cohort
Heritability analysis and biometrical	4980	42.7 (14.3), 18-89	36.2	NTR
model (MZ and DZ twins, siblings, and				
parents)				
GWA and GCTA (unrelated + related Ss)	4846	44.2 (14.4), 18-90	38.7	NTR
GCTA (unrelated Ss)	2875	46.5 (14.4), 18-89	38.8	NTR
Combined linkage and association	1254	48.3 (15.7), 18-89	44.4	NTR
analysis (Nuclear families)				
eQTL analysis (unrelated + related Ss)	4467	38.4 (13.0), 25-51	34.4	NTR + NESDA
Correlation between sIL-6R level and	2727	37.5 (12.0), 18-79	34.5	NTR
IL6R expression (unrelated + related Ss)				

Methods

- We measured IL-6R concentration in ~5000 twins & parents & siblings
- We estimated Heritability: Variance of sIL-6R level explained by total genetic effects (Mx)
- We measured genome-wide SNP genotypes of the same subjects:
 - How much variance is explained by all SNPs in the genome (Genomewide-complex trait analysis, GCTA)
 - How much variance is explained by all genetic variation in the *IL6R* gene (linkage analysis)
 - How much variance is explained by the SNP rs2228145

Heritability of sIL-6R level (twin-family data)



Variance explained by chromosome-wide SNPs (GCTA)



SNPs in the *IL6R* gene on Chromosome 1 (+/- 10MB): 54.7 % (SE=2.5%)

Combined linkage and association analysis (qtdt)

- Chi-squared from linkage test
- Chi-squared from linkage test while modeling association for individual SNPs



69 %

19%

IL6R region:

- 1. Variance explained by linkage (V_A/V_{total}) :
- 2. Variance explained by linkage after correction for rs2228145:

Thus, we had twin – family data -> heritability -> linkage

However, when looking at association, we need to adjust for clustering in the data.

Common Variant family-based GWAS (clustered data)

Camelia Minica

Conor Dolan

Dorret Boomsma



Faculteit der Psychologie en Pedagogiek European Journal of Human Genetics (2014), 1–7 © 2014 Macmillan Publishers Limited All rights reserved 1018-4813/14

www.nature.com/ejhg

ARTICLE

Sandwich corrected standard errors in family-based genome-wide association studies

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LETTER TO THE EDITOR

MZ twin pairs or MZ singletons in population family-based GWAS? More power in pairs



Why is this important?

Ignoring clustering in the data may lead to wrong conclusions (point estimates of effects OK, but SE too small)

Focus: family-based Genome-Wide Association Studies However: these are regression based approaches, hence relevant for any analysis involving family data Predictors: GV, polygenic score, other covariates

Why is this important?

• Many GWAS meta-analyses rely heavily on twin registries

• Twin registries have data collected in families readily available

Identification of seven loci affecting mean TELOMERE length and their association with disease

Veryan Codd et al. (ENGAGE consortium) Nature Genetics, 2013





Genome-wide meta-analysis identifies new susceptibility loci for migraine

Verneri Anttila, Bendik S. Winsvold, [...], and Aarno Palotie

Study	Cases	Controls	
ALSPAC	3,134	5,103	
Australia	1,683	2,383	
B58C	1,165	4,141	
deCODE	2,139	34,617	400/
ERF	330	1,216	
Finnish MA	1,032	3,513	
FinnTwin <	189	580	00/
German MA	997	1,105	9%
German MO	1,208	2,564	
HUNT	1,608	1,097	oontrolo
LUMINA MA	820	4,774	CONTIONS
LUMINA MO	1,118	2,016	
NFBC1966	757	4,399	
NTR&NESDA <	282	2,260	
Rotterdam	351	1,647	
TWINS UK 🖉	972	3,837	
WGHS	5,122	18,108	
Young Finns	378	2,065	

Sciencexpress

Reports

GWAS of 126,559 Individuals Identifies Genetic Variants Associated with Educational Attainment

There are 6 twin cohorts and total of 52 cohorts (11%)

- Finnish twin cohort
- Netherlands twin register
- QIMR (Australian twin register)
- Swedish twin register
- TwinsUK
- Minnesota Twin family study

Twin registries supplied > 35% of total sample size

Some consortia protocols require discarding family members

Author Manuscript NIH Public Access

A mega-analysis of genome-wide association studies for major depressive disorder



MZ pairs

or

MZ singletons?

MZ pairs or MZ singletons?

• Compute effective sample size:



ranges from N (Γ =1) to 2* N (Γ =0)

MZ pairs or MZ singletons?



MZ correlation

FAMILY-BASED GWAS: using efficiently correlated observations

Family-based GWAS (continuous phenotype)

$$\mathbf{y}_{ij} = \mathbf{b}_0 + \mathbf{b}_1 * \mathbf{x}_{ij} + \mathbf{\varepsilon}_{ij}$$

where \dot{I} is indicator of family (\dot{I} =1..Nfam) and j is subjects (j=1..N)

$$\mathbf{X} = \begin{pmatrix} 1 & x_1 \\ 1 & x_2 \\ \vdots & \vdots \\ 1 & x_N \end{pmatrix} \quad \mathbf{b} = \begin{pmatrix} \mathbf{b}_0 \\ \mathbf{b}_1 \end{pmatrix} \quad \mathbf{Y} = \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_N \end{pmatrix}$$

Family-based GWAS

(model in matrix notation)

y = Xb + ε ε = y - Xb ε|X ~ N(0, V)

Family-based GWAS ε|X ~ N(0, V) $\mathbf{V} = \begin{bmatrix} \mathbf{V}_1 & \mathbf{O} & \mathbf{O} \\ \mathbf{O} & \mathbf{V}_2 & \mathbf{O} \\ & & \ddots & \\ \mathbf{O} & \mathbf{O} & & \mathbf{V}_{N_{fam}} \end{bmatrix}$

Family-based GWAS

 $\epsilon | X \sim N(0, V)$ $V(\Theta)$ $\Theta = [\sigma_A^2, \sigma_C^2, \sigma_E^2]$



$V(\Theta) = A \otimes \sigma_{A}^{2} + C \otimes \sigma_{C}^{2} + I \otimes \sigma_{E}^{2}$

What other genetic information **A** could contain?

#1) The actual genome-wide relationship, defined as the observed proportion of the genome that two relatives share IBD, varies around its expectation because of Mendelian segregation, except for MZ twins and parent-offspring pairs. (Genotypic info: microsatellites).



2) GCTA (Yang et al 2011; Speed et al 2012) and variations (Zaitlen et al 2013)

$V(\Theta) = A \otimes \sigma_{A}^{2} + C \otimes \sigma_{C}^{2} + I \otimes \sigma_{E}^{2}$

What other genetic information could A contain?

GCTA: average allelic correlations between the individuals, where the alleles are observed in the measured SNPs

V modeled as an ACE

 $V(\Theta) = A \otimes \sigma_{A}^{2} + C \otimes \sigma_{C}^{2} + I \otimes \sigma_{E}^{2}$



ESTIMATION?

Maximum Likelihood

$$\hat{\mathbf{b}}_{\mathrm{ML}} = \left(\mathbf{X}^{\mathrm{t}}\mathbf{V}\left(\hat{\mathbf{\Theta}}\right)^{-1}\mathbf{X}\right)^{-1}\mathbf{X}^{\mathrm{t}}\mathbf{V}\left(\hat{\mathbf{\Theta}}\right)^{-1}\mathbf{y}$$

$$var(\hat{\mathbf{b}}_{ML}) = (\mathbf{X}^{t} \mathbf{V}(\widehat{\mathbf{\Theta}})^{-1}\mathbf{X})^{-1}$$

Maximum Likelihood

$$\hat{\mathbf{b}}_{\mathrm{ML}} = \left(\mathbf{X}^{\mathrm{t}}\mathbf{V}\left(\hat{\mathbf{\Theta}}\right)^{-1}\mathbf{X}\right)^{-1}\mathbf{X}^{\mathrm{t}}\mathbf{V}\left(\hat{\mathbf{\Theta}}\right)^{-1}\mathbf{y}$$

correct model

 $var(\hat{\mathbf{b}}_{ML}) = (\mathbf{X}^{t} \mathbf{V}(\widehat{\mathbf{\Theta}})^{-1} \mathbf{X})^{-1}$

What if my model for V is misspecified?

e.g.: model an ACE trait but ignore C

Maximum Likelihood

$$\hat{\mathbf{b}}_{\mathrm{ML}} = \left(\mathbf{X}^{\mathsf{T}}\mathbf{V}\left(\hat{\mathbf{\Theta}}\right)^{-1}\mathbf{X}\right)^{-1}\mathbf{X}^{\mathsf{T}}\mathbf{V}\left(\hat{\mathbf{\Theta}}\right)^{-1}\mathbf{y}$$

SANDWICH correction $\mathbf{V}(\widehat{\boldsymbol{\Theta}}) = [\sigma_{A}^{2}, \sigma_{E}^{2}]$ $\operatorname{var}(\widehat{\mathbf{b}}_{R-ML}) = (\mathbf{X}^{\mathrm{t}}\mathbf{V}(\widehat{\boldsymbol{\Theta}}_{\mathrm{m}})^{-1}\mathbf{X})^{-1}\mathbf{X}^{\mathrm{t}}\mathbf{V}(\widehat{\boldsymbol{\Theta}}_{\mathrm{m}})^{-1}(\mathbf{y} - \mathbf{X}\mathbf{b})(\mathbf{y} - \mathbf{X}\mathbf{b})^{\mathrm{t}}\mathbf{V}(\widehat{\boldsymbol{\Theta}}_{\mathrm{m}})^{-1}\mathbf{X}(\mathbf{X}^{\mathrm{t}}\mathbf{V}(\widehat{\boldsymbol{\Theta}}_{\mathrm{m}})^{-1}\mathbf{X})^{-1}$

What if the degree of misspecification is even larger?

e.g.: model an ACE trait but ignore AC

V modeled as an E



You assume there is no significant covariance between family members.



ESTIMATION?

Unweighted Least Squares

$$\mathbf{b}_{ULS} = (\mathbf{X}'\mathbf{X})^{-1}\mathbf{X}'\mathbf{y}$$

$$var(\mathbf{b})_{ULS} = (\mathbf{X}'\mathbf{X})^{-1} \,\widehat{\sigma}^2_{\mathsf{E}}$$

$$V(\widehat{\Theta}) = \widehat{\sigma}_{E}^{2} I$$

Unweighted Least Squares

$$\mathbf{b}_{ULS} = (\mathbf{X}'\mathbf{X})^{-1}\mathbf{X}'\mathbf{y}$$

$$var(\mathbf{b})_{ULS} = (\mathbf{X}'\mathbf{X})^{-1} \hat{\sigma}_{E}^{2}$$



Unweighted Least Squares
$$\mathbf{b}_{ULS} = (\mathbf{X}'\mathbf{X})^{-1}\mathbf{X}'\mathbf{y}$$

SANDWICH
$$\operatorname{var}(\mathbf{b})_{ULS} = (\mathbf{X}'\mathbf{X})^{-1} \hat{\sigma}_{E}^{2}$$

correction
 $\mathbf{V}(\widehat{\Theta}) = \hat{\sigma}_{E}^{2}$
 $\operatorname{var}(\widehat{\mathbf{b}}_{R-ULS}) = (\mathbf{X}^{\mathsf{T}}\mathbf{X})^{-1} \mathbf{X}^{\mathsf{T}} (\mathbf{y} - \mathbf{X}\mathbf{b}) (\mathbf{y} - \mathbf{X}\mathbf{b})^{\mathsf{T}} \mathbf{X} (\mathbf{X}^{\mathsf{T}}\mathbf{X})^{-1}$

ML or ULS?

LEAST SQUARES: - non-iterative, very fast;

- correct standard errors;
 misspecification
 E model for the covariance matrix
- **ML** : iterative;
 - fast; misspecification for ACE traits
 - AE model for the covariance matrix

ML or ULS?

Two different estimators **may be consistent**, but they are not necessarily equally efficient. so as N-> Large, b1-est tends to b1-true.

but **given N, one estimator may be more efficient: i.e., have a smaller standard error** (regardless whether the standard error is based on asymptotic theory or on a permutation test).

CONCLUSIONS (quantitative traits)

- Full correct modeling (<u>RareMetal Worker (practical Sarah</u>), OpenMx, Linear Mixed, Merlin, Mendel)
- AE type modeling standard (CGTA, FastLMM) (you probably can add the C coded matrix to GCTA if you are modeling close relateds)
- **CE/AE/E** type of modelling **with sandwich correction** (GEE)
- **E** type of modeling (Plink - equivalent to GEE with independence correlation matrix) low power (**generally not recommended**).

USEFUL SOFTWARE:

PLINK1.7 + R-GEE+sandwich:

http://pngu.mgh.Harvard.edu/~purcell/plink/rfunc.shtml https://www.cog-genomics.org/plink2/ see EXAMPLE GEE: http://cameliaminica.nl/scripts.php

MERLIN and MERLIN-offline: http://genepi.qimr.edu.au/staff/sarahMe/merlin-offline.html

GCTA-MLM-LOCO:

http://www.complextraitgenomics.com/software/gcta/mlmassoc.html

FAST-LMM:https://github.com/MicrosoftGenomics/FaST-LMM

PRACTICAL

Association analysis, family data

We will compare 3 options

- Plink1 --family
- gee, with option correlation structure="independence"
- gee, with option correlation structure="exchangeable"

E model

CE model

/faculty/jenny/2017/tuesday

mkdir practical_family
cp -r /faculty/jenny/2017/tuesday/* practical_family
cd practical_family

Note on --family in plink \rightarrow use plink1!

- the option -- family is currently not implemented in plink2
- If you do use -- family in plink2, incorrect output is returned

Plink –association analysis

• Data

plink_covar.txt rs2228145_plink.map rs2228145_plink.ped

= z-score of age
= Dutch ancestry PCs
= PCs to correct for chip and DNA source

- Run association test (1 SNP) sIL6R, correcting for relatedness and 7 covariates
- We use plink version 1.07

Covariates (plink covar.txt)

plink1 --file rs2228145_plink --covar plink_covar.txt --linear --family --mperm 1000

The results are in **plink.assoc.linear** \rightarrow have a look at this file

Output plink

plink.assoc.linear • NMISS CHR SNP BP A1 TEST BETA STAT Ρ 1 rs2228145 154426970 С ADD 2572 1.226 47.22 0 rs2228145 154426970 С COV1 2572 0.171 10.16 8.626e-24 1 rs2228145 154426970 COV2 -1.307 0.1913 1 С 2572 -2.564 rs2228145 154426970 COV3 2572 -3.595 -1.393 0.1638 С 1 COV4 rs2228145 154426970 2572 0.2612 0.09378 0.9253 1 С rs2228145 154426970 COV5 2572 -3.73 -2.457 0.01407 1 С rs2228145 154426970 COV6 -0.5481 0.5837 1 С 2572 -0.9417 rs2228145 154426970 2572 9.234 0.943 С COV7 0.3458 1

Gee – association analysis

- We will now use the R-package gee to test the association between our SNP and sIL-6R
- We are going to read in the plink ped file and covariate file in R.
- We will use gee, with 2 options:
 - Correlation structure= "independence"
 - Correlation structure= "exchangeable"

→ Compare the results obtained with these 2 options – are they the same?

- Open the R-script association_rs2228145_gee.r (click on it, it will open in R-studio)
- Run the script line by line

Output gee

Correlation structure "independence"

	Estimate	Naive S.E.	Naive z	Robust S.E.	Robust z
(Intercept)	3.2082952	0.03652159	87.84653889	0.03154167	101.71609306
genonum	1.2257800	0.02389002	51.30929293	0.02595836	47.22101667
zage	0.1710237	0.01675720	10.20598431	0.01683764	10.15722428
PC1_NL	-2.5636709	1.95036718	-1.31445552	1.96137938	-1.30707549
PC2_NL	-3.5952193	2.43177616	-1.47843347	2.58099455	-1.39295887
PC3 NL	0.2611566	2.62472212	0.09949875	2.78476265	0.09378055
PC3_chip_effect	-3.7295217	1.51768531	-2.45737482	1.51776706	-2.45724245
PC5_chip_effect	-0.9416699	1.54974148	-0.60763031	1.71813103	-0.54807804
PC1_buccal	9.2342455	10.99356713	0.83996809	9.79244696	0.94299674
> _					

Correlation structure "exchangeable"

- Identical estimates
- slightly larger Robust Z-statistics

> coeff

	Estimate	Naive S.E.	Naive z	Robust S.E.	Robust z
(Intercept)	3.20039476	0.03739698	85.57896946	0.03101160	103.19993373
genonum	1.22709542	0.02400555	51.11715551	0.02568134	47.78159138
zage	0.17856674	0.01659369	10.76112051	0.01682857	10.61092906
PC1_NL	-2.22687489	2.02532967	-1.09951230	1.91838437	-1.16080746
PC2_NL	-3.45332650	2.51218708	-1.37462951	2.57648882	-1.34032272
PC3_NL	0.03283707	2.71043312	0.01211506	2.74004517	0.01198414
PC3_chip_effect	-3.62243989	1.53635530	-2.35781390	1.49974450	-2.41537134
PC5_chip_effect	-1.04564505	1.58955286	-0.65782339	1.69688607	-0.61621406
PC1_buccal	11.61605341	11.21512815	1.03574861	9.75924743	1.19026119

• Compare the results obtained in gee to those obtained in plink1 (plink.assoc.linear)

 \rightarrow Do you notice any difference?

- Notice that the results (estimate and Robust Z) from gee with option "independence" are identical to those obtained in plink1
- → Gee with option corst="independence" does the same as plink1 with option --family

Biometrical model

Rs2228145: Large effect on sIL-6R level (allele C increases sIL-6R concentration)



sIL-6R concentration

Exercise: *Effect of the IL6R gene on IL-6R concentration*

INFORMATION

- The SNP (single nucleotide polymorphism) has 2 alleles:
 - Minor allele: C, frequency: p=0.39
 - Major Allele: A, frequency: q =0.61
- Mean IL-6R concentration of each genotype:
 - CC: 5.698 (10⁻⁸ g/mL)
 - CA: 4.418 (10⁻⁸ g/mL)
 - AA: 3.238 (10⁻⁸ g/mL)
- Total Variance of IL-6R concentration=1.35

QUESTIONS (Falconer & MacKay; 1996: Introduction to quantitative genetics)

- 1. Calculate genotypic values (a and d) (page 109)
- 2. [Calculate the average effect of the alleles (page 113)]
- 3. Calculate the genotype frequencies (page 7)
- 4. Calculate the mean IL6-R concentration in the population (page 110)
- 5. Calculate how much of the variance is explained by this SNP (*Variance= Sum of squared deviations from the mean*)
- 6. Calculate heritability

Model: gene with 2 alleles A and a and 3 genotypes AA, Aa and aa



The difference on a quantitative scale between AA and aa is 2*a*. The middle (m) is zero and the value of Aa is 0 (no dominance).

Model: gene with 2 alleles A and a and 3 genotypes AA, Aa and aa



The deviation from m (middle) of the heterozygote Aa is d: partial dominance.

Genotype (i)	AA	Aa	aa
Frequency (f)	p ²	2pq	q ²
Genotypic effect (x)	a	d	-a

Mean?

Genotype (i)	AA	Aa	aa
Frequency (f)	p ²	2pq	q ²
Genotypic effect (x)	a	d	-a
f * x	$p^2 a$	2pqd	- q ² a

(recall
$$p+q = 1$$
)

mean:
$$p^2 a + 2pqd - q^2 a =$$

a($p^2 - q^2$) + 2pqd =
a(p-q)(p+q) + 2pqd =

Mean = a(p-q) + 2pqd

a(p-q) : attributable to homozygotes

2pqd : attributable to heterozygotes

Genotype (i)	AA	Aa	aa
Frequency (f)	p ²	2pq	q ²
Genotypic effect (x)	a	d	-a
f * x	$p^2 a$	2pqd	- q ² a

mean: $p^2 a + 2pqd - q^2 a = a(p-q) + 2pqd$ Variation: $2pq[a+d(q-p)]^2 + (2pqd)^2$

Population variation depends on 'a' (difference between homozygote individuals), 'd' (deviation of heterozygote persons from zero) and on allele frequency (p & q).

Average effect

(associated with genes and not with genotypes)

The average effect of a gene (allele) is the mean deviation from the population mean of individuals which received that gene from one parent, the gene received from the other parent having come *at random* from the population.

Falconer (p112): The concept of average effect is not easy to grasp.

Average effect is related to genotypic values a and d

 $q [a + d (q - p)] = \alpha_1$

$$-p [a + d (q - p)] = \alpha_2$$

Average effect of gene substitution is $\alpha_1 - \alpha_2 = \alpha$. This is the difference between the average effect of the 2 alleles: $\alpha = a + d(q-p)$ Mean IL-6R concentration of each genotype: CC: 5.698 / CA: 4.418 / AA: 3.238 (10⁻⁸ g/mL) Total Variance of IL-6R concentration=1.35 Frequencies: C, frequency: p=0.39 / A, frequency: q =0.61





QUESTIONS (Falconer & MacKay; 1996: Introduction to quantitative genetics)

- 1. Calculate genotypic values (a and d) (page 109)
- 2. Calculate the average effect of the alleles (page 113)
- 3. Calculate the genotype frequencies (page 7)
- 4. Calculate the mean IL6-R concentration in the population (page 110)
- Calculate how much of the variance is explained by this SNP (Variance= Sum of squared deviations from the mean)
- 6. Calculate heritability